

**University of Lethbridge
Academic Staff Collective
Agreement**



Effective July 1, 2024 to June 30, 2028

The University of Lethbridge
Academic Staff Collective Agreement

[\[Insert Sign Off Details\]](#)

2024-2028 Academic Staff Collective Agreement

Table of Contents

2024-2028 Academic Staff Collective Agreement	3
Section 1: ULFA-Board Relations	6
Article 1: Purpose and Objectives.....	7
Article 2: Definitions.....	8
Article 3: Amendments and Modification of the Collective Agreement	12
Article 4: Applications and Exclusions.....	14
Article 5: Recognition	15
Article 6: Communication and Information	18
Article 7: Quarterly Labour/Management Meetings.....	22
Article 8: Delegation.....	22
Article 9: Grievance and Interpretation	23
Section 2: Applicable to ALL Members	27
Article 10: Appointment of Members	28
Article 11: Rights and Responsibilities	33
Article 12: Equity, Diversity, and Inclusion (EDI).....	41
Article 13: Criteria for Performance Evaluation	44
Article 14: Personal Files.....	47
Article 15: Salary Schedules and Economic Benefits	51
Article 16: Holidays.....	52
Article 17: Vacations.....	52
Article 18: Intellectual Property	53
Article 19: Supervision and Discipline	58
Article 20: Termination of Appointment	68
Section 3: Applicable to all Members EXCEPT Sessional Lecturers	70
Article 21: Assignment of Duties of Members	71
Article 22: Courses Taught in Addition to Assigned Duties, including Summer Session Courses	73
Article 23: Evaluation Procedures.....	74
Article 24: Increments for Members	81

Article 25: Personnel Committees	85
Article 26: STP Committee Procedures.....	93
Article 27: Probation, Continuing Appointment, and Tenure	97
Article 28: Promotion of Members	101
Article 29: Appeals of Recommendations by STP Committees and Appeal Committees	103
Article 30: Travel Fund and Expenses for Board-Assigned Travel.....	109
Article 31: Research Fund	110
Article 32: Leaves of Absence.....	110
Article 33: Gradual Retirement & Reduced Load Status.....	119
Article 34: Termination of Appointment for Financial Emergency or Due to Program Redundancy, Not Applicable to Members Holding Term Appointments or Sessional Lecturer Appointments.....	123
Section 4: Job Category Specifics	133
Article 35: Faculty Members	134
Article 36: Professional Librarians	135
Article 37: Instructors and Academic Assistants	137
Article 38: Sessional Lecturers.....	140
Section 5: Schedules.....	144
Schedule A: Salary Schedules and Stipends.....	145
Schedule B: Economic Benefits.....	153
Schedule C: Assignment of Copyright	161
Schedule D: Professional Activities Report.....	162
Schedule E: Academic Career Implementation.....	164
Schedule F: Daycare	166
Schedule G: Maternity and/or Parental Leave Benefit (Article 32.03 to Article 32.07)	168
Schedule H: Conflict of Interest and/or Conflict of Commitment and Declaration	171
Schedule I: Harassment & Discrimination Policy MOU.....	174
Schedule J: Agreement Re. Hiring ULFA Employees as Sessional Lecturers MOU	175
Schedule K: Grieving Administrative Suspensions MOU	176
Schedule L: In Resolution of Format of Communications by Appeal Committee Chair to Appellant Regarding Appellant's Evidence for Appeals MOU.....	177
Schedule M: Memorandum of Understanding: In Resolution of Academic Career Year Grievances	178
Schedule N: In Resolutions of Merit Pool/Fund Contributions Grievances MOU	179
Schedule O: Written Notice During Pandemic.....	181

Schedule P: Library Single-Service Point Services	182
Schedule Q: Performance Evaluation During COVID-19	183
Schedule R: Confidentiality Agreements.....	187
Schedule S: SARCC-19P	189
Schedule T: Extension of Maximum Probationary Period	191
Schedule U: Term/Sessional Letters of Offer.....	192
Schedule V: Consecutive Term Appointments.....	193
Schedule W: Teaching Professoriate.....	194
Schedule X: Joint Economic Benefits Committee.....	195
Schedule Y: Reorganisation of the Collective Agreement.....	196
Schedule Z: Term of Academic Staff Collective Agreement	197

Section 1: ULFA-Board Relations

Article 1: Purpose and Objectives

1.01 Purpose

- 1.01.1 The Board of Governors of The University of Lethbridge and The University of Lethbridge Faculty Association recognize that the main purposes of the University are:
- (a) to promote the disinterested pursuit of truth and advancement of knowledge;
 - (b) to promote the generation and transmission of knowledge with proper consideration given to the need for an educated citizenry; and
 - (c) to give appropriate consideration to society's needs for expert advice as well as pure and applied research.
- 1.01.2 The common good of society depends upon the search for truth and its free exposition. Academic Freedom is essential to these purposes:
- (a) Members are entitled to the freedom to carry out research and to publish the results, to the freedom to teach and discuss their subjects, and to the freedom from institutional censorship;
 - (b) Academic Freedom carries with it the duty to use that freedom in a responsible way;
 - (c) Academic Freedom does not confer legal immunity; nor does it diminish one's obligation to meet one's responsibilities under this Collective Agreement.

1.02 Objectives

- 1.02.1 The main objectives of this Collective Agreement are the specification of the terms and conditions of employment of Academic Staff and the principles and procedures that reflect procedural fairness for academic personnel decisions, the peaceful settlement of all disputes, misunderstandings and grievances, and the promotion of harmonious relations between the Board and the Association.
- 1.02.2 The Board and the Association acknowledge that
- (a) they have a joint responsibility for the reasonable and just execution of the terms of the Collective Agreement; and
 - (b) subject to the provisions of the Post-Secondary Learning Act, Labour Relations Code, and all applicable provincial and federal statutes, this Collective Agreement is binding on the Association, the Academic Staff, and the Board.

- 1.02.3 Toward these ends, any invocation of [Article 9](#) regarding a Collective Agreement procedure in progress shall stay the procedure pending a resolution of the interpretation or grievance, unless the Parties mutually agree otherwise.

Article 2: Definitions

- 2.01** "Academic Assistant" shall mean a member of the academic staff with the rank of Academic Assistant III, Academic Assistant II, or Academic Assistant I.
- 2.02** "Academic Career" shall mean the maximum period during which a Faculty Member/Professional Librarian is eligible to be awarded an [Article 24.08](#), [Schedule A](#) career progress increment that has a monetary value greater than zero. The maximum length of this period shall be:
- 2.02.1 thirty-five (35) cumulative years for Members who hold the rank of Professor or Professional Librarian IV; or
- 2.02.2 twenty-five (25) cumulative years for Members who hold the rank of Associate Professor or Professional Librarian III; or
- 2.02.3 ten (10) years for Members who hold the rank of Assistant Professor or Professional Librarian II.
- The maximum number of Academic Career Years as defined here does not preclude an individual from spending more or fewer years as a Faculty Member/Professional Librarian at any rank. Further, Part Time Members and Members with less than full-load service in a Contract Year accrue Academic Career Years at the rate of their service.
- 2.03** "Academic Position" shall mean an appointment as a Faculty Member, Professional Librarian, Instructor, or Academic Assistant.
- 2.04** "Academic Year" shall mean a one (1)-year period from July 1st to June 30th.
- 2.05** **"Act" shall mean the *Post-Secondary Learning Act of Alberta*, as amended.**
- 2.06** "Agreement" or "Collective Agreement" shall mean this collective agreement, including schedules thereto. The edition of the Collective Agreement in effect is the one most recently ratified by the Board and the Association, including schedules thereto and any amendments pursuant to [Article 3.03](#), and shall be posted at the URL http://www.uleth.ca/Academic_Staff_Collective_Agreement.
- 2.07** "Association" or "ULFA" shall mean The University of Lethbridge Faculty Association.

- 2.08** "Bargaining Year" shall mean a one (1)-year period from July 1st through June 30th, during which collective bargaining between the Association and the Board is active, or is expected or required to commence in accordance with [Article 3](#), or applicable legislation.
- 2.09** "Board" shall mean the Board of Governors of The University of Lethbridge.
- 2.10** "Code" shall mean the *Labour Relations Code* of Alberta, as may be amended.
- 2.10** "Contract Year" shall mean a one (1)-year period from July 1st to June 30th.
- 2.11** "Dean" shall mean, depending on the context, either the Dean of a Faculty or School if affecting the Members in a Faculty or School, the University Librarian if affecting Professional Librarians in the University Library, the Dean of the Faculty of Education if affecting the Members in the Curriculum Laboratory; or persons authorized to act in any of these capacities.
- 2.12** "Department" shall mean an academic unit or area established by the Board under either of these designations.
- 2.13** "Department Chair" shall mean a person authorized to act in that capacity, or in the capacity of an Area Chair.
- 2.14** "Educational Leadership" shall mean activities which guide and shape the postsecondary educational environment with impact beyond the Member's own classroom. It involves collaborating with other academic staff, students, and/or the broader community to achieve common goals.
- 2.15** "Faculty" shall mean an academic unit established by the Board and normally designated as either a Faculty or a School.
- 2.16** "Faculty Member" shall mean a member of the academic staff with the rank of Professor, Teaching Professor, Associate Professor, Associate Teaching Professor, or Assistant Professor, or Assistant Teaching Professor.
- ~~**2.17** "Handbook" shall mean Faculty or Sessional Lecturers Handbooks, including any schedules or memoranda of understanding appended thereto, pre-cursors to this Collective Agreement before July 1st, 2018, covering the academic staff bargaining unit at the University of Lethbridge.~~
- 2.18** "Instructor" shall mean a member of the academic staff with the rank of Instructor III, Instructor II, or Instructor I.
- 2.19** "Medical Certificate" means a certificate signed by a qualified health-care

Commented [A1]: There will be a consequential review of all uses of Faculty Member throughout the ASCA. We suggest that the Parties undertake this as part of finalizing the agreement, but the Board may return at the next session with a draft review.

professional and submitted to the Wellness Department verifying:

- 2.19.1 that a medical condition exists;
 - 2.19.2 if a treatment plan has been recommended, whether the Member is participating, to the best of the qualified health-care professional's knowledge; the anticipated date upon which the Member is expected to be able to meet the full or modified responsibilities of their position; and
 - 2.19.3 any medical limitations or restrictions that impact the Member's ability to meet the full or modified responsibilities of their position; or
 - 2.19.4 for the purposes of Compassionate Care Leave in [Article 32.08.3](#), that a Family Member has a serious medical condition with a significant risk of death within twenty-six (26) weeks from the date the certificate is issued, or if the leave began prior to the certificate from the date the leave commenced, and that the Family Member requires the care or support of one or more Family Members.
- 2.20** "Medical Leave" includes Leave for sickness, injury, short term disability, consultation with health care professionals and stays in hospitals or other institutions for prescribed medical care when such event prevents the Member from performing the duties the Member was performing immediately prior to the commencement of the sickness or injury.
- 2.21** "Member" shall mean an employee of the Board who is a Faculty Member, Professional Librarian, Instructor, Academic Assistant, or Sessional Lecturer.
- 2.22** "Parties" shall mean the Board and the Association.
- 2.23** "Part Time Member" shall mean a Member who has been assigned duties on less than a full-load basis but is not a Sessional Lecturer (as defined in [Article 2.29](#)), or a Member on Reduced Load Status ([Article 33](#)).
- 2.24** "Personal File" shall mean the file maintained by the Dean pertaining to a Member and which contains materials subject to [Article 14](#) of this Collective Agreement.
- 2.25** "Personnel Committee" shall mean any of the committees defined in [Article 25](#) of this Collective Agreement.
- 2.26** "President" shall mean the President of the University, or a person authorized to act in that capacity.
- 2.27** "Procedural Fairness" shall mean any and all of the following:
- 2.27.1 the duty of an adjudicator to make a decision without a reasonable apprehension of bias or a conflict of interest (prior familiarity with the

- facts of a case does not, by itself, constitute a reasonable apprehension of bias, nor do past friendly or unfriendly relationships);
- 2.27.2 the duty of an adjudicator to interpret and apply the Collective Agreement, including criteria of [Articles 13](#) and [37.03](#) in a reasonable and equitable manner (a disagreement with a decision or judgement does not, in itself, constitute a misinterpretation or misapplication of the Collective Agreement, nor does it constitute an unreasonable interpretation or application of the Collective Agreement, nor does differential treatment, in itself, constitute evidence of inequitable treatment between Members when appropriate rationale can be provided);
- 2.27.3 the duty of an adjudicator to ensure that adequate evidence is presented or collected to meet the standard of proof appropriate to support the decision and that such evidence is duly and appropriately considered;
- 2.27.4 the duty of an adjudicator to inform the Member of their right to advice and representation from their Association and to allow such advice and representation without hindrance;
- 2.27.5 that the parties to a procedure have a right to know all the evidence presented and used in the decision making process;
- 2.27.6 that the parties to a procedure have an opportunity to respond to all evidence presented and used in the decision making process;
- 2.27.7 that the parties to the procedure have a reasonable opportunity to present their case to adjudicator or adjudicators; and
- 2.27.8 that principles of natural justice are applied.
- 2.28** “Professional Librarian” shall mean a member of the academic staff holding an appointment in the University Library or in the Curriculum Laboratory of the Faculty of Education and holding an academic degree and a degree in librarianship from an accredited library school or its equivalent.
- 2.29** **“Research-Stream” shall mean a Faculty Member position for which the duties are primarily Research and Creative activity, Teaching, and Service to the University and Society pursuant to Article 21 and 35.**

- 2.30** “Scholarship of Teaching and Learning” or “SoTL” shall mean a systematic and scholarly approach to understanding and improving student learning and teaching practices. In the context of this Agreement, SoTL shall primarily refer to the enhancement of student learning and effectiveness of teaching methods and practices at the postsecondary level. SoTL also includes the public sharing of findings to advance knowledge in teaching and learning.
- 2.31** “Senior Academic Administrator(s)” shall include persons authorized to act in any of these capacities: Deans and Associate Deans, University Librarian and Associate University Librarian, **Provost and** Vice-President (Academic) and **Deputy Vice-**Provost and Associate Vice-President (Academic), Vice-President (Research) and Associate Vice-President (Research), and President.
- 2.32** “Sessional Lecturer” shall mean a member of the academic staff with the rank of Sessional Lecturer II, or Sessional Lecturer I.
- 2.33** “Supplementary Unemployment Benefits Plan” or “SUB Plan” shall mean a University plan for eligible Members on Maternity Leave or Parental Leave that is used to top up a Member’s Employment Insurance payments to a level not exceeding their pre-Leave base salary.
- 2.34** “Teaching Stream” shall mean a Faculty Member position for which the duties are primarily Teaching and Service to the University and Society pursuant to Articles 21 and 39.
- 2.35** “University” shall mean The University of Lethbridge.
- 2.36** “University Librarian” shall mean the University Librarian of the University or a person authorized to act in that capacity.
- 2.37** “Vice-President (Academic)” shall mean the Provost and Vice-President (Academic) of the University, or a person authorized to act in that capacity.
- 2.38** “Working Days” shall mean Monday through Friday, but does not include any holidays as identified within [Article 16.01](#), nor any days when the University is closed.

Article 3: Amendments and Modification of the Collective Agreement

- 3.01** This Collective Agreement, with any amendments agreed upon under

[Article 3.03](#), is the only Collective Agreement in force between the Parties.

- 3.02** The term of this Collective Agreement is specified in Schedule Z. This Collective Agreement shall continue in force, notwithstanding any expiry date in the agreement, except as amended under [Article 3.03](#), ~~until the expiry date specified in Schedule Z, subject to applicable legislation pursuant to applicable legislation.~~

3.03 Amendments Outside the Collective Bargaining Process

- 3.03.1 The Parties to this Collective Agreement may occasionally make limited modifications and specify their agreement to the same by signing a Memorandum of Agreement/Understanding through the processes provided for in this Collective Agreement.
- 3.03.2 Interpretations, clarifications or specifications of the Collective Agreement, either agreed upon by both Parties or ruled by an arbitrator as part of the resolution of grievances, may be incorporated as clauses or schedules of this Collective Agreement by mutual consent of the Parties.
- 3.03.3 In the event that any provision of this Collective Agreement is found by a court, tribunal, or arbitrator of competent jurisdiction to be illegal or unenforceable, then such provision shall be severed from the Collective Agreement as having no force or effect.
- 3.03.4 In the event any such decision of a court or tribunal of competent jurisdiction is appealed, the operation of the provision in question shall be suspended pending the outcome of such appeal.

3.04 Amendments Through Collective Bargaining

- 3.04.1 Negotiations for renewal of this Collective Agreement shall take place in accordance with the ~~Alberta Labour Relations~~ Code and other applicable legislation.
- 3.04.2 To consider the proposed amendments, each Party shall designate a negotiating committee of up to five persons. The two committees shall meet to negotiate the proposed amendments.
- 3.04.3 If the Parties agree upon an amendment of this Collective Agreement, the agreement shall include a specification of the date upon which the amendment takes effect, and the amendment shall become part of this Collective Agreement.

3.05 Strikes and Lockouts

- 3.05.1 The Association agrees that it shall not engage in any strike or picketing during the currency of this Collective Agreement.

- 3.05.2 The Board agrees that it shall not lock out any Members of the Academic Staff during the currency of this Collective Agreement.
- 3.05.3 In the event of a strike or lockout involving members of other bargaining units at the University, Members of the Academic Staff:
- (a) shall not be required to perform the work of the members of those other bargaining units during the job action by those other members;
 - (b) shall not be subject to new or additional duties, requirements, or work rules as a result of the strike or lockout beyond those required by law for the preservation of life, personal safety or health of the public or the maintenance and administration of law and order.
- 3.05.4 ~~Members have the right not to cross a picket line established by another certified bargaining agent with members delivering University services at the University in consequence of job action by that other bargaining agent and shall not be in breach of this Collective Agreement.~~
- 3.05.5 Members who choose ~~to exercise their rights under~~ Article 3.05.4 ~~not to cross a picket line involving another University of Lethbridge bargaining unit~~ shall not be subject to disciplinary action nor loss of pay or other rights and benefits provided by this collective agreement so long as they carry out all scheduled duties, including those that require the presence of the Member on campus. Members may not alter the modality of providing instruction, permanently or temporarily, in response to a strike or lockout of another bargaining unit at the University.
- 3.05.6 ~~Members who are or anticipate being unable to carry out scheduled duties as a result of exercising their right under Article 3.05.4 shall advise their Dean as early as reasonably possible that they will not be available for the scheduled duties.~~
- 3.05.7 ~~Members who refuse to carry out scheduled duties through the exercise of their rights under Article 3.05.4 shall be assessed a penalty equivalent to 1/252 of their annual salary in lieu of other discipline for each day on which they refuse to carry out such duties.~~
- 3.05.8 ~~The President may waive the penalty in Article 3.05.7.~~

Article 4: Applications and Exclusions

- 4.01 The provisions of this Collective Agreement shall apply to all active Members.
- 4.02 Inactive Members are those employees of the Board who:

- 4.02.1 are Senior Academic Administrators (as specified in [Article 2.28](#)), for the term(s) of their appointments as Senior Academic Administrators, and for any period(s) of administrative leave;
- 4.02.2 are Members appointed through Order in Council to serve on the Board, for the duration of their appointments to the Board.
- 4.03** Active Members are all Members who are not inactive.
- ~~4.04 Inactive Members shall not participate in Member activities of the Association, nor shall they pay dues, in accordance with [Article 4.06](#).~~
 - ~~4.04.1 Inactive Members under [Article 4.02.1](#) are protected by all terms of the Collective Agreement except [Articles 15, 24](#) and [Schedules A, B and D](#) with regard to their Academic Position.~~
 - ~~4.04.2 Inactive Members under [Article 4.02.2](#) are protected by all terms of this Collective Agreement with regard to their Academic Position.~~
- 4.04** Inactive Members under Article 4.02.1 are protected by all terms of the Collective Agreement except Articles 15, 24, and Schedules A, B, and D with regard to their Academic Position but shall not participate in Member activities of the Association nor pay dues, in accordance with Article 4.09.
- 4.05** Inactive Members under 4.02.2 are protected by all terms of this Collective Agreement with regard to their Academic Position but shall not pay dues in accordance with Article 4.09. Inactive Members under 4.02.2 may participate in the affairs of the Association except for those arising from the Association's role as a trade union under the Code. Without limiting the generality of the foregoing:
 - 4.05.1 Inactive Members may participate in the Association's general meetings, training, workshops, social functions, and in the nomination or renewal of the appointment of a Member under 4.02.2.
 - 4.05.2 Inactive Members shall not serve on the Association's executive, participate in settling a mandate or settling a Collective Agreement or Essential Services Agreement, mediation, any strike or ratification or proposal vote, or picketing.
- 4.06** Notwithstanding s. 130 of the Code or any successor provision, Articles 4.04 and 4.05 will remain in force and effect upon the termination of this Collective Agreement.
- 4.07** Where the application of an Article to a Senior Academic Administrator would require action by that administrator constituting or leading to a conflict of interest, the person who supervises that administrator shall take appropriate steps to resolve or manage the conflict of interest.

- 4.08** In each Contract Year, the Board shall make available to the Association a sum equal to the Association dues (based on the academic portion of their salaries) for each of the inactive Members. For this purpose, the mill rate shall be the average Association rate for the two (2) preceding Academic Years. ~~Such funds will be contributed as follows: one half to Association Conference Travel and one half to the Association Stabilization Fund.~~ No amounts shall be assessed for Confederation of Alberta Faculty Associations (CAFA) or Canadian Association of University Teachers (CAUT) dues.
- 4.09** The Board contribution for Inactive Members, as provided in [Article 4.06](#), shall be paid in monthly instalments, in a manner similar to and with information as provided in [Article 5.05](#) for Members.

Article 5: Recognition

5.01 Management Rights

- 5.01.1 The Association and its Members recognize the authority and responsibility of the Board to manage the operation of the University, in accordance with the ~~Post-Secondary Learning~~ Act.
- 5.01.2 Where a University policy or procedure is in conflict with a term of this Agreement, the Agreement shall take precedence.

5.02 Exclusive Bargaining Agent

- 5.02.1 The Board recognizes the Association as the exclusive bargaining agent for all active Members, and for all inactive Members except as provided in [Article 4.04](#).

5.03 Use of Premises

- 5.03.1 The Board recognizes the utility of providing office space to the Association and agrees to continue to provide the current resources or equivalent in accordance with past practice:
- (a) the use of suitable office space and accommodations;
 - (b) access to telecommunications and internet resources at internal rate, paid by the Association;
 - (c) access to internal services such as mail delivery and meeting rooms on campus(es);
 - (d) access to the University's facilities such as parking and identification cards for the Association's staff at prevailing internal rates;

- (e) one (1) Lot E parking permit, plus one (1) departmental rover parking permit at the prevailing internal rates, paid by the Association.

5.04 Association Activity

- 5.04.1 A Member's service to the Association shall be deemed Service to the University and Society in evaluation of performance.
- 5.04.2 The Board shall not interfere with active Members participating in Association activities when those activities do not unduly interfere with active Members' assigned duties.
- 5.04.3 Electronic correspondence or communication carried on among active Members of the Association or its staff in furtherance of Association activities shall be the property of the parties so corresponding or communicating.
- 5.04.4 The Board recognizes that Members have the right to attend open meetings of the Board, and to attend open meetings of the committees of that body, subject to this activity not interfering with the execution of the Member's duties.
- 5.04.5 The Association has the right to call upon the assistance of duly authorized representatives of the Canadian Association of University Teachers (CAUT) and the Confederation of Alberta Faculty Associations (CAFA).
- 5.04.6 A representative of the Association will be invited to participate in any new employee orientation that Human Resources may offer.

5.05 Deduction of Dues

- 5.05.1 The Board shall deduct and remit to the Association from the monthly salaries of all active Members dues on behalf of the Association, the Confederation of Alberta Faculty Associations (CAFA), and the Canadian Association of University Teachers (CAUT) as assessed by the Association.
- 5.05.2 Dues shall be remitted by the 15th day of each month for dues assessments of the previous month. Any adjustments shall be reconciled in the next month.
- 5.05.3 The Board shall inform the Association of the name of and the deductions made for each active Member, broken down by the organizations listed in [Article 5.05.1](#).

5.06 Reassignment of Teaching Duties in Support of Association Service

- 5.06.1 Should a Bargaining Year extend beyond that July 1st to June 30th

period in which bargaining has commenced, the Bargaining Year will be extended on a pro rated basis to those regular semesters where bargaining remains active.

- 5.06.2 At no cost to the Association, the Board agrees to reassign teaching duties of academic staff members identified by the Association, normally in increments of ten percent (10%) of full-time equivalent (FTE) duties, in support of Association service:
- (a) six (6) teaching reassignments, each normally weighted at ten percent (10%) of FTE duties in any Year;
 - (b) three (3) additional teaching reassignments, each normally weighted at ten percent (10%) of FTE duties in any Bargaining Year.
- 5.06.3 The Association is permitted to buy additional teaching reassignments over and above the teaching reassignments under [Article 5.06.2](#) on the following basis:
- (a) up to sixteen (16) teaching reassignments, each normally weighted at ten percent (10%) of FTE duties in any Year;
 - (b) four (4) additional teaching reassignments, each normally weighted at ten percent (10%) of FTE duties in any Bargaining Year;
 - (c) the Association shall remit to the Board an amount equal to the minimum Sessional Lecturer stipend plus twenty-five percent (25%), as identified in [Schedule A](#) of this Collective Agreement for each teaching reassignment so purchased under [Article 5.06.3](#);
 - (d) should the Association request that **purchased** teaching reassignments **pursuant to Article 5.06.3** be accorded to a Member at a rate of two (2) or more teaching reassignments in one (1) Academic Year, all **purchased** teaching reassignments in that Academic Year **for that individual Member** shall be permitted through the Association remitting to the Board a total amount equal to one third (1/3) of the minimum salary for an Associate Professor plus twenty-five percent (25%), such minimum as identified in [Schedule A.01.1](#) of this Collective Agreement.
- 5.06.4 In the case of an Instructor/Academic Assistant or a Professional Librarian, a comparable proportionate reassignment of duties or other equivalent adjustment will be made.
- 5.06.5 As soon as possible after the Association Annual General Meeting, the Association shall inform the President and the relevant supervisors of the names of the active Members who are being recommended for teaching reassignments and the number of those teaching reassignments (or their equivalent) per active Member.

5.07 Member Participation in Advisory Processes

- 5.07.1 The Parties acknowledge the existing rights, privileges, and responsibilities of Members to participate in the formulation and/or recommendation of policy and procedures within the University and to participate in the governance of the University, in accordance with University policies and relevant legislation, as applicable.
- 5.07.2 At all times, there shall be at least one (1) Member on the following committees:
- (a) Budget Advisory Committee or any sub-committee or successor committee so established; and
 - (b) Appointment or review/reappointment committee for Senior Academic Administrators.
- 5.07.3 If the size of the committees referred to in [Article 5.07.2](#) change, the Board shall maintain substantially the same proportion of Members that currently sit on the above committees.

Article 6: Communication and Information

6.01 Communication between the Parties

- 6.01.1 Except where otherwise specified in this Collective Agreement, correspondence between the Parties arising out of this Collective Agreement or incidental thereto shall pass between the President of the University and the President of the Association, or their designates.
- 6.01.2 Where written notice is called for in this Collective Agreement, email shall be deemed adequate means for correspondence between the Association and the Board for all Articles **subject to the provisions of Article 6.01.3 below for written notice under except Article 9** (Grievance and Interpretation) and [Article 19](#) (Supervision and Discipline).
- 6.01.3 **Written notice required by Article 9 and Article 19 delivered via email shall be password protected. The password will be shared under separate cover.**
- 6.01.4 All communications required in [Articles 9](#) and [19](#) shall be delivered during business hours (8:30 am to 4:30 pm) in a manner that permits acknowledgement of receipt if requested or if required by this Collective Agreement.
- 6.01.5 Email (including any attachments thereto) delivered outside business hours shall be deemed to have been delivered at 8:30am on the next Working Day.
- 6.01.6 In no case may correspondence sent to the Association be used for purposes other than the administration or negotiation of this

Collective Agreement, or other duties as required by legislation.

6.02 Distribution of the Collective Agreement

- 6.02.1 The Board shall make available a linkable copy of the Collective Agreement at the URL stated in [Article 2.05](#), with cross-referenced links embedded, on the University website for all Members to access.
- 6.02.2 The URL of the Collective Agreement on the University website, and contact information for the Association, shall be included in all offers of appointment to prospective Members.
- 6.02.3 Amendments to this Collective Agreement outside the collective bargaining process shall be posted to the same University website on which this Collective Agreement is made available.

6.03 Information about Individual Active Members

- 6.03.1 The Board shall make available to the Association, in electronic format, the following information:
 - (a) access for three (3) years to copies of offer of appointment of new Members, and of letters agreeing to a change in appointment status, once such appointments have been ratified by the Board;
 - (b) a list at the beginning of each Fall and Spring semester that includes the name, rank, academic unit, and date of appointment of each new Member;
 - (c) a list at the beginning of each Fall and Spring semester that includes the name and termination date of each Member whose appointment is terminated in accordance with any of the termination processes described in [Article 20](#);
 - (d) a list, provided annually in July, that includes each Member who has applied for or been awarded Extension of Probation, Continuing Appointment, Tenure, and/or Promotion;
 - (e) a list, provided annually no later than October 31st of each year, with information to be complete as of September 30th, including the following information about all active Members of the Academic Staff:
 - (i) name;
 - (ii) gender (as identified for Economic Benefits purposes);
 - (iii) any equity, diversity, or inclusion categories with which the Member has self-identified, about which information is collected by Human Resources, including but not limited to those categories protected by legislation, subject to Members' consent;
 - (iv) date of birth;

- (v) current home contact information (address and phone);
 - (vi) Member's University email address;
 - (vii) start date of current appointment;
 - (viii) end date of Term, Probationary, or Sessional Lecturer appointment;
 - (ix) appointment category;
 - (x) rank/position;
 - (xi) Faculty/Library/Department;
 - (xii) full-time or part-time equivalence for Members other than Sessional Lecturers;
 - (xiii) Academic Career Years (if applicable);
 - (xiv) the number of years accumulated towards the maximum for Term Appointment (as identified in [Article 10.05.3\(a\)](#));
 - (xv) reason for Term Appointment (pursuant to [Article 10.05.2](#) or for Sessional Lecturer appointment (pursuant to [Article 10.06.2](#));
 - (xvi) salary;
 - (xvii) dues paid on the Member's behalf to the Association; and
 - (xviii) benefits category broken down by single or family coverage.
- (f) A list, provided annually no later than October 31st of each year, with information to be complete as of September 30th, containing the following information in aggregate form:
- (i) the number of credit hours taught in each semester:
 - (A) by course level (1000-level through to graduate level);
 - (B) by appointment category (Faculty Member, Professional Librarian, Instructor/Academic Assistant and Sessional Lecturer); and
 - (C) by Faculty/Library.

6.04 Provision of Information for Inactive Members

6.04.1 The Board shall provide to the Association, in electronic format, on an annual basis no later than October 31st of each year, with information to be complete as of September 30th, a list of Inactive Members that includes the following information:

- (a) name;
- (b) inactive Member designation start date;
- (c) inactive Member designation end date;
- (d) dues paid on their behalf to the Association.

6.05 Information about Assignment of Duties and Workload Equity

- 6.05.1 The Provost or designate shall provide to the Association, in electronic format and on an annual basis no later than October 31st of each year, the policies and procedures that are required to be established pursuant to [Article 21.04](#).

6.06 Protection and Use of Data Provided for the Administration of the Collective Agreement

- 6.06.1 The Association agrees to respect the confidentiality of personal information and the privacy of Members, and undertakes that information received by the Association for the administration of the Collective Agreement shall not be published or otherwise used in ways that could result in the identification of individual Members' information beyond purposes necessary for the administration of this Collective Agreement.
- 6.06.2 Personal information provided by the Board shall be maintained and used by the Association only for the purposes of operating the Association in accordance with the provisions of the *Post-Secondary Learning Act*, the *Code*, [the Access to Information Act](#), [the Protection of Privacy Act](#), ~~the Freedom of Information and Protection of Privacy Act~~, [the Personal Information Protection Act](#), this Collective Agreement, and any other applicable Provincial or Federal legislation.
- 6.06.3 The Association will maintain in a secure manner personal information provided by the Board.
- 6.06.4 Prior to disposal of any media containing personal information provided by the Board, the Association will ensure that the personal information has been erased or destroyed.

6.07 In the event of unauthorized access to or disclosure of personal Member data, the Parties shall:

- 6.07.1 inform each other as soon as is practicable upon discovery of the unauthorized access or disclosure;
- 6.07.2 work to discover and limit the source and extent of the unauthorized access; and
- 6.07.3 work to recover any lost data and prevent future unauthorized access or disclosure.

6.08 Requests for Additional Information

- 6.08.1 The Board shall make available to the Association, upon written request and within a reasonable time, information on Members not provided in [Article 6](#).
- 6.08.2 [Article 6.08.1](#) shall not be construed to require the Board to compile information in the form requested if such data are not already compiled in the form requested, or to supply any confidential information, beyond what is required for the administration of this Collective Agreement.

6.09 Anomalies

- 6.09.1 The Association may request a meeting to discuss the information provided in [Article 6](#) with a view to identifying any anomalies and responding to any concerns.

Article 7: Quarterly Labour/Management Meetings

- 7.01 The Parties shall endeavour to meet quarterly, and/or more frequently by mutual consent, on dates scheduled by agreement, for the purpose of addressing matters relevant to the Collective Agreement.
- 7.02 Each Party shall submit to the other Party not less than five (5) Working Days before the scheduled date of each meeting a list of particular matters to be discussed.
- 7.03 No more than five (5) representatives from each Party shall attend such meetings.
- 7.04 Matters that would normally be dealt with in [Article 9](#) shall not be the subject matter of these meetings.

Article 8: Delegation

- 8.01 Any task required herein to be performed by the Board may by the Board's delegation be performed by the President.
- 8.02 Any task required herein to be performed by the President may be delegated by the President as they see fit, and the President may prescribe conditions governing the exercise of any delegated task including the power of sub-delegation.

- 8.03** Any task required herein to be performed by the Vice-President (Academic), or a Dean, may be delegated under the same provisions that apply to the President.
- 8.04** Any task required herein to be performed by the President of the Association may be delegated by them as they see fit, and they may prescribe conditions governing the exercise of any delegated task including the power of sub-delegation.
- 8.05** Where a task required herein is delegated under the provisions of this Article, the force of the performance of that task shall be the same as if performed by the delegator, upon whom the results of the performance shall be binding.
- 8.06** The delegation of a task required herein shall be made known in writing to the Members affected.

Article 9: Grievance and Interpretation

- 9.01** A grievance is a claim that arises between the Parties to or persons bound by this Collective Agreement that there has been a violation, improper application or non-application of the terms of this Collective Agreement. Procedures under Article 9 shall be subject to Procedural Fairness as defined in Article 2.26.
- 9.02** A grievance shall be initiated within **forty-five (45)** Working Days following the date upon which the violation, improper application or non-application of this Collective Agreement is alleged to have occurred, or on which the alleged violation should have become known to the Member, Association, or President.
- 9.03** The following matters shall not be subject to grievance:
- 9.03.1** the outcome of appeals respecting promotion, extension of probation, tenure or salary of a Member;
 - 9.03.2** the outcome of a specific process provided by this Collective Agreement that is binding on both Parties; or
 - 9.03.3** a request for amendment of this Collective Agreement.
- 9.04** A grievance may be initiated by the Association or by the Board.
- 9.05** The time limits set out in this Article may be changed only by agreement, in writing, of both Parties. Such agreement shall not be unreasonably withheld.

9.06 A grievance not initiated or advanced within the time limits set out, or those extended in writing pursuant to Article 9.05, herein shall be deemed abandoned.

9.07 A Member alleging a grievance shall present their allegations to the Association, which holds carriage rights over alleged grievances of Members. The Association shall decide whether or not to pursue the alleged grievance.

9.08 All disputes related to an administrative suspension imposed on a Member under the terms of the Collective Agreement or the Post-Secondary Learning Act are subject to the grievance and arbitration provisions set out in Article 9 of the Collective agreement or any successor provisions to Article 9. If discipline is imposed and the Association grieves the discipline, any grievance related to the suspension shall merge with the discipline grievance.

9.09 The Parties may choose to settle the grievance on mutually agreeable terms at any stage.

9.10 Step 1: Notice and Meeting

9.10.1 A Party may submit a grievance, in writing, to the respective President or their delegate. The grievance shall describe the nature of the grievance, state the date of the occurrence and the circumstances out of which it arose, identify the specific clauses in the Collective Agreement that are allegedly being violated, and the remedy or correction being sought.

9.10.2 In the first step of the grievance process, the Parties shall meet in an attempt to resolve the issue.

9.10.3 The request for the meeting will be made by the Party filing the grievance and the meeting shall take place within fifteen (15) Working Days of this request.

9.10.4 If the grievance is not satisfactorily resolved at Step 1, the grievance may be formally forwarded, in writing, to Step 2 of the grievance process within fifteen (15) Working Days of the meeting at Step 1.

9.11 Step 2: Meeting

9.11.1 Within fifteen (15) Working Days of the grievance being filed at Step 2, all revisions or amendments to the grievance, if any, shall be presented in writing to the President of the Association (in the case of a grievance by the Board) or the President of the University (in the case of a grievance by the Association) specifying the Article(s) or Section(s) in the

Collective Agreement or relevant legislation to which the grievance applies, providing such further particulars as appropriate and proposing a remedy. This submission may differ from the issues and remedies identified in Article 9.10.1 and should only focus on these differences, otherwise the previous submission will satisfy the requirements of this obligation.

- 9.11.2 Upon receipt of the **Step 2** notice, the President of the University (in the case of grievances by the Association) or the President of the Association (in the case of grievances by the Board) shall render a written report and may arrange a meeting to discuss the grievance within ten (10) Working Days of the report. The report may include, on a without prejudice basis, a recommendation or recommendations to resolve the dispute.
- 9.11.3 The **report** shall be deemed accepted by the other Party unless the other Party indicates in writing to the contrary within ten (10) Working Days of the report or the meeting, whichever is later.
- 9.11.4 If the other Party does not accept the **report** either Party may refer the matter, in writing, to Step 3: Arbitration within ten (10) Working Days of receipt of the decision.

9.12 Step 3: Arbitration

- 9.12.1 When arbitration is required, grievances shall be referred to a single arbitrator.
- 9.12.2 The arbitrator shall be appointed by the agreement of the President of the University and the President of the Association within ten (10) Working Days after the President of the University or the President of the Association, as appropriate, has received notice of referral.
- 9.12.3 Failing agreement, the arbitrator shall be appointed following provisions of the Code.
- 9.12.4 For the purposes of Article 9, the arbitrator shall conduct the arbitration in accordance with the provisions of the Code. The arbitrator may assign the costs of the arbitration.
- 9.12.5 The arbitrator shall hear the grievance and issue a decision which shall be final and binding upon the parties to the arbitration.

9.13 Interpretation

- 9.13.1 Interpretation is a process by which the Board and the Association strike a committee of their respective Presidents to resolve ambiguities or uncertainties about the meaning or

application of this Collective Agreement that are not the subject of a grievance.

- 9.13.2 The Interpretation Committee is not to be used to resolve active grievances as defined in Article 9.01. The Committee is used to proactively clear up ambiguities or uncertainties before a grievance arises.

9.14 Interpretation Process

- 9.14.1 The Interpretation Committee shall meet within ten (10) Working Days of the written request of any of its members. This request shall provide a sufficient outline of the issue(s) or Article(s) requiring interpretation.
- 9.14.2 The Interpretation Committee shall issue a written report of its deliberations within five (5) Working Days of its meeting. This report may consist of an agreed upon statement by all members or individual reports by each member.
- 9.14.3 Where the Interpretation Committee members agree upon an interpretation, this interpretation shall become a Schedule of this Collective Agreement.

9.01 ~~Interpretations, clarifications or specifications of the Collective Agreement, either agreed upon by both Parties or ruled by an arbitrator as part of the resolution of grievances, may be incorporated as clauses or schedules of this Collective Agreement by mutual consent of the Parties.~~

9.02 ~~Grievance~~

- 9.02.1 ~~A grievance is a claim that there has been a violation, improper application or non-application of the terms of this Collective Agreement. A grievance shall be settled in accordance with the terms of this Collective Agreement. The time schedule of this grievance procedure or any part thereof may be abridged or extended by mutual consent. Procedures under Article 9 shall be subject to procedural fairness as defined in Article 2.26.~~
- 9.02.2 ~~Issues of process and procedural fairness are subject to grievance.~~
- 9.02.3 ~~Subject to Article 9.02.2 the following matters shall not be subject to grievance:~~
~~(a) the outcome of appeals respecting promotion,~~
~~extension of probation, tenure or salary of a Member;~~

- ~~(b) the outcome of a specific process provided by this Collective Agreement that is binding on both Parties; or~~
 - ~~(c) a request for amendment of this Collective Agreement.~~
- 9.02.4 At any point in the grievance process the Parties may agree to settle the matter between them with or without the use of mediation.

9.03 Grievance Process

- 9.03.1 ~~A grievance shall be initiated within sixty (60) Working Days following the date upon which the violation, improper application or non-application of this Collective Agreement is alleged to have occurred, or on which the alleged violation should have become known to the Member, Association, or President.~~
- 9.03.2 ~~A grievance may be initiated by the Association or by the Board.~~
- 9.03.3 ~~A Member alleging a grievance shall present their allegations to the Association, which holds carriage rights over alleged grievances of Members. The Association shall decide whether or not to pursue the alleged grievance.~~
- 9.03.4 *Step 1: Informal meeting*
- ~~(a) In the first step of the grievance process, the Parties shall meet informally in an attempt to resolve the issue.~~
 - ~~(b) The request for the meeting will be made by the Party filing the grievance and the meeting must take place within fifteen (15) Working Days of this request.~~
 - ~~(c) If the issue is not resolved at this stage, the grievance moves to Step 2.~~
- 9.03.5 *Step 2: Formal Notice*
- ~~(a) The second step of the grievance process is the formal notification.~~
 - ~~(b) In the event a grievance is claimed, the grievance shall be presented in writing to the President of the Association (in the case of a grievance by the Board) or the President of the University (in the case of a grievance by the Association) specifying the Article(s) or Section(s) in the Collective Agreement or relevant legislation to which the grievance applies, providing such particulars as appropriate and proposing remedy.~~
 - ~~(c) Upon receipt of the formal notice, the President of the University (in the case of grievances by the Association) or the President of the Association (in the case of grievances by the Board) shall investigate the grievance.~~
 - ~~(d) A written report of the investigation shall be presented~~

~~to the other Party within ten (10) Working Days together with a recommendation or recommendations to resolve the dispute.~~

- ~~(e) This report and proposed resolution shall be deemed accepted by the other Party unless the other Party indicates in writing to the contrary within ten (10) Working Days. If the other Party does not accept the report and proposed resolution, the parties shall arrange to meet within ten (10) Working Days to attempt to resolve the grievance.~~
- ~~(f) If this meeting is not held within ten (10) Working Days or the parties are unable to resolve the grievance within five (5) Working Days of this meeting, either Party has the option of proceeding to Step 3: Arbitration.~~

9.03.6 **Step 3: Arbitration**

- ~~(a) If the President of the University (in the case of grievances by the Association) or the President of the Association (in the case of grievances by the Board) is unsatisfied with the results of the meeting in Article 9.03.5(f) or (in the case of the Interpretation Committee) the meeting in Article 9.05.1, they have the option of referring the matter to arbitration.~~
- ~~(b) Written notification that the matter is to be referred to arbitration must be provided to the other Party within thirty (30) Working Days of the relevant meeting identified in Article 9.03.6(a).~~
- ~~(c) When arbitration is required, grievances shall be referred to a single arbitrator.~~
- ~~(d) The arbitrator shall be appointed by the agreement of the President of the University and the President of the Association within ten (10) Working Days after the President of the University or the President of the Association, as appropriate, has received notice of referral.~~
- ~~(e) Failing agreement, the arbitrator shall be appointed following provisions of the Alberta Labour Relations Code.~~
- ~~(f) For the purposes of Article 9, the arbitrator shall conduct the arbitration in accordance with the provisions of the Alberta Labour Relations Code. The arbitrator may assign the costs of the arbitration.~~
- ~~(g) The arbitrator shall hear the grievance and issue a decision which shall be final and binding upon the parties to the arbitration.~~

9.04 **Interpretation**

9.04.1 **Interpretation is a process by which the Board and the**

~~Association strike a committee of their respective Presidents to resolve ambiguities or uncertainties about the meaning or application of this Collective Agreement that are not the subject of a grievance.~~

- 9.04.2 ~~The Interpretation Committee is not to be used to resolve active grievances as defined in Article 9.02. The Committee is used to proactively clear up ambiguities or uncertainties before a grievance arises.~~

9.05 Interpretation Process

- 9.05.1 ~~The Interpretation Committee shall meet within ten (10) Working Days of the written request of either of its members. This request shall provide a sufficient outline of the issue(s) or Article(s) requiring interpretation.~~
- 9.05.2 ~~The Interpretation Committee shall issue a written report of its deliberations within five (5) Working Days of its meeting. This report may consist of an agreed upon statement by both members or individual reports by each member.~~
- 9.05.3 ~~Where the Interpretation Committee members agree upon an interpretation, this interpretation shall become a Schedule of this Collective Agreement.~~
- 9.05.4 ~~Where the Interpretation Committee members cannot agree upon an interpretation within five (5) Working Days of the meeting of Article 9.05.1, either member may request arbitration according to the procedures of Step 3 of the Grievance Process in Article 9.03.6.~~

Section 2: Applicable to ALL Members

Article 10: Appointment of Members

10.01 Kinds of Appointment

10.01.1 Members may be offered one of the following types of appointment:

- (a) a Continuing Appointment/Appointment with tenure;
- (b) a Probationary appointment;
- (c) a Term appointment (with conversion);
- (d) a Term appointment (without conversion); or
- (e) a Sessional Lecturer appointment.

10.02 Continuing Appointment/Appointment with Tenure

10.02.1 An initial Continuing Appointment/Appointment with tenure shall be subject to the provisions of [Article 27.02.2](#).

10.03 Probationary Appointment

10.03.1 A probationary appointment means that the Member will be considered for Extension of Probation, for Continuing Appointment, or for tenure.

10.04 Term Appointment (With Conversion)

10.04.1 **A Term appointment which satisfies the conditions under Article 10.06 shall be converted to a probationary appointment under the provisions of that article.** A Term appointment may contain provisions for conversion into a probationary appointment on two bases:

- (a) that the Term appointment is made in an area reliant on contingent funding that may become permanent. In this case, the letter of appointment shall specify the conditions under which conversion may occur, such as the availability of continuing funding for the position, attainment of academic credentials, and satisfactory performance of the duties for which the appointment was made.
 - (i) If there is more than one (1) qualified candidate eligible for the probationary appointment under the terms of the most recent Term appointment, a duly appointed Search Committee will recommend one of the candidates for appointment.
- (b) upon attainment of specific academic credential(s).

10.04.2 The letter of appointment shall specify all conditions on which the

conversion may depend, including any of the following that apply:

- (a) the availability of continuing funding;
- (b) the specific academic credential(s) which must be attained;
- (c) whether the conversion shall occur
 - (i) automatically upon fulfillment of conditions such as the attainment of the credential(s), or
 - (ii) subject to a recommendation of an STP Committee, upon fulfillment of conditions; and
- (d) the time by which the credential(s) must be attained, which shall normally not be later than the first (1st) Working Day of January of the year in which the Term appointment ends.

10.04.3 If the conversion occurs under the conditions of the Term contract, in [Article 10.04.2](#) above, the probationary appointment shall be deemed to have begun with the current Term appointment. At their request, an Instructor/Academic Assistant may have prior service at the University considered as comprising part of the probationary period of [Article 27.01.3\(a\)\(ii\)](#), under the condition of [Article 27.01.3\(c\)\(ii\)](#).

10.04.4 For purposes of conversion under [Article 10.04.2\(c\)\(ii\)](#) above, the current STP Committee may be convened at any time during its term.

10.04.5 When the credential(s) specified in [Article 10.04.1\(b\)](#) above is/are attained after October first (1st) but on or before the first (1st) Working Day in January of the year in which the Term appointment ends, the current STP Committee shall have jurisdiction to decide both the matter of conversion of the contract and, if required, the extension of probation.

10.04.6 At the conclusion of a Term appointment, the Term appointee may request in writing of their Dean to be considered for future Sessional Lecturer appointments to the same or similar courses taught under the Term appointment in accordance with the provisions of Article 38.02.3 and 38.03.2. Successive time accrued as a Term appointee instructing the same or similar course during the Term appointment shall count toward the reappointment time in Article 38.02.3.

10.05 Term Appointment (Without Conversion)

10.05.1 A Term appointment shall be for a contractually limited period. It shall not carry any implication that the Member will be considered for further appointment, extension or renewal unless special provision for conversion into a probationary appointment as specified in [Article 10.04](#) is made in the original letter of appointment. Term appointment (without conversion) is subject to the provisions of Article 10.06.

10.05.2 Except for such provision as specified in [Article 10.04](#), a Term appointment shall not be made except under one or more of the

following conditions:

- (a) that the appointment is a replacement for a Member on leave or on another assignment of duties. Normally, such an appointment will be limited to the semesters that include the relevant leave or reassignment;
- (b) that the appointment is of someone unqualified for Continuing Appointment because a person with the required qualifications is not available at the time;
- (c) that the duties connected with the appointment are for a limited period and are expected to be no longer required after the specified date;
- (d) that the appointment is caused by the sudden unforeseen departure of a Member;
- (e) that the appointment is to afford flexibility in program development for a specified period as determined by the Vice-President (Academic); or
- (f) that the appointment has been made by the Dean in response to a failed search (see [Article 25.03.2](#)).

10.05.3 The letter of offer made to a Term appointment shall reference the article of the Collective Agreement under which the appointment is being made.

10.05.4 Consecutive Following an initial Term appointment, subsequent Term appointments for which the assigned duties of the Member have not changed ~~(in the case of Instructors/Academic Assistants this applies to appointments in consecutive Academic Years for which the assigned duties have not changed significantly)~~ will:

- ~~(a) not exceed a cumulative period of appointment of six (6) years;~~
- (b) be made at the same or higher rank; and
- (c) be made at a salary no less than the previous appointment; and
- (d) **be subject to the provisions of 10.06.**

10.05.5 For Instructors and Academic Assistants, Term appointments for less than a year shall be remunerated at the full-time salary rate for the term of the appointment when assigned duties are at the full-load equivalent. When consecutive Term appointments result in a cumulative load of one-half (0.5) full-load equivalent or greater for the Academic Year, the Member shall receive financial compensation in lieu of benefits.

10.05.6 Term appointees will be informed by the Dean at the earliest possible opportunity if there will be a recommendation to the Board to renew or extend the Term appointment. Any renewal or extension shall be in accordance with [Article 10.05.2](#).

10.05.7 As part of a recommendation for a Term appointment, the Dean will

recommend to the Board the rank at which the appointment will be made.

- 10.05.8 At the conclusion of a Term appointment, the Term appointee may request in writing of their Dean to be considered for future Sessional Lecturer appointments to the same or similar courses taught under the Term appointment in accordance with the provisions of Article 38.02.3 and 38.03.2. Successive time accrued as a Term appointee instructing the same or similar course during the Term appointment shall count toward the reappointment time in Article 38.02.3.

10.06 Conversion of Consecutive Term Appointments

- 10.06.1 Conversion to a probationary position for a Member performing substantially the same duties, in substantially the same discipline area and same Department on term contracts will be subject to the following conditions:
- (a) Upon completion of six (6) cumulative years (i.e., 72 months) performing substantially the same duties, in substantially the same discipline area and same Department on term contracts, if the Member is hired for a seventh (7th) year (i.e., for a 73rd month or longer duration) to perform substantially the same duties, in substantially the same discipline area and same Department that contract must be a continuing (probationary) appointment.
 - (b) Cumulative term years are determined on the following basis:
 - (i) Demonstration of ongoing need, and thus conversion to a probationary position for a Member performing substantially the same duties in substantially the same discipline area and same Department, on term contracts, will exclude the following types of contracts:
 - (A) contracts covering temporary leaves, where the Member on leave has the right to return to the position;
 - (B) majority externally funded positions;
 - (C) contracts funded through targeted short term (not continuing) government funding.
 - (ii) Term contracts in consecutive Academic Years will count toward the maximum time. Term contracts do not need to be in consecutive semesters to be considered cumulative.
 - (iii) The duration of the contract is what counts toward the conversion threshold. E.g. an 8-month term contract counts as 8 months, regardless of the workload assigned in the term contract.
 - (iv) Substantially the same duties performed in substantially the same discipline area, in the same Department on term contracts will count toward the time limit before conversion.
 - (v) When Members hold term contracts in

- consecutive Academic Years but are not performing substantially the same duties in substantially the same discipline area in the same Department, the work they perform in each substantially same discipline area and same Department will be tracked separately.
- (vi) If a Member is unable to accept a term contract during an Academic Year as a result of holding a term contract in another discipline area, the amount of time served in each discipline area will not reset to zero, but will continue to be counted based on the duties performed on term contracts in each discipline area. The maximum amount of time for this pause shall be three years and one day (i.e. 36 months and one day) after which the accumulation for that Member in the offered but not accepted Department will reset to zero (0).
 - (vii) A Member who is unable to accept a term contract during an Academic Year for reasons that would have qualified them for a leave under the Collective Agreement if they did hold a contract, and as a result do not hold a contract during that Academic Year, will have their consecutive term time-clock interrupted but not reset. The Member must be offered a term contract during this time, reject the offer and advise the Dean offering the appointment of the leave-related reason for rejecting the offer at the time of the rejection.
 - (viii) Time spent on leave under the Collective Agreement while holding a term contract counts toward the maximum time until conversion as if the Member were not on leave.
 - (ix) Time accumulated toward conversion on term contracts will not reset in years during which the Member holds a Sessional Lecturer appointment to perform substantially the same duties, in substantially the same discipline area and same Department, for a period not exceeding one (1) Academic Year. In the event that a probationary position to perform substantially the same duties in substantially the same discipline area in the same Department (barring automatic conversion (c) below) is created and advertised through an open search, it is understood that any and all Member(s) on term or sessional appointments will have the opportunity to apply for the new probationary position. If the Member(s) with the highest cumulative term years performing substantially the same duties in the same discipline area in the same Department is not hired into the new position, that Member's cumulative term years will revert to the next highest cumulative term years currently held by any Member performing substantially the same duties in the same discipline area in the same Department, as the creation of the new continuing probationary position in substantially the same discipline area and same Department removes the current ongoing need for the

- term appointment.
- (c) In the event that a Member meets the criteria for conversion to a probationary position, that position will be created in the same Department at a workload level defined at the point of conversion:
 - (i) The conversion will be made at least at the level of the ongoing demonstrated need at the point of conversion, in substantially the same discipline area, in the same Department.
 - (ii) In the case of partially externally funded positions not excluded under Article 10.06.1(b)(i)(B) the level of ongoing demonstrated need is based on the internally funded proportion of the position.
 - (d) Two additional conditions are necessary for a Member to be eligible for automatic conversion:
 - (i) The Member must have been appointed by a duly-constituted Search Committee at least once in the Department where the probationary position is to be created as a result of this clause.
 - (ii) The Member must have received at least one formal (PAR) evaluation from the Dean responsible for the discipline area and Department where the probationary position is being created and received at least a satisfactory rating in that evaluation.

10.07 Sessional Lecturer Appointment

- 10.07.1 Whenever reasonably practicable the Board shall use continuing Instructor/Academic Assistant and tenure-track Faculty Member/Professional Librarian appointments to meet the continuing academic staffing needs of the University.
- 10.07.2 When one or more of the following conditions exist, the Dean may recommend the appointment of a Sessional Lecturer:
- (b) to replace a Member who is on leave or on another assignment of duties;
 - (c) when the search for an individual with the required qualifications for an advertised continuing position is unsuccessful;
 - (d) when the appointment is for a pilot project of limited duration;
 - (e) when unanticipated enrolment increases make such an appointment necessary in the short term;
 - (f) to integrate members of a professional or other community into the University's teaching program;
 - (g) to provide time-limited experience in teaching to post-doctoral fellows, research associates, or graduate students; or
 - (h) when a person with the required qualifications fills a limited ongoing pedagogical need of a Department/Area or Faculty/Library curriculum.

- 10.07.3 **The letter of offer made to a Sessional Lecturer appointment shall reference the article of the Collective Agreement under which the appointment is being made.**
- 10.07.4 Sessional Lecturers may teach no more than three (3), three (3)-credit hour courses in an Academic Year. If a Sessional Lecturer is appointed to teach more than one (1) course in a semester or session, they shall be appointed at the rank of Sessional Lecturer II for each course that they are teaching in that semester or session.
- 10.07.5 When an appointment is made under [Article 10.06.2\(g\)](#), a Sessional Lecturer contract may be offered covering a number of consecutive Fall and Spring semesters, or Summer Sessions, in recognition of the ongoing contribution of such a Sessional Lecturer to the University and in light of the ongoing pedagogical need of a Department/Area, or Faculty/Library curriculum.

10.08 Effective Dates

10.08.1 *Term and Sessional Lecturer Appointments*

- (a) A Term or Sessional Lecturer appointment may commence at any date during the year.
- (b) **The term of appointment shall be included within the Member's letter of appointment. There is no obligation on the Board to extend such appointments beyond their term unless otherwise provided for in this Collective Agreement.**

10.08.2 *Probationary Appointments and Continuing Appointments/Appointments with Tenure*

- (a) The normal appointment year shall be from July 1st to the following June 30th. However, it shall be possible for an appointment to be made effective at other times of the year.
 - (i) For an appointment taking effect after July 1st but no later than September 1st of a year, the first appointment year shall end on the following June 30th.
 - (ii) For an appointment that becomes effective after September 1st, the first appointment year shall end a year from the immediately following June 30th.

10.09 Procedure

- 10.09.1 The Board shall establish and authorize the filling of each position to which the provisions of this Collective Agreement apply, and shall specify the kind of appointment that may be made and the rank or ranks approved for the appointment.
- 10.09.2 Probationary Appointment ([Article 10.03](#)) and Continuing Appointment/Appointment with tenure ([Article 10.02](#)) positions shall be advertised on the University's Human Resources ~~web site~~ **website**, the "CAUT Bulletin", and other appropriate media. Except when time does not permit, all other positions shall be advertised at least on the University's Human Resources ~~web site~~ **website**.
- (a) Faculty Member/Professional Librarian Probationary Appointments and Appointments with tenure shall also be advertised in "University Affairs".
 - (b) In the case of Sessional Lecturers, this shall mean that within twenty (20) Working Days of the commencement of each Academic Year, a call for applications to fill prospective Sessional Lecturer employment opportunities shall be posted on the Human Resources Careers web page. This call shall include disciplinary areas and levels of instruction for which Sessional Lecturers are most likely to be required.
- 10.09.3 Additional procedures for the appointment of Sessional Lecturers are laid out in [Article 38](#).
- 10.09.4 ***Additional Procedure for Appointments of Members other than Sessional Lecturers***
- (a) Applications for the position shall be considered by a duly established Search Committee which shall recommend to the Dean a candidate or candidates for the position.
 - (b) Criteria for hiring shall be limited to qualifications related to the position as approved and advertised. Personal and social compatibility shall not be used as criteria for appointment. Considerations of contribution to the University by spouses or other personal relations are irrelevant, unless the contributions would occur in the form of a University position subject to appropriate procedures.
 - (c) If the Dean approves a recommended candidate, they shall send the candidate a written offer of appointment, provisional upon ratification by the Board, specifying the effective date, rank, salary and other conditions, including Canadian immigration requirements where applicable, contact information for the Association, and the URL for this Collective Agreement.
 - (i) In the case of an Instructor/Academic Assistant position, the written offer shall also include the Position Description.

- (ii) In the case of a Faculty Member/Professional Librarian position, the written offer shall also include the number of years assigned toward the Academic Career ([Article 2.02](#)). See also [Schedule M](#).
- (d) If the Dean rejects the recommendation of the Search Committee, their reasons shall be given to the President and to the Committee chair, and they shall request the Search Committee to recommend another candidate or candidates. In the case of an Instructor/Academic Assistant position, the reasons may be given to the Vice President (Academic) instead of to the President, and shall also be communicated to the Association.
- (e) In the event that a provisional offer of appointment is accepted, the Dean shall recommend the appointment of that candidate to the President, who shall report the Dean's recommendation to the Board for ratification. In the case of an Instructor/Academic Assistant candidate, consideration for ratification is by the Vice-President (Academic) on behalf of the Board.
- (f) If a recommendation for appointment is ratified, the President shall send an official letter of appointment to the candidate specifying the terms and conditions of the appointment.
- (g) The letter of appointment (including the Position Description in the case of an Instructor or Academic Assistant), countersigned by the appointee, and the Collective Agreement together constitute an agreement of appointment between the appointee and the Board. Amendments to the Collective Agreement shall become part of such agreement of appointment.

10.10 Relocation Allowance (does not apply to Sessional Lecturers)

10.10.1 Refer to [Schedule B.11](#).

Article 11: Rights and Responsibilities

11.01 Academic Freedom

11.01.1 The Board and Association recognize the need to protect academic freedom. Academic freedom is generally understood as the right to teach, engage in scholarly activity, and perform service without interference and without jeopardizing employment. This freedom is central to the University's mission and purpose and entails the right to participate in public life, to criticize University or other administrations,

governments or public figures, to champion unpopular positions, to engage in frank discussion of controversial matters, to raise questions and challenges which may be viewed as counter to the beliefs of society, and to act according to the Member's standards as a professional in the Member's field.

- 11.01.2 Academic freedom carries with it the duty to use that freedom in a manner consistent with the scholarly obligation to base teaching, scholarly activity and service in an honest and careful search for knowledge.
- 11.01.3 Members shall respect the academic freedom of others despite differences of opinion.
- 11.01.4 Academic freedom does not diminish the obligation of Members to fulfill their duties and responsibilities as defined within this Collective Agreement.
- 11.01.5 The Board and the Association recognize their respective responsibilities to defend academic freedom as specified in [Article 11](#). These responsibilities include, but are not limited to:
 - (a) providing legal support to Members in matters that arise from the exercise of academic freedom in accord with [Article 11](#);
 - (b) educating Members on the rights and responsibilities related to academic freedom; and
 - (c) promoting academic freedom.
- 11.01.6 When addressing themselves to the community at large, Members retain the rights and responsibilities which flow from the concept of academic freedom as defined in [Article 11](#).

11.02 General Rights and Responsibilities

- 11.02.1 The Board and the Association shall make **reasonable** efforts to promote and preserve good will among all members of the University community.
- 11.02.2 The Board recognizes a duty to provide, and Members have the right to:
 - (a) a safe workplace free from unfair discrimination, harassment, or abuse of authority; and
 - (b) facilities and support services consistent with a Member's duties and responsibilities as identified in this Collective Agreement.
- 11.02.3 Procedures for the resolution of complaints regarding [Article 11.02.2](#) shall be without recrimination or retribution unless the complaints are frivolous or vexatious.
- 11.02.4 Prospective or former Members shall not be expected to perform duties before or after the term of their appointment without being

appropriately compensated, when those duties are related to their appointment as a Member. Compensation shall be on a pro-rated basis, based on the rate associated with the Member's contract.

11.02.5 *Freedom from Discrimination*

- (a) There shall be no unfair discrimination, interference, restriction or coercion practiced with respect to any Member in regard to any terms or conditions of employment by reason of age, race, colour, ethnicity, national origin, philosophical, political, or religious affiliation or belief, gender, sexual orientation, marital status, **mental** or physical disability, **source of income**, or contrary to the provisions of **the Alberta Human Rights Act** or any **other** relevant legislation.
- (b) There shall be no unfair discrimination practiced with respect to any Member in regard to any terms or conditions of employment by reason of family relationships.

11.02.6 *Participation and Accompaniment*

- (a) Except as provided in [Articles 11.02](#) and [11.04](#), Members shall have the right to express opinions and to participate by means of their representatives in procedures provided by this Collective Agreement, and in the selection of academic administrators.
- (b) The Association has the right to be represented and to represent Members at meetings that deal with disciplinary action. With advance notice by either Party, legal counsel may attend the meeting.
- (c) Members have the right to be accompanied by another individual of the Member's choosing in all procedures specified in this Collective Agreement, provided that:
 - (i) the individual is a Member or staff member of the Association;
 - (ii) the individual is not the President of the Association;
 - (iii) the individual is not precluded by [Article 11.04](#) from such an accompaniment role. In the case of a dispute, resolution shall be as provided for in [Article 11.04.6](#); and
 - (iv) if the Board indicates that legal counsel will be attending the procedure, the Member may be accompanied by a representative delegated by the Association.
- (d) The Member exerting their right under [Article 11.02.6\(c\)](#) has no obligation to justify the accompaniment but shall identify the accompanying individual in advance.
- (e) A Member may waive their right under [Article 11.02.6\(c\)](#).

11.02.7 **Representation to the Board**

- (a) A Member shall have the right to make written representation to the Board through the President.

11.02.8 **Ownership of Documents and Communication**

- (a) This Collective Agreement recognizes two (2) types of documents, including electronic records and correspondence:
 - (i) documents and records, including electronic records (excluding any personal notes or annotations) held by Members acting in administrative roles, such as Chairs, Coordinators, or Assistant Deans, which are related to those administrative duties and reflect administrative communications between Chairs, Coordinators, Assistant Deans and other academic and non-academic members of staff. Such documents belong to the Board; and
 - (ii) those communications which reflect confidential academic conversations among academic colleagues. Such documents belong to the Member.
- (b) It is agreed that the ownership of categories of documents which are neither (a)(i) nor (a)(ii) in [Article 11.02.8](#) shall be resolved using the procedures of [Article 9.04](#) of this Collective Agreement, subject to the applicability of relevant legislation.

11.02.9 **Other Rights**

- (a) Members have the right to:
 - (i) maintain order and safety in the classroom, lab or other teaching or research situation and to limit the access of persons who are abusive, disruptive or who otherwise violate the rights of Members, students, or other people authorized to be present;
 - (ii) assistance of security **or other appropriate** personnel regarding the maintenance of such order, safety and limitations of access; and
 - (iii) rule on the use ~~by students~~ of recording devices in the classroom, laboratory, or analogous learning situation, subject to reasonable accommodation of student needs and recognizing that both the Board and the Members have an obligation to accommodate students with disabilities.

11.03 **Responsibilities of Members**

- 11.03.1 Members shall act fairly towards students, staff, other Members, Board members and administrators. There shall be equitable treatment, no unfair or irrelevant discrimination, **no harassment**, objective assessment of performance, due respect for opposing ideas, due

acknowledgement of intellectual indebtedness, due respect for privacy and confidentiality, and compliance with this Collective Agreement. Members shall execute their duties in a manner consistent with reasonable, professional expectations, and within the constraints imposed by all legal requirements including this Collective Agreement.

11.03.2 Responsibilities of Members as Teachers and Supervisors of Students

- (a) Members shall have the following responsibilities as teachers and supervisors of students:
 - (i) Members shall maintain a scholarly competence and pedagogic effectiveness;
 - (ii) Members shall discharge their instructional responsibilities in accordance with procedures established pursuant to the provisions of the Post-Secondary Learning Act;
 - (iii) Members who provide instruction shall comply with procedures established pursuant to the provisions of the Post-Secondary Learning Act for reporting the grades of their students. Where such grades are reviewed by Department, Faculty or University committees, Members should be available for the duration of the committee's work if so required by the committee. If this Article requires the availability of a former Member after the end of their contract, the former Member shall be compensated on a pro-rated basis, based on the rate associated with their contract;
 - (iv) Members shall not cancel or terminate scheduled instruction assigned under [Article 21](#) except for good reason, shall give their students notice of such cancellation together with notice of any alternative instruction or rescheduling of the cancelled instruction and shall inform their Dean or designate, with reasons for the cancellation;
 - (v) Members shall be reasonably available for out of class discussions with students. Students shall have equal opportunity for such discussions;
 - (vi) Members shall treat students fairly in class and shall reasonably accommodate individual instructional needs;
 - (vii) Members shall not accept pay for tutoring a student in any subjects in which the Member is responsible for giving classroom instruction to that student but may recommend tutors who may do so provided that no benefit, direct or indirect, accrues to the Member for that referral;
 - (viii) Members shall not require students in evaluative and/or supervisory relations to the Member to provide any services to the Member outside a formal, employer/employee relationship in the context of that relationship that are

inappropriate to the nature of that relationship. Any employer/employee relationship entered into by a Member shall be reported by the Member to their Dean.

- (ix) A personal, intimate relationship is one where there exists reasonable apprehension of bias between a Member and a student, and which may be perceived as conferring upon one of them an unfair advantage or subject one of them to an unfair disadvantage. Such relationships may therefore be perceived as a conflict of interest with respect to any evaluative and/or supervisory relationship between the Member and the student.
 - (A) A Member who is involved in a personal, intimate relationship with a student shall not have a supervisory and/or evaluative relationship with that student.
 - (B) A Member shall not become involved in a personal, intimate relationship with a student with whom the Member has a supervisory and/or evaluative relationship.

11.03.3 ***Responsibilities of Members as Scholars/Creators***

- (a) When a Member engages in scholarly/creative activity, they have the following responsibilities as a scholar/creator:
 - (i) All scholarly/creative activity conducted by Members within the University shall have as its primary objectives:
 - (A) creating and disseminating works of aesthetic or intellectual value;
 - (B) increasing and disseminating knowledge and understanding; or
 - (C) improving their scholarly competence as teachers, including the keeping abreast of recent developments in their disciplines.
 - (ii) Members engaged in research, scholarly or creative work are expected to show scholarly/creative integrity therein, and to endeavour to disseminate the results of their scholarship/creativity or exhibit the results of their creative work.
 - (iii) **Gross misconduct** in scholarly/creative activity means **failure to comply with the requirements of the University of Lethbridge Integrity in Research policy.**
 - (A) ~~fabrication, falsification, or plagiarism, but not factors intrinsic to the process of scholarly/creative activity, such as honest error, conflicting data or differences in interpretation or assessment of data, or of experimental design;~~
 - (B) ~~significant failure to comply with relevant federal or~~

~~provincial statutes or regulations; or national or international standards for the protection of investigators, human subjects, or the health and safety of the public, or for the welfare of animals; or significant failure to meet other legal requirements that relate to the conduct of scholarly/creative activity;~~

~~(C) failure to reveal any relevant and substantial conflict of interest to the agencies funding the Member's University scholarly/creative activity, to those who commission such scholarly/creative activity, to an editor or to an agency requesting the Member to undertake reviews of grant applications or manuscripts for publication, or to an agency requesting the Member to test products for sale or distribution to the public; or~~

~~(D) failure to reveal to the Board any material financial interest in a company that contracts with the University to supply goods or services directly pertaining to the Member's University scholarly/creative activity. Material financial interest includes ownership, substantial stock holding, a directorship, substantial honoraria or consulting fees, but does not include routine stock holding in a large publicly traded company.~~

- (iv) Members shall indicate their affiliation with the University and their reliance on the work and assistance of others, if any, in their scholarly/creative works.

11.03.4 Responsibilities of Members (excluding Sessional Lecturers) in Administrative and Committee Work

- (a) Members (excluding Sessional Lecturers) shall have the responsibilities in administrative and committee work to be active members of their academic units and Faculty Councils, and are expected, when called upon, to participate to a reasonable extent in other bodies dealing with University governance.

11.04 Conflict of Interest and/or Commitment

- 11.04.1 Members are expected to avoid actual or potential conflicts of interest and/or commitment as defined in [Article 11.04](#).
- 11.04.2 No Member shall knowingly participate in any decision-making process that directly and preferentially benefits the Member or any individual with whom the Member has an immediate family, financial, personal or business relationship.
- 11.04.3 An actual or potential conflict of interest arises when a Member is placed in a situation in which their personal or financial interests or the interests of their immediate family, or persons with whom the

Member has a personal or business relationship, conflict or appear to conflict with their responsibilities to the University as provided in this Collective Agreement.

11.04.4 An actual or potential conflict of commitment arises when the external activities or commitments of a Member are so substantial or so demanding of the Member's time and attention that they interfere with their responsibilities to the University as provided in this Collective Agreement.

11.04.5 The existence of a current or anticipated conflict of interest and/or current or anticipated conflict of commitment does not necessarily preclude the Member's involvement in the situation where the conflict has arisen, or may arise. There may be situations where a conflict of interest and/or conflict of commitment once declared can be managed so as to enhance rather than undermine the Member's contributions to the University.

11.04.6 *Process for Resolving Conflicts of Interest or Commitment*

- (a) If there is reasonable cause to believe that a current or anticipated conflict of interest and/or current or anticipated conflict of commitment may exist, the Member must formally disclose it in writing to the Member's Dean as soon as possible after the Member becomes aware of it.
- (b) Following consultation with the Member and other appropriate persons, the Dean will notify the Member whether a current or anticipated conflict of interest and/or conflict of commitment exists and if so, decide how it is to be resolved or managed. The Dean shall state in writing the appropriate manner in which to deal with the current or anticipated conflict of interest and/or conflict of commitment.
- (c) Consultation and notification shall be conducted in an expeditious manner and approval for proposals to resolve or manage conflicts of interest and/or conflicts of commitment shall not unreasonably be withheld.
- (d) The decision of the Dean shall be subject to appeal to the Vice-President (Academic).

11.05 Complaints about Members

11.05.1 Complaints about a Member alleging a violation of [Article 11.02](#) shall be addressed to the Member, unless the complainant has good reason to fear personal harm or offense. If so, or if the complainant is dissatisfied with the response, the complaint shall be addressed to the Member's Dean, with [the Dean providing](#) copies to the Association.

11.05.2 If the Dean cannot hear, receive or act on the complaint(s) in a reasonable time, or should not hear or receive the complaint(s) for

reasons of a reasonable apprehension of bias, the complaint(s) shall be referred to that person among the remaining Deans with the most decanal service at the University, and this person shall have the authority to act as the appropriate Dean in this matter. If necessary, the Office of the Vice-President (Academic) shall direct the complaint to the appropriate Dean.

- 11.05.3 Complaints about a Member that are found to have merit may be entered into the Member's Personal File by the Dean, following the procedures in [Article 14.11](#) (Materials other than confidential letters of reference), and may be subject to other actions under the provisions of [Article 19](#).
- 11.05.4 **The complainant may be informed under condition of confidentiality with respect to the disposition of any complaints. In the event that action against the Member is taken under Article 19, the complainant may be informed under condition of confidentiality with respect to the disposition of their complaint.**

Article 12: Equity, Diversity, and Inclusion (EDI)

- 12.01** In accordance with [Article 11.02.5](#) (Freedom from Discrimination), the Board and the Association are committed to promoting equity, diversity, and inclusion in the Academic Staff complement employed at the University.

- 12.01.1 The Parties are committed:

- (a) to the creation and promotion of policies, practices, and systems shown by research to have a favourable effect on the recruitment, hiring, salary, retention, and promotion of members of the Academic Staff complement from diverse groups including, but not limited to, protected characteristics identified by legislation;
- (b) to the identification and revision of policies, practices, and systems shown by research to have an unfavourable effect on the recruitment, hiring, salary, retention, and promotion of members of the Academic Staff complement from diverse groups including, but not limited to, protected characteristics identified by legislation;
- (c) to recognize and support the value that diversity brings to the academic activities of the University; and
- (d) to promote and support a positive and inclusive working climate for all Members.

- 12.01.2 The Parties agree that in the evaluation of candidates for initial appointment, reappointment, salary, Continuing Appointment, tenure and/or promotion:

- (a) the criteria used in Academic Staff Collective Agreement procedures must not systematically discriminate against any Member and shall be reviewed periodically to ensure that they do not undervalue work of any group of Members; and
- (b) Members shall not be disadvantaged by reason of career interruptions related to any ground protected by legislation.

12.01.3 **Joint Committee on EDI**

- (a) The Parties agree that there shall be a Joint Committee on EDI (JCEDI). The JCEDI will include three (3) Board representatives and three (3) Association representatives who are Members. JCEDI membership should draw on the broad diversity of perspectives and EDI expertise of University administration and the Association. Committee members shall normally serve two to three (2–3) year staggered terms as best meets the Committee's needs, ensuring some continuity within the JCEDI at any time.
- (b) Each Party shall designate one (1) of its representatives as a co-chair.
- (c) Quorum shall consist of at least two (2) Board representatives and at least two (2) ULFA representatives who are members of the JCEDI. The vote of an absent member may be cast by a proxy (designated by that member), but shall not count toward quorum.
- (d) The purpose of the JCEDI is to work collectively to:
 - (i) identify EDI issues that may affect Academic Staff and that may require study and redress;
 - (ii) provide advice around possible approaches for addressing these issues; and
 - (iii) ensure regular and systematic collecting, monitoring, and reporting of data regarding EDI issues.
- (e) The Office of **Accessibility, Belonging, and Community** ~~the Executive Director of EDI~~ and the JCEDI may exchange advice and available information where the JCEDI deems that information is necessary and relevant to its work.
- (f) The Board and the Association shall cooperate with and provide training, where appropriate, to JCEDI members.
- (g) The Board and the Association representatives participating in the JCEDI shall comply with privacy obligations established by legislation or this Collective Agreement. The JCEDI representatives may share information with the Association Executive or the Board, provided the JCEDI approves the content and timing of the information to be shared.
- (h) The JCEDI shall report annually on its activities to the Board and the Association, reflecting the University's progress and standing on EDI issues reviewed or currently under study, including relevant aggregate data.

12.01.4 *EDI Studies*

- (a) The Parties agree that EDI studies, including pay equity studies, will
 - (i) be conducted with the appropriate regularity pertaining to the topic of exploration;
 - (ii) be conducted according to best practices in post-secondary education; and
 - (iii) have any inequities discovered by any pay equity study redressed within a reasonable timeframe, normally not to exceed twelve (12) months of the study's completion, unless mutually agreed otherwise by the Board and the Association.
- (b) The process, scope, and time frames for each study will be determined by the JCEDI, using expert advice. In addition to any other relevant outcomes, each study shall include recommendations for revision of practices and procedures that have the potential to, or in fact do, adversely affect any marginalized or equity-deserving group.
- (c) The JCEDI may recommend procedures and methodologies for any EDI study.
- (d) No Member shall have their salary reduced as a result of this Article.

12.02 Accommodation

12.02.1 The Board and the Association have ~~a duty an obligation~~ to accommodate Members on any grounds protected by legislation, up to the point where it causes undue hardship to the University. This requires meaningful accommodation across the full range of assigned duties (teaching, research, and service), for all categories of academic staff, at all stages of career, and with respect to all configurations of assigned duties, including any configuration of duties assigned under a study leave arrangement.

12.02.2 Accommodation is understood as any temporary or permanent measure used to remove a barrier which prevents an otherwise qualified Members from performing all or part of their normal duties to at least satisfactory performance standards. Accommodation may include, but is not limited to:

- (a) adjustments to physical workspace;
- (b) provision of tools or equipment;
- (c) modification of a Member's duties, workload, or work practices; and/or

- (d) modification of work plans, annual assessments or timing of probationary/re-appointment/Continuing Appointment/tenure/promotion reviews.
- 12.02.3 The purpose of accommodation is to promote the Member's full participation and integration into the workplace. The Member therefore has the right to suggest reasonable accommodations.
- 12.02.4 A Member may be required by the Board to provide relevant documentation to support an accommodation request. In the case of mental or physical disability, an appropriate Medical Certificate (as defined in [Article 2.18](#)) shall be required. Costs associated with documentation required by the Board to establish and support the duty to accommodate request shall be covered by the Board.
- 12.02.5 **The Board shall acknowledge accommodation requests from Members as soon as practicable and shall provide a decision on such requests within a reasonable timeframe.**
- 12.02.6 The Board will **maintain a current online module** ~~offer biannual training to Members~~ regarding the legal right to accommodation and the requirements and processes associated with providing those accommodations. **This online module will be available to all Members. The Board and** will ensure that supervisors of academic staff **will review the online module as appropriate** ~~have regular training on these issues.~~

Article 13: Criteria for Performance Evaluation

13.01 Teaching Effectiveness

- 13.01.1 Effectiveness as a teacher implies a concentrated and successful effort to create the best possible learning situation for students. It involves continuing attention to ~~course work~~, course design, **delivery** and related activities; and to the supervision of students in alternative modes of learning. It may involve **the implementation of methods resulting from** participation in seminars and colloquia, the design of innovative methods of teaching, or other contributions to the teaching activities of the University and beyond. Effectiveness in teaching may be **demonstrated assessed** by a variety of means including but not limited to: self-reflection; appraisals by fellow Members or pedagogical experts; examples of **teaching materials and assessments; lectures, class materials, exams and assignments;** presentations, articles and practice guides; prizes or awards; evidence of **pedagogical Educational Leadership**, impact, **and** mentorship of others; receiving mentorship or training.

13.01.2 When student appraisals of teaching are used:

- ~~(a) an appropriate, user friendly, intelligible and easily accessible mode of presentation of these data shall be used;~~
- (b) the data is relevant to the individual Member and comparisons between Members based on this data shall not be used to evaluate teaching effectiveness; and
- (c) assessors and evaluators shall be educated in inherent and systemic biases in certain kinds of student appraisals of teaching effectiveness, and shall take these biases into account when using such appraisals.

13.01.3 Teaching-Stream Faculty Members assigned duties under Article 39.04.2 shall be evaluated on those duties.

13.01.4 Teaching-Stream Faculty Members assigned exceptional duties under Article 39.04 shall be evaluated on those duties unless an alternative review schedule is requested by the Dean under Article 39.04.5.

Commented [A2]: Board interprets this as a reference to the duties of Teaching-Stream Faculty Member

Commented [A3]: Board interprets this as a reference to the evaluation schedule.

13.02 Performance as a Professional Librarian

13.02.1 Effectiveness as a Professional Librarian is the primary criterion for the performance of Professional Librarians. Factors to be considered include the ability to perform consistently at an appropriate professional level in such areas as reference and information service, collection development, bibliography and cataloguing; instruction in library use and research methods; management of library personnel and operations; and innovative development of library services, collections, and operations.

13.03 Research, Professional Development, Scholarly Work, and Creative Activity

13.03.1 Efforts shall be made to evaluate the quality and originality of both published and unpublished scholarly work; "publication" in this context shall be interpreted to include exhibitions and performances, as appropriate. For Professional Librarians, scholarly work includes work which has had an impact upon the profession of librarianship, performance as Professional Librarian, and the provision of library services, or the dissemination of information and knowledge.

13.03.2 In the case of Professional Librarians, recognition is given to personally initiated research conducted over and above regularly assigned Performance as a Professional Librarian.

13.03.3 For Research-Stream Faculty Members, research/creative/scholarly work shall be evaluated which:

- (a) concerns topics, ideas, theories, or methods used in the teaching

program of the Member's Department, including the scholarship of teaching and learning or, where there is no department, comparable administrative unit;

- (b) directly relates matters arising from [Article 13.03.3\(a\)](#) above to topics, ideas, theories, or methods of other areas in interdisciplinary fashion; or
- (c) concerns topics, ideas, theories, or methods in the teaching programs of other areas.

13.03.4 For Professional Librarians, research and professional development which shall be considered for evaluation:

- (a) concerns topics, ideas, theories, or methods used in the performance of professional activities;
- (b) directly relates matters arising from [Article 13.03.4\(a\)](#) above to topics, ideas, theories, or methods of other areas in interdisciplinary fashion.

13.03.5 Beyond [Articles 13.03.3](#) and [13.03.4](#), the onus shall lie with the Member to demonstrate that their work should be evaluated.

13.03.6 Factors that may be considered in the evaluation of research and creative activity include, but are not limited to, grant application writing; the publication of books, monographs and contributions to edited books; papers in both refereed and non-refereed journals; the academic planning of, or papers delivered at, professional meetings; consulting work and other professional activities involving research competence; participation in panels; any other dissemination to appropriate communities; unpublished research including current work in progress; editorial duties; refereeing; creative works and performances; and scholarship as evidenced by the Member's depth and breadth of knowledge and general contributions to the research life of the University.

13.03.7 For Professional Librarians, factors may also include the innovative development of library service, collections, or operations which have an impact on the profession of librarianship, and scholarship as evidenced by the candidate's advanced study and research in library and information science and/or a subject specialization.

13.04 Service to the University and to Society

13.04.1 Service shall generally be seen as contribution to the smooth running or effectiveness of organisations, groups, and individuals. Service includes professional evaluation of scholars and of scholarly work. Members contribute at many levels to the functioning of the University through administrative work, committee assignments, and mentorship. Service encompasses service to students, to colleagues, to the University, service to professions, and service to the community, to the public, or to society, as it relates to the Member's University appointment.

- 13.04.2 **Efforts shall be made to evaluate the quality and impact of a Member's service contributions.** Recognition shall be given to the contribution of the Member to the intellectual life and academic climate of the University through for example:
- (a) non-teaching support provided to students and to student groups; support, mentorship, and feedback provided to colleagues; seminars, recitals, discussions, readings and debates; outreach and recruitment for the University;
 - (b) contributions to the functioning of the University through activities and work on University, Faculty, Library, Department/~~Area~~/**Program**, and Association committees, working groups and task forces, as well as work for specialized units such as Research Services or the Teaching Centre;
 - (c) administrative activities related to the research and teaching functions of the University through duties such as Department/~~Area~~ Chair or through other administrative assignments.
- 13.04.3 Recognition shall also be given for service to professions and to professional associations, including the administrative organisation of workshops and conferences. General contributions to society shall include arranging for or delivering public lectures, contributions to and participation in professional, academic, or governmental activities and organizations, and service to organizations, groups, or individuals in the community that relates to the Member's University appointment by making available the Member's expertise and knowledge.
- 13.04.4 Service obligations to communities that arise as a result of research are also recognized as valid and valuable contributions, and shall be recognized as either research or service at the Member's discretion.
- 13.04.5 **Members of equity-deserving groups may feel compelled to engage in greater service contributions than other Members. These Members shall consult with the Dean if their service contribution is exceeding their assigned amount or they feel pressured to take on service they otherwise would not in advance of their evaluation, or at any other applicable time, pursuant to Article 21.**
- 13.05 Within the limitations set by the provisions of this Article, Faculty Councils or the Professional Librarians' Committee may develop supplementary policies, subject to the approval of the General Faculties Council, relating to the criteria in [Article 13](#). Such policies shall be readily available and the location made known to all affected Members. Such policies are secondary to this Collective Agreement and may neither restrict nor prescribe outside the criteria in [Article 13](#).
- 13.06 Personal or social compatibility shall not be a criterion for performance evaluation, including but not limited to extension of probation, tenure, promotion, or salary increments.

Article 14: Personal Files

- 14.01** The appropriate Dean shall maintain a Personal File for each Member for purposes defined by this Collective Agreement.
- 14.02** Personal Files shall be kept in accordance with the University's Records Management Policy.
- 14.03** A Personal File shall contain only personal information relating to the Member's employment and educational history and other information necessary for the administration of this Collective Agreement.
- 14.04** The Member's Personal File shall not include records or testimony pursuant to
- 14.04.1 the Employee and Family Assistance Program (EFAP);
 - 14.04.2 any confidential mediation process.
- 14.05** All materials considered by a Personnel Committee regarding a Member and all materials forming the basis of a disciplinary decision regarding a Member shall have been entered in that Member's Personal File.
- 14.06** All use of material in the Personal File shall be in accordance with the principles of procedural fairness and principles of natural justice, defined in [Article 2.26](#).
- 14.07 Access**
- 14.07.1 Information contained in a Personal File shall be made available to persons who require access to perform their duties as specified by an Article of this Collective Agreement. In the conduct of their duties, Senior Academic Administrators shall have access to the Personal Files at all times.
 - 14.07.2 A Senior Academic Administrator may seek internal or external advice about items in a Member's Personal File subject to and in compliance with the requirements of any relevant legislation. Otherwise, the information shall be made available only as authorized in writing by the Member, or as required by law.
 - 14.07.3 Copies of documents in a Member's Personal File must be handled to the same standard of access and security as the originals, and may be made only for Collective Agreement purposes.

14.08 Curriculum Vitae

- 14.08.1 A Member shall maintain a curriculum vitae in their Personal File; it shall be updated annually as part of the documentation submitted in relation to Professional Activities reporting, or at the commencement of each contract period if the Member is a Sessional Lecturer.

14.09 Confidential Letters of Reference

- 14.09.1 A Member's Personal File shall include all confidential letters of reference pertaining to that Member.
- 14.09.2 Unless otherwise designated by the author of the letter, subject to [Article 14](#) all letters containing assessments of a Member's performance shall be deemed confidential.
- 14.09.3 All confidential letters of reference shall be signed and dated by their authors; letters which are not signed and dated shall not be entered into a Member's Personal File.
- 14.09.4 When the President or Dean requests a confidential letter of reference, they shall inform the referee of the provisions of [Article 14](#) respecting disclosure of confidential information.
- 14.09.5 Before confidential information is disclosed concerning letters of reference that have not been solicited by the President or Dean, the President or Dean receiving such letters shall inform the author of the unsolicited letter of the provisions of [Article 14](#) in writing. The unsolicited letter shall be destroyed or returned, whichever is more appropriate, if the author of the letter does not respond or refuses to agree with the provisions of [Article 14](#).
- 14.09.6 Letters of assessment submitted by a Member for inclusion in their Personal File shall be dealt with in accordance with [Article 14.11](#).

14.10 Fair summary of confidential letters of reference

- 14.10.1 A Member shall, upon written request, be given by the President or Dean the names of authors of the confidential letters of reference in their Personal File with a fair summary of the contents of those letters, except where fewer than three letters are involved, in which case only the fair summary shall be provided. In this context a fair summary may include quotations from the letter itself.
- 14.10.2 The President or Dean shall attempt to preserve confidentiality; however, the requirement of a fair summary shall take precedence over the need for confidentiality where there is a clear conflict between the two principles.
- 14.10.3 The decision of the President or Dean respecting a fair summary and the manner of preservation of confidentiality shall be subject to Interpretation under [Article 9](#).

- 14.10.4 When a fair summary is presented to a committee in the course of a process under this collective agreement, the Committee members shall have access to the original letter and a right to comment on the accuracy and suitability of a fair summary provided.

14.11 Materials Other Than Confidential Letters of Reference

- 14.11.1 Materials other than confidential letters of reference may be entered into a Member's Personal File only if they are signed and dated by the author and/or institutional source and comply with [Article 14.03](#).
- 14.11.2 The Member shall be informed within ten (10) Working Days that materials have been placed in their Personal File.
- 14.11.3 Materials not signed and dated shall enter a Member's Personal File only with that Member's written authorization in each specific instance.
- 14.11.4 Electronic mail is considered material that is not signed unless signed with a valid digital signature.

14.12 Materials Obtained Pursuant to the Evaluation of Teaching

- 14.12.1 Materials obtained pursuant to a policy on teaching evaluation established according to the provisions of [Article 13.01](#) shall be dealt with according to [Article 14.09](#) where individually written assessments of teaching are included, and according to [Article 14.11](#) where other forms of assessment are included, including materials from students.
- 14.12.2 Procedures shall be used that ensure the identity of the student respondent is protected to the maximum extent permitted by [Article 14](#).

14.13 Inspection and Comment by a Member

- 14.13.1 A Member shall have the right, with reasonable notice in writing, to inspect the contents of their Personal File, except for confidential letters of reference.
- 14.13.2 The Member shall not remove their Personal File or any part of it.
- 14.13.3 Upon written request, the Member shall be able to obtain copies of the materials which they have the right to inspect.
- 14.13.4 A Member shall have the right to have included in their Personal File their written comments upon the accuracy and/or meaning of any of the contents of that Personal File, and to add materials to the Personal File.

14.14 Removal of Materials from a Personal File

- 14.14.1 The Member, the Association on behalf of the Member, the President or the Dean shall have the right to request in writing the removal and destruction of materials included in a Member's Personal File on the grounds that the materials violate a provision of [Article 14](#), or do not constitute fair and/or relevant comment on the Member's performance.
- 14.14.2 Subject to [Article 14.14.4](#), records relating to progressive supervision and/or minor discipline undertaken according to [Article 19](#) shall be removed from the Member's Personal File and destroyed after two (2) years at the request of the Member.
- 14.14.3 Subject to [Article 14.14.4](#), records relating to major discipline according to [Article 19](#) shall be removed from the Member's Personal File and destroyed after five (5) years at the request of the Member.
- 14.14.4 Should the Dean disagree with a request to remove and destroy material from the Personal File under this Article, they must provide a specific rationale in writing to the Member, with a copy to the Association.

Article 15: Salary Schedules and Economic Benefits

- 15.01** Salary schedules and stipends shall be as set forth in [Schedule A](#), and shall include the following:
 - 15.01.1 A salary schedule for Faculty Members/Professional Librarians, specifying the minimum salary for each rank.
 - 15.01.2 A salary schedule for Instructors/Academic Assistants, specifying minimum and maximum salaries for each class.
 - 15.01.3 Minimum stipends for the ranks of Sessional Lecturer I and Sessional Lecturer II, exclusive of holiday pay and other statutory benefits
- 15.02** The salary or stipend of a Member is paid in arrears in monthly instalments.
- 15.03 Salary on Initial Appointment**
 - 15.03.1 A Member's initial salary will be set within any limits given in [Schedule A](#), and based on qualifications, experience and the Member's pre-appointment proficiency in the range of duties of the Member.
- 15.04** When a salary schedule adjustment is authorized, each Member whose salary, after the addition of any applicable salary increments, is less than the

newly established minimum salary for their rank, shall receive an increase to this minimum salary.

- 15.05** Economic benefits shall be as set forth in [Schedule B](#).

Article 16: Holidays

- 16.01** The following days are University holidays: New Year's Day, Family Day, Good Friday, Easter Monday, Victoria Day, Canada Day, Heritage Day, Labour Day, the National Day for Truth and Reconciliation, Thanksgiving Day, Remembrance Day, Christmas Day, Boxing Day and any other day so proclaimed by the Federal, Provincial, or University authorities.
- 16.02** When any of the aforementioned holidays falls on a Saturday or Sunday, the President shall designate the Working Day immediately preceding or following as the holiday.
- 16.03** A Member shall not receive additional remuneration in the event they choose to work on a holiday.
- 16.04** Regularly scheduled classes shall not be held on a holiday.
- 16.05** Except as required by the Collective Agreement or legislation, Members will ensure adherence to the instructional time per academic course as described in the Academic Schedule of the most recently published edition of the University Calendar.
- 16.06** In the event that a new holiday is proclaimed by a Federal or Provincial authority, the first instance of the holiday may be waived by mutual agreement of the Association and the Board, unless otherwise required by applicable legislation.

Article 17: Vacations

- 17.01** A vacation is a period in which Members need not be accountable to the University for the performance of their duties.
- 17.02** In addition to University holidays defined in [Article 16](#), Members shall be entitled to an annual vacation or payment in lieu of vacation according to the following provisions:
- 17.02.1** Members holding a twelve (12) month appointment: twenty-two (22) Working Days. After ten (10) years of service, entitlement shall increase one (1) Working Day each year to a maximum of thirty-three

(33) Working Days.

- 17.02.2 Members holding an appointment for other than twelve (12) months: a proportionate equivalent taken during the period covered by that appointment.
- 17.02.3 Members holding less than 0.5 Full Time Equivalent (FTE) appointments, including Sessional Lecturers: vacation pay in lieu of time off at the rate indicated in the Alberta Employment Standards Code.
- 17.03 Unless authorized in advance by the Dean, entitlement to vacation shall not be cumulative.
- 17.04 Members shall be paid during vacation but there shall be no remuneration in excess of salary in the event a Member chooses to work through all or part of their vacation period.
- 17.05 A Member may take their annual vacation allotment at any time or times that is/are mutually agreeable to the Member and the Dean. Such agreement shall not be unreasonably withheld. In order to obtain mutual agreement, a Member shall inform the Dean of the Member's proposed period of vacation.

Article 18: Intellectual Property

18.01 General

- 18.01.1 Intellectual Property means any result of intellectual or artistic activity that is created by a Member and that can be owned by a person. Intellectual Property includes, but is not limited to inventions, publications, computer software, works of visual art and music, industrial and artistic designs, plant cultivars, integrated circuit topography as well as all other creations that can be protected under patent, copyright, trademark or similar laws.
- 18.01.2 The Board and the Association recognize and affirm:
 - (a) that the common good of humanity depends upon the scholarly search for knowledge in all fields of study and upon its free exposition;
 - (b) that a central, defining responsibility of the University is the creation and dissemination of new knowledge, and that this knowledge stems from scholarly research into basic aspects of nature and other forms of creative intellectual and artistic investigation;
 - (c) that because this process of discovery is supported by the citizens of Canada and Alberta, these citizens should share in the

benefits flowing from it;

- (d) that in all cases these benefits are ultimately to be enjoyed by means of public exposition of new knowledge or creative works through publications, presentations, exhibitions, performances, or teaching;
- (e) that the Board has no interest in Intellectual Property created by a Member where that creation is unrelated to the expertise that is the basis for the Member's employment by the Board, or in Intellectual Property where the Member can demonstrate that the creation is unrelated to the Member's employment by the Board; and
- (f) that the benefits of research may also be shared through commercialization or other means of transfer of Intellectual Property.

18.01.3 In order that the Member has control over the direction, integrity and use of their scholarly or creative work, ownership of all types of Intellectual Property shall rest with the Member who creates it, even if it is produced during the course of employment and with the use of the Board's facilities and resources. The only exception is addressed in [Article 18.01.4](#).

18.01.4 In cases where a Member is explicitly instructed to create work as part of the Member's assigned duties according to [Article 21](#), or in the case of Sessional Lecturers, as outlined in their appointment letter, Intellectual Property rights in any such work belong to the Board.

18.01.5 No Member shall be obliged to engage in or to permit the commercial exploitation or transfer of Intellectual Property derived from their scholarly or creative work or to publish or provide commercial justification for the scholarly or creative work.

18.01.6 No Member shall enter into or shall be obliged to enter into any agreement requiring the Member to permanently refrain from the publication of the results of the Member's work.

18.01.7 The Board shall give reasonable assistance to Members to protect ownership of the Intellectual Property in which the Board has an agreed interest and to prevent any use of this property not authorized by the Members concerned.

18.01.8 Any timelines specified in this Article may be adjusted by mutual written agreement of the Board and the Association. Wherever possible, actions pursuant to this Article shall be expeditious.

18.01.9 Disagreements concerning ownership or disposition of Intellectual Property involving any Member may be referred by any of the parties to the disagreement to the Committee on Intellectual Property.

18.02 Committee on Intellectual Property

- 18.02.1 On an annual basis for a term covering July 1st to June 30th, the Board and the Association shall create a Committee on Intellectual Property composed of two (2) members appointed by the Board, and two (2) Members appointed by the Association. A Chair shall be selected in accordance with the process outlined in [Article 18.02.2](#).
- (a) Should there be one (1) or more active disagreements at the Academic Year end, the existing Committee on Intellectual Property members will continue to function as the acting Committee on Intellectual Property with respect to those issues until the disagreements are resolved.
- 18.02.2 Upon receipt of a disagreement, the Committee shall choose a Chair by mutual agreement between the members appointed by the Board and the Association unless a Chair has already been selected for the Academic Year in which the disagreement was received.
- (a) The Chair shall be a faculty member/professional librarian at a Canadian university and shall not be a member appointed by either the Board or the Association under [Article 18.02.1](#).
- (b) If a mutual agreement concerning the Chair is not achieved within ten (10) Working Days of receipt of a request, the matter shall be referred to the Interpretation Committee of [Article 9](#).
- (c) If the Chair is an external faculty member/professional librarian, any travel and accommodation expenses of the Chair resulting from the work of the Committee on Intellectual Property shall be covered by the Board.
- 18.02.3 Operating as a five (5) person Committee, the Committee on Intellectual Property shall meet within fifteen (15) Working Days of the appointment of a Chair. It shall consider Intellectual Property cases referred to it either by the Association, by Members or by the Board.
- (a) The Committee may solicit such confidential, expert advice as it requires to inform its decision. Any fees associated with such advice shall be borne by the Board.
- (b) The Committee shall render a decision within forty (40) Working Days of receipt of a request.

18.03 Copyright

- 18.03.1 Copyright applies to all original literary, dramatic, artistic and musical works as well as sound recordings, performers' performances, and communication signals.
- 18.03.2 Copyrighted works include but are not limited to published and unpublished books, texts, articles, monographs, glossaries, bibliographies, cartographic materials, posters, study guides, laboratory manuals, interactive textbooks, course work delivered on the Internet, multimedia instructional packages, syllabi, tests and work papers, lectures, musical or dramatic compositions, choreographic works, films, filmstrips, charts, transparencies, other

visual aids, videos, computer programs, programmed instructional materials, drawings, paintings, sculptures, photographs, and other works of art. Copyrighted other subject-matter include performers' performances, sound recordings, and communication signals.

18.03.3 No contract or written agreement between the Board and a Member shall contain a clause waiving moral rights.

18.03.4 *Transfer of Copyright Ownership*

- (a) In the cases referred to in [Article 18.01.4](#) involving copyrighted material, the Board will normally transfer its copyright in such material to the author (or group of authors) provided:
 - (i) any author who seeks to secure the ownership of copyright applies to the President for such a transfer on an Assignment of Copyright Form ([Schedule C](#)); and
 - (ii) the applicant has obtained and provided to the President prior signed written agreement of all co-authors of the material.
- (b) The Board will transfer by written agreement its ownership of copyright in the material under the following conditions:
 - (i) that the University shall have unhampered use of the material for its internal instructional and research activities;
 - (ii) that the author named in the agreement shall return to the Board fifty percent (50%) of the royalty the author may receive from use of the copyright; and
 - (iii) that the author, if so requested by the Board, shall display the University's name on the material if it is published, publicly displayed, performed, or exhibited.

18.04 Patents and Inventions

18.04.1 An invention is any new and useful product, composition, machine, or process or a new and useful improvement in a product, composition, machine, or process, regardless of whether it is protected by a patent.

18.04.2 The discovery or creation of inventions is not a basic purpose of university research, nor is it a condition for support of such research. The Board agrees that Members shall have no obligation to seek patent protection for the results of research or scholarly or creative work or to modify research or scholarly or creative work to enhance patentability.

18.04.3 A Member may sell, transfer, or publicly disclose information concerning any invention that they have discovered or created as they choose, except when prevented by a signed written agreement between the Member and the Board covering the invention.

18.04.4 The Board shall make no claim to the revenue from any invention made by a Member, except when such a claim is authorized by a

signed written agreement between the Member and the Board covering the invention.

- 18.04.5 If the Board is not a partner through signed written agreement to commercialize an invention of the Member, the Member shall fully disclose to the Board their intention to pursue commercialization of their invention.
- (a) The Member and the Board shall maintain the confidentiality required to protect the ownership of the invention and applicable patent(s).
 - (b) A Member shall disclose to the Board any changes in ownership, licensing, formation of a company to manage the invention, or other forms of disposition of the invention.
- 18.04.6 In the event that the Member chooses to pursue a partnership collaboration with the Board in the commercialization of the Member's invention, the Member shall disclose to the Board all of the details of the invention.
- (a) The Board shall have one hundred (100) Working Days to complete an evaluation of the invention, during which time the Member shall cooperate with the evaluation process.
 - (b) "Net Revenue" means all consideration received by the Member or the Board from third parties, from the sale or licensing or other form of commercialization of the Member's invention, less the out-of-pocket expenses paid by the Board or the Member.
 - (i) Whenever Net Revenue is shared between the Member and the Board, it shall be calculated on the basis of audited financial statements of the entity managing the invention. Such statements shall be made available to the Member, the Association, and the Board within sixty (60) Working Days of the University's fiscal year end.
 - (c) If the Board decides not to partner with the Member, it will notify the Member of its decision in writing.
 - (d) If the Board decides to partner with the Member, it will notify the Member of its decision in writing.
 - (i) The Board and the Member will have thirty (30) Working Days from the notification of the Board's decision to partner to establish a signed written agreement covering reimbursements of costs of evaluation and patenting, sharing of Net Revenue, use of the Board's time and resources, and other substantive matters involved in commercialization of the invention.
 - (ii) Normally, the Board's share of Net Revenue will be ten percent (10%) of the first million dollars and then twenty five percent (25%) of all Net Revenue in excess of one million dollars.
 - (iii) The Member becomes free to use the invention in any

way that they choose without any encumbrance by the Board under any of the following conditions: if the Member has been notified by the Board under [Article 18.04.6\(c\)](#) of its decision not to enter into a partnership; or

- (iv) if one hundred (100) Working Days have passed since the disclosure in [Article 18.04.6](#) without the Member receiving a written decision from the Board to partner under [Article 18.04.6\(d\)](#); or
- (v) if the Board agrees to partner in commercialization but a signed written agreement under [Article 18.04.6\(d\)\(i\)](#) is not reached before the deadline given in [Article 18.04.6\(d\)\(i\)](#). In this case the Member will reimburse the Board from Net Revenue (if any) for all of the Board's mutually agreed upon out-of-pocket costs in evaluating the invention.

18.04.7 It is understood that successful commercial translation of the invention is of interest to both the Member and the Board. However, ongoing commercialization activities by the Member should not take place at the University and should not use the University's resources, unless those activities are explicitly permitted through a signed written agreement between the Member or a third party and the Board. In the absence of any such signed written agreement, ongoing research and development by the Member in their capacity as an employee of the Board to improve the commercial potential of the invention should be completed within twelve (12) months of the disclosure of intention to commercialize or after completion of the process of evaluation by the Board.

Article 19: Supervision and Discipline

19.01 General

- 19.01.1** Whenever possible, an investigation conducted pursuant to Article 19 shall be expeditious. Specified time limitations in Article 19 may be altered by mutual consent given in writing and signed by the Parties.
- 19.01.2** The discipline procedure under Article 19 shall be subject to Procedural Fairness as defined in Article 2.26.
- 19.01.3** Where Article 19 calls for an investigative or disciplinary meeting, the Member shall be reminded of their right to accompaniment under Article 11.02.6. The Senior Academic Administrator may have a resource support of their choice also attend the meeting(s).

- 19.01.4 The Association shall receive copies of all written communications that are sent or copied to Members pertaining to discipline, in accordance with Article 6.01.3.
- 19.01.5 Documents relating to any action taken under Article 19, including any written record of supervisory measures, must be placed and remain in a Member's Personal File, subject to the provisions of this Article and the time limits in Article 14.14.
- 19.01.6 The Member may submit a written response to any reports or documents provided to the Member under Article 19 within ten (10) Working Days of receipt. This written response will be placed and remain in a Member's Personal File, subject to the provisions of this Article and the time limits in Article 14.14.
- 19.01.7 Where appropriate to the behaviour giving rise to supervisory or disciplinary action, the Member may be given the opportunity to seek treatment/counselling. Disciplinary action may be mitigated or suspended if the Member participates in an active treatment program, pending the outcome of the treatment/counselling
- 19.01.8 Members accused of a criminal offence(s) shall be presumed innocent of that criminal offence(s) until proven guilty. The Parties recognize that a criminal charge or conviction is not in itself grounds for discipline, independent of an investigation of the facts of the case under Article 19.
- 19.01.9 All disciplinary measures are subject to the grievance procedure in Article 9. Disciplinary measures under Article 19.03.6 (b), (c), or (d) may be referred to arbitration within twenty (20) Working Days of receiving the written decision pursuant to Article 19.06.4. The Association shall inform the Vice-President (Academic) whether it is referring the decision, the disciplinary action or both to arbitration.

19.02 Supervisory/Disciplinary Processes and Assessment of Members

- 19.02.1 Supervisory/disciplinary processes must be kept separate and distinct from the processes of academic assessments, such as those culminating in Extensions of Probation, Tenure, Continuing Appointment, Promotion, re-appointment and evaluation for salary adjustment. Accordingly, such assessments may be undertaken if supervisory processes or measures have been initiated under Article 19.02.5 but no such assessments will be undertaken while Article 19 disciplinary processes are in progress against a Member. All timelines for

such academic assessments and decisions shall be suspended for the duration of any investigations and procedures relating to Article 19 disciplinary processes. Article 19.07.1 shall not be used in a manner that might be construed to arbitrarily suspend or delay the academic assessment of Members.

- 19.02.2 The fact that an action has been imposed under Article 19 cannot, in itself, independent of the facts of the case, be considered in the assessment of a Member with regard to: reappointment; the award of Extension of Probation; Continuing Appointment, Tenure; Promotion or evaluation for salary adjustment.
- 19.02.3 It may be the case that facts relating to actions that have triggered supervisory/disciplinary action under Article 19 are also relevant to a Member's academic assessment, and these facts may appropriately be put before an STP committee. Where there is an intention to place such facts before an STP committee, the appropriateness of such action will be subject to the provisions of Procedural Fairness and the processes governing the deliberations of that committee under this Collective Agreement.

19.03 Principles of Progressive Discipline

- 19.03.1 The Dean shall have general supervision over and direction of their Faculty/Library and the performance and academic work of its Members subject to this Collective Agreement.
- 19.03.2 In recognition of the principles of progressive discipline, the Dean, where appropriate, will bring conduct which is a subject of concern to the attention of a Member in a manner that will assist the Member in correcting their conduct.
- 19.03.3 Discipline may only be imposed after an appropriate investigation, for just, reasonable, and sufficient cause in accordance with the provisions of this Article.
- 19.03.4 All disciplinary measures shall be commensurate with the seriousness of the established misconduct and will apply the principles of progressive discipline.
- 19.03.5 Supervisory actions are non-disciplinary actions and include such measures as verbal discussions, letters of guidance, and other alternative measures.
- 19.03.6 Disciplinary actions include such measures as:

- (a) **Letters of warning or reprimand;**
 - The Dean may issue a letter of warning or a letter of reprimand to the Member which is clearly identified as a disciplinary measure and must contain a clear statement of the reasons for issuing the letter and the actions that the Member should take to correct the conduct.
- (b) **Suspension with pay;**
 - (i) The Vice-President (Academic) may issue a suspension with pay, which relieves the Member from some or all of their duties.
- (c) **Suspension without pay; and**
 - (i) The Vice-President (Academic) may issue a suspension without pay, which relieves the Member from all of their duties.
- (d) **Dismissal for cause.**
 - (i) The Vice-President (Academic) may dismiss the Member, with cause.
 - (ii) If the Member is dismissed for cause, then cause shall mean professional incompetence and/or gross misconduct and/or serious and persistent neglect/non-performance of duties or breach of responsibilities.
 - (iii) The following do not constitute dismissal for cause:
 - A. a decision not to renew a contract by reason of contractual term does not constitute dismissal for cause;
 - B. a decision not to grant an appointment with Tenure at the end of a Tenure-track appointment does not constitute dismissal for cause;
 - C. dismissal for reasons of financial emergency under the provisions of Article 34 does not constitute dismissal for cause; and
 - D. dismissal for reasons of program redundancy under provisions of Article 34 does not constitute dismissal for cause.

19.04 Preliminary Review and Investigations

- 19.04.1 A preliminary review of any allegations will be conducted promptly to determine the need for a formal investigation. The Dean may make inquiries and may meet with the Member to assess the allegations, however to the extent possible, the review will be handled with discretion to minimize disruption to the Member's duties and workplace.
- 19.04.2 Following the preliminary review, the matter may be closed, the subject of concern may be addressed through supervisory action, or referred for investigation.
- 19.04.3 A preliminary review or investigation conducted under Article 19 does not constitute disciplinary action.
- 19.04.4 If the Dean determines that the allegation of misconduct shows a breakdown in interpersonal relations, the Dean may recommend participation in alternative dispute resolution procedures, which may include confidential, professional mediation. The Dean may elect to hold the disciplinary process in abeyance pending the outcome of such alternative dispute resolution procedures.
- 19.04.5 Where the Dean concludes that an investigation is required, they shall send a notice in writing to the Member within ~~forty-five~~ (45) Working Days of the date the alleged misconduct became known or ought reasonably to have been known to the Dean. The notice shall describe the nature of the allegation which may result in appropriate disciplinary action and notice that a meeting will occur in ten (10) Working Days.
- 19.04.6 In the meeting, the Dean will provide particulars as appropriate regarding the allegations of misconduct and describe the investigation process. The Dean may invite the Member to respond to the allegations at this meeting, or at a future meeting, depending on the circumstances.
- 19.04.7 The Dean, in consultation with the Association, will determine who shall conduct the investigation. This may include, but is not limited to, a Human Resources representative, an Investigation Committee, and/or an external third party.
- 19.04.8 For allegations of misconduct in scholarly/creative activity, the Dean, in consultation with the Association and the Vice-President (Academic), may appoint an Investigation Committee of one (1) or three (3) persons. This Committee is appointed to carry out an investigation of the allegation of misconduct. If a three- (3) person committee is appointed, the Dean, in consultation with the Vice-President (Academic), shall designate which of the three (3) is to serve as chair.

- 19.04.9 Following the determination of who shall conduct an investigation, the Dean will provide written notice to the Member of the commencement of an investigation into the allegations of misconduct and the identity of the investigator(s).
- 19.04.10 The Association, in consultation with the Member may, within five (5) Working Days, object to the appointment of any party appointed to investigate any allegations of misconduct on the basis of a conflict of interest or reasonable apprehension of bias. The Dean will provide a response in writing to any objections regarding an investigator. That response may uphold the objection, in which case the Dean will reengage the process under Articles 19.04.7 or 19.04.8 as appropriate or the response may deny the objection in which case brief reasons will be included in the response.
- 19.04.11 The Vice-President (Academic) may place a Member on leave for some or all of their duties pending an investigation. Provided the Member is co-operating with the investigation, the leave shall be with pay. Co-operation shall include, but is not limited to answering questions in the investigation, disclosing information, and responding to production requests. Such leave may only occur when the initial assessment in Article 19.03.1 indicates that the nature and seriousness of the allegations warrants the action. Written reasons for the leave must be provided to the Member, and copied to the Association, prior to the commencement of the leave. This is an administrative leave and is not disciplinary in nature.

19.05 Investigation Committee

- 19.05.1 The investigator(s) may meet with such persons as could provide information relevant to the allegations and may receive additional materials submitted at the investigator's request.
- 19.05.2 Upon completion of the investigation, the investigator(s) shall submit a written report to the Dean and the Vice-President (Academic) with a summary of their findings. In the case of a three- (3) member Investigation Committee, the chair shall submit the report after consultation with the other members of the Committee; other members may submit their own separate report to the Dean and the Vice-President (Academic), with copies to the other members of the Investigation Committee.
- 19.05.3 The Association and Member shall receive a copy of the written report. Some personal information, such as addresses, phone numbers, medical information, or the like may be redacted with a general description of the nature of the redaction.

19.06 Conclusion of Investigation and Disciplinary Process

- 19.06.1 Upon completion of the Investigation, the Dean may dismiss the allegation or, if justified, impose a corrective disciplinary measure (such as a letter of warning or a letter of reprimand). The Dean shall meet with the Member within ten (10) Working Days of the decision and notify the Member of the conclusion of the investigation, share a summary of the findings, and inform them of any disciplinary action taken.
- 19.06.2 In the event that the Dean is of the opinion that a suspension with or without pay or a dismissal for just cause is warranted having regard to all of the circumstances, the Dean may refer the matter to the Vice-President (Academic) recommending that the Member be suspended with or without pay or dismissed for just cause. The Dean shall provide all investigative materials to the Vice-President (Academic).
- 19.06.3 If the Vice-President (Academic) considers that the recommendation for suspension or dismissal in Article 19.05.2 is warranted, based on the investigative findings, the Vice-President (Academic) shall:
 - (a) advise the Member in writing of the Vice-President (Academic)'s receipt of the Dean's referral;
 - (b) share a summary of the findings and the recommendation; and
 - (c) schedule a time to discuss the circumstances pertinent to the matter with the Member, normally within ten (10) Working Days.
- 19.06.4 Within ten (10) Working Days of the meeting convened under Article 19.05.3, the Vice-President (Academic) shall meet with the Member and inform the Member of any disciplinary action taken, and provide documentation as per Articles 6.01.3 and 19.01.4.
- 19.06.5 If the allegation of misconduct is dismissed, the matter ends with that decision and all materials relating to the allegation are to be removed from the Member's Personal File.

19.01 ~~Principles of Responsible Supervision and Progressive Discipline~~

- ~~19.01.1~~ In recognition of the principle of responsible supervision and progressive discipline, the supervising Dean will make every reasonable effort:
- ~~(a)~~ to bring the conduct which could be the subject of concern or of disciplinary proceedings to the attention of a Member in a manner that will assist the Member to correct their conduct;
 - ~~(b)~~ to specify, in consultation with the Member, an appropriate amount of time for the Member to correct the conduct in question; and
 - ~~(c)~~ to undertake disciplinary action under [Article 19](#) only when supervisory actions have been attempted and have failed.
- ~~19.01.2~~ Discipline may be imposed only after an appropriate investigation, for just, reasonable and sufficient cause for violations of responsibilities described within this Collective Agreement, or for non-performance or unsatisfactory performance of duties, and in accordance with the provisions of [Article 19](#).
- ~~19.01.3~~ Discipline under [Article 19](#) shall be:
- ~~(a)~~ limited to the specific complaint(s) about the Member that have been upheld by an appropriate investigation, and other related complaint(s) that may have been identified, investigated and upheld during such an investigation;
 - ~~(b)~~ commensurate with the breach of professional duties and/or responsibilities under [Article 11](#) determined by the investigation; and
 - ~~(c)~~ subject to Procedural Fairness, defined in [Article 2.26](#).
- ~~19.01.4~~ Normally, Major Discipline will represent the culmination of a process of responsible supervision and progressive discipline, directed to correcting the impugned behaviour of the Member and allowing sufficient time to determine the disciplinary action was effective.

19.02 General

- ~~19.02.1~~ Whenever possible, actions pursuant to [Article 19](#) shall be expeditious. Specified time limitations in [Article 19](#) may be altered by mutual consent given in writing and signed by the Parties.
- ~~19.02.2~~ In every instance where written notification to the Member is required by [Article 19](#), that notification shall take place by signed letter delivered electronically to the Member's University of Lethbridge e-mail account or in person verified by an affidavit of service.

- ~~19.02.3 Where Article 19 calls for a meeting between the Member and a Senior Academic Administrator, the Member shall be reminded of their right to accompaniment under Article 11.02.6.~~
- ~~19.02.4 The Association shall receive copies of all letters that are sent or copied to Members pertaining to Minor and Major Discipline.~~
- ~~19.02.5 The Dean/Vice-President (Academic) may suspend or terminate an investigation when the conduct alleged in the complaint becomes the subject of an investigation beyond the authority of the Board and shall provide written reasons for this action to the Member, the complainant Dean (where appropriate), and the Association.~~
- ~~19.02.6 Members accused of a criminal offence(s) shall be treated as innocent of that criminal offence(s) until proven guilty. The Parties recognize that a criminal charge or conviction is not in itself grounds for discipline, independent of an adjudication of the facts of the case under Article 19.~~
- ~~19.02.7 Where appropriate to the cause of supervisory or disciplinary action, the Member may be given the opportunity to seek treatment/counselling.~~
- ~~19.02.8 Disciplinary measures may be mitigated, altered, reduced or suspended by the Vice-President (Academic) on consideration of factors that influenced the behaviour resulting in the complaint.~~
- ~~19.02.9 The effective date of any discipline shall be as determined by the Vice-President (Academic) for Major Discipline or the Dean for Minor Discipline.~~

19.03 Personal File

- ~~19.03.1 Documents relating to any action taken under Article 19, including any written record of non-disciplinary measures, must be placed and remain in a Member's Personal File, subject to Articles 19.13.4, 19.08.5, 19.12.6, and the time limits in Article 14.14.~~

19.04 Supervision and Progressive Discipline

- ~~19.04.1 The Dean shall have general supervision over and direction of their Faculty/Library and the performance and academic work of its Members subject to this Collective Agreement.~~
- ~~19.04.2 Any violations by Members of the University's Policies, or a Member's responsibilities under Article 11 are subject to appropriate supervisory action and/or disciplinary action, that~~

~~shall normally be initiated by the Member's Dean.~~

~~19.04.3 Supervisory actions are non-disciplinary actions and include such measures as verbal discussions and letters of guidance.~~

~~19.04.4 Minor disciplinary actions include such measures as disciplinary letters of warning and letters of reprimand.~~

~~19.04.5 Major disciplinary actions include such measures as suspensions with or without pay, and dismissal for cause.~~

19.05 Supervisory/Disciplinary Processes and Assessment of Members

~~19.05.1 Supervisory/disciplinary processes must be kept separate and distinct from the processes of academic assessments such as those culminating in Extensions of Probation, Tenure, Continuing Appointment, Promotion, re-appointment and evaluation for salary adjustment. Accordingly, such assessments may be undertaken if supervisory processes or measures have been initiated under Article 19.06 but no such assessments will be undertaken while Article 19 disciplinary processes are in progress against a Member. All timelines for such academic assessments and decisions shall be suspended for the duration of any investigations and procedures relating to Article 19 disciplinary processes. Article 19.05.1 shall not be used in a manner that might be construed to arbitrarily suspend or delay the academic assessment of Members.~~

~~19.05.2 The fact that an action has been imposed under Article 19 cannot, in itself, independent of the facts of the case, be considered in the assessment of a Member with regard to: reappointment; the award of Extension of Probation; Continuing Appointment, Tenure; Promotion or evaluation for salary adjustment.~~

~~19.05.3 It may be the case that facts relating to actions that have triggered supervisory/disciplinary action under Article 19 are also relevant to a Member's academic assessment, and these facts may appropriately be put before an STP committee. Where there is an intention to place such facts before an STP committee, the appropriateness of such action will be subject to the provisions of Procedural Fairness and the processes governing the deliberations of that committee under this Collective Agreement.~~

19.06 Supervisory Processes

~~19.06.1 *Verbal Discussion*~~

- ~~(a) Where an issue is raised with respect to a Member's conduct, the first step will normally be a verbal discussion between the Member and the Member's Dean, who shall keep a record of the content of that discussion. The Dean's record of the discussion shall be entered in the Member's Personal File, and a copy of that record, together with a written statement that it has been placed in the Personal File, shall be sent to the Member.~~

~~19.06.2 Letter of Guidance~~

- ~~(a) Where the impugned conduct of a Member continues after a verbal discussion under Article 19.06.1, the Dean shall meet with the Member to discuss what measures may be necessary to effect satisfactory performance of responsibilities and duties under Articles 11 and 21. After this meeting, or after the Member has declined the invitation to attend such a meeting, the Dean will write a Letter of Guidance to the Member specifically identifying the actions the Member should take to correct the conduct. A copy of the letter will be placed in the Member's Personal File and the Member shall be so informed.~~
- ~~(b) A Letter of Guidance shall state that it is a step in responsible supervision that may lead to progressive discipline. It shall give the Member a reasonable time to correct the conduct described.~~
- ~~(c) When the Member's performance has not improved to an acceptable extent within a reasonable time after the issuing of a Letter of Guidance, the Dean may initiate formal disciplinary proceedings under the following sections of this Article.~~

19.07 Minor Disciplinary Measures and Process, excluding Sessional Lecturers

- ~~19.07.1 Where the Dean is satisfied that there is reasonable cause to believe that a situation exists that may lead to disciplinary action against the Member in the form of a Letter of Warning or a Letter of Reprimand, the Dean may undertake an investigation or may appoint an appropriate person to undertake an investigation on their behalf. An investigation conducted under Article 19 does not constitute a disciplinary action. A summary of the findings of any such investigation shall be provided to the Member as soon as possible after its conclusion.~~
- ~~19.07.2 Before a Dean initiates an investigation, they shall as soon as practicable, send a notice in writing to the Member, identifying the nature of the conduct or event that is to be investigated and informing the Member that disciplinary action in the form of a Letter of Warning or a Letter of Reprimand is being considered.~~

- ~~19.07.3—The notice shall request a meeting between the Dean and the Member to discuss the matter and to give the Member the opportunity to respond to the allegations. This meeting will normally be held within ten (10) Working Days of the Member's receipt of the notice. The Dean may have a representative of their choice also attend the meeting.~~
- ~~19.07.4—The intent of the meeting or meetings under Article 19.07.3 is to facilitate a full disclosure by both the Dean and the Member so that the matter can be dealt with at the earliest stage possible and so that the matter can, if possible, be resolved on a mutually acceptable basis.~~
- ~~19.07.5—After the meeting or meetings with the Member under Article 19.07, and after such further discussions as the Dean considers necessary or in light of any investigation that the Dean has conducted, they shall decide whether the minor disciplinary action of a Letter of Warning or a Letter of Reprimand, or greater disciplinary action, is warranted. In the case where the Dean decides that a Major Disciplinary Measure is warranted, the Dean shall invoke the Major Discipline Process beginning with Article 19.08.1.~~
- ~~19.07.6—A Letter of Warning or a Letter of Reprimand must be sent to the Member clearly identified as a disciplinary measure, must contain a clear statement of the reasons for issuing the Letter and must state the actions that the Member should take to correct the conduct.~~

19.08 Major Disciplinary Measures and Process, excluding Sessional Lecturers

- ~~19.08.1—When a Dean believes that Major Discipline may be warranted, the Dean shall write to the Vice President (Academic), specifying the complaint(s) against the Member supplying whatever supporting documentation the Dean deems appropriate. The letter must be written within sixty (60) Working Days of the date the alleged conduct became known or ought reasonably to have been known to the Dean. The letter and the documentation shall be copied to the Member and to the Association.~~
- ~~19.08.2—Upon receipt of the letter of Article 19.08.1, the Vice President (Academic) shall immediately and in writing advise the Member against whom the complaint lies of:~~
- ~~(a) the nature of the complaint;~~
 - ~~(b) the right of the Member to Association services under Article 11.02.6 (Participation and Accompaniment); and~~
 - ~~(c) the Member's right to meet directly with the Vice President (Academic) or designate within ten (10) Working Days to~~

~~discuss the complaint. The Member may, without prejudice, decline to meet with the Vice-President (Academic).~~

~~19.08.3 Within fifteen (15) Working Days following receipt of the complaint, the Vice-President (Academic) shall make one of the following decisions:~~

- ~~(a) authorize an investigation of the complaint;~~
- ~~(b) dismiss the complaint;~~
- ~~(c) require the complainant Dean and/or the Member to follow specified alternative measures that fall under Article 19.13.~~

~~19.08.4 The Vice-President (Academic) shall communicate their decision under Article 19.08.3 in writing to: the Member, the complainant Dean, and the Association.~~

~~19.08.5 If the Vice-President (Academic) dismisses the complaint, the matter ends with that decision and all materials relating to the complaint are to be removed from the Member's Personal File.~~

19.09 Investigation Committee

~~19.09.1 If the Vice-President (Academic) authorizes an investigation of the complaint, they shall within ten (10) Working Days appoint an Investigation Committee consisting of one (1) or three (3) persons to carry out an investigation of the complaint to be completed within a reasonable period of time. If a three (3) person committee is appointed, the Vice-President (Academic) shall designate which of the three (3) is to serve as chair.~~

~~19.09.2 The following persons are eligible to be members of an Investigation Committee:~~

- ~~(a) Tenured Faculty Members/Professional Librarians at the University;~~
- ~~(b) Instructors/Academic Assistants holding a Continuing Appointment at the University;~~
- ~~(c) Emeritus/Emerita academic staff of the University;~~
- ~~(d) Tenured Faculty Members or Professional Librarians from another Alberta university; or~~
- ~~(e) where circumstances warrant, an external investigator may be appointed.~~

~~The Association must agree in writing to the specific individual or individuals concerned.~~

~~19.09.3 No current Senior Academic Administrator at any Alberta University, and no current member of the ULFA Executive, shall be eligible to serve as a member of an Investigation Committee during their term of office, or for twenty-four (24) months of the end of such term of office.~~

~~19.09.4 Any restriction on membership of the Investigation Committee may be waived by mutual agreement of the Parties.~~

19.10—Investigation Committee Process

~~19.10.1 The Investigation Committee shall conduct a thorough and fair investigation into the allegations.~~

~~19.10.2 The Investigation Committee may meet with such persons as could provide information relevant to the complaint, and may receive additional materials submitted at the investigator's request.~~

~~19.10.3 In any meetings between the Investigation Committee and the Member, the Member shall have the right to be accompanied as per [Article 11.02.6](#) (Participation and Accompaniment). The Investigation Committee shall make no inferences based on the willingness of a party to meet with the committee or submit a written representation. Upon completion of the investigation, the Chair of the Investigation Committee shall submit a written report to the Vice-President (Academic), copied to the complainant Dean and the Member. This report shall discuss the seriousness of the complaint, the extent to which it has been proven, and appropriate recommendations for resolution of the complaint. In the case of a three (3) member Committee, the chair shall submit the report after consultation with the other members of the Committee; other members may submit their own separate report to the Vice-President (Academic), with copies to the other members of the Investigation Committee, the Member and the complainant Dean.~~

19.11—Response to the Report(s) of the Investigation Committee

~~19.11.1 The Vice-President (Academic) shall copy all submissions received under [Article 19.10](#) to the Member and the complainant Dean.~~

~~19.11.2 The Member and the complainant Dean may submit to the Vice-President (Academic) a written response to any report(s) of the Investigation Committee received under [Article 19.10.2](#) within ten (10) Working Days of receipt of that report.~~

~~19.11.3 The Member and the complainant Dean may submit to the Vice-President (Academic) written rebuttals to any responses received under [Article 19.11.2](#) within ten (10) Working Days of receipt.~~

~~19.11.4 The response and rebuttal statements of [Article 19.11](#) shall be the last submissions under the complaint, unless the Vice-President (Academic) requests further investigation.~~

~~and/or submissions.~~

~~19.11.5 If the Vice President (Academic) requests further investigation and/or submissions, they shall provide a rationale to the Member and the complainant Dean.~~

~~19.11.6 The procedures of Article 19.11 shall apply to any further investigation and/or submissions requested by the Vice President (Academic).~~

~~19.12 Decision of the Vice President (Academic)~~

~~19.12.1 Before making a decision, the Vice President (Academic) shall offer to meet with the Member.~~

~~19.12.2 The Vice President (Academic) shall, in writing, within ten (10) Working Days of the final written submissions under the complaint:~~

~~(a) dismiss the complaint; or~~

~~(b) discipline the Member, stating the effective date on which the discipline is imposed. The discipline shall be commensurate to the offense up to dismissal for cause. Such decision shall be final and binding, subject only to Article 19.14.~~

~~19.12.3 If the Member is dismissed for cause, then cause shall mean professional incompetence and/or gross misconduct and/or serious and persistent neglect/non-performance of duties or breach of responsibilities.~~

~~19.12.4 Notwithstanding Article 19.12.2, the Vice President (Academic) and the Association may agree upon an acceptable resolution to the matter.~~

~~19.12.5 The Vice President (Academic) shall advise the Member of the decision in writing, copied to the complainant Dean, and the Association. If the decision is dismissal for cause, the Vice President (Academic) shall also inform the President.~~

~~19.12.6 If the Vice President (Academic) dismisses the complaint, the matter ends with that decision and all materials relating to the complaint are to be removed from the Member's Personal File.~~

~~19.13 Alternative Measures~~

~~19.13.1 If the Vice President (Academic) decides that the written complaint in Article 19.08.1 shows a breakdown in interpersonal relations, the Vice President (Academic) shall recommend participation in alternative dispute resolution procedures, such as confidential, professional mediation.~~

~~19.13.2 If such alternative dispute resolution procedure is successful,~~

~~the parties shall notify the Vice-President (Academic) in writing, and no further action on the complaint shall be taken. If such procedure is not successful, the Vice-President (Academic) shall be so informed, and the matter shall revert to the Major Discipline Process.~~

~~19.13.3 If the Vice-President (Academic) deems it appropriate, they may suspend the Major Discipline Process subject to the Member undergoing counselling; should the Member decline such counselling service, the Process shall resume.~~

~~19.13.4 Proceedings under Alternative Measures are confidential and cannot be used in any proceedings. As specified in Article 14.04, any record of such proceedings will not enter a Member's Personal File.~~

~~19.14 Arbitration: Major Discipline (Faculty Members, Professional Librarians and Instructors/Academic Assistants)~~

~~19.14.1 Within twenty (20) Working Days of receiving the written decision pursuant to Article 19.12.5, the Association may refer the matter to arbitration. The Association shall inform the Vice-President (Academic) whether it is referring the decision, the disciplinary action or both to arbitration.~~

~~19.14.2 The matter shall be referred to a single arbitrator, who shall be appointed by the agreement of the President of the University and the President of the Association within five (5) Working Days after the Association has given notice of referral. Failing agreement within those five (5) Working Days, the arbitrator shall be appointed following provisions of the Alberta Labour Relations Code.~~

~~19.14.3 The arbitration shall otherwise be conducted in accordance with the provisions of [Articles 9.03.6\(f\)](#) and [9.03.6\(g\)](#).~~

~~19.15 Discipline for Members holding Sessional Lecturer Appointments~~

~~19.15.1 A Member holding a Sessional Lecturer Appointment may be disciplined for just, reasonable, and sufficient cause, in accordance with [Articles 19.01](#) through [19.05](#), [Article 19.15](#), and [Article 19.16](#).~~

~~19.15.2 Disciplinary measures which may be taken by the Board against Members holding Sessional Lecturer Appointments shall be commensurate to the offence up to dismissal for cause.~~

~~19.16 Disciplinary Process for Members holding Sessional~~

Lecturer Appointments

- ~~19.16.1 When a Dean has reasonable cause to believe that cause may exist for the discipline of a Member holding a Sessional Lecturer Appointment, the Dean will meet with that Member to seek a response to the allegations against the Member.~~
- ~~19.16.2 Following the meeting in Article 19.16.1, the Dean may impose a disciplinary measure commensurate to the offense, or decline to proceed further with the disciplinary process.~~
- ~~19.16.3 When the process under Article 19.16 has been commenced by the Dean, they will inform the Member in writing, with respect to the Dean's decision under Article 19.16.2.~~
- ~~19.16.4 Within five (5) Working Days of the Dean's letter of Article 19.16.3, the Member may appeal the decision of the Dean to a University Internal Arbitration Committee.~~
- ~~19.16.5 The University Internal Arbitration Committee is comprised of three (3) persons: one selected by the Member; one selected by the Dean; and one person jointly selected by the President of the Association and the President of the University.~~
- ~~19.16.6 The President of the Association and the President of the University will agree upon the name of the third person of the University Internal Arbitration Committee at the first of their Quarterly Labour/Management Meetings of each Contract Year.~~
- ~~19.16.7 The University Internal Arbitration Committee will review the appeal and come to a decision on the matter. The decision of the University Internal Arbitration Committee shall be final and not subject to further appeal.~~

Article 20: Termination of Appointment

20.01 Resignation

- 20.01.1 A Member (other than a Sessional Lecturer or a Member holding a Term Appointment for less than three (3) years) intending to resign shall submit a letter of resignation to the President, with a copy to their Dean, a minimum of three (3) months before the effective date of resignation. A Sessional Lecturer or a Member holding a Term Appointment for less than three (3) years shall submit a letter of resignation a minimum of one (1) month before the effective date of resignation.
- 20.01.2 The normal effective date of resignation is June 30th or December 31st for all Members except Sessional Lecturers and Members

holding a Term Appointment for less than three (3) years.

- 20.01.3 Any accrued vacation time will be considered to have been taken prior to the effective date of resignation.

20.02 Retirement

- 20.02.1 A Member (other than a Sessional Lecturer or a Member holding a Term Appointment for less than three (3) years) will give notice of intention to retire to the President, with a copy to their Dean one (1) year in advance of the proposed retirement date to facilitate planning within the Faculty/Library/Department. Such notice of intention will become irrevocable twenty (20) Working Days after it has been given.
- 20.02.2 If a Member without Long Term Disability Insurance, after completing their maximum eligible medical leave and any other approved leave, including Leave Without Pay (LWOP), is unable to resume any duties after reasonable accommodations to the point of undue hardship have been made, then they shall retire immediately.

20.03 Termination of Probation

- 20.03.1 Termination of probation shall be as provided in [Article 27](#) for Faculty Members, Professional Librarians, and Instructors/Academic Assistants.

20.04 Termination of Appointment due to Financial Emergency or Program Redundancy

- 20.04.1 Termination of appointment due to financial emergency or program redundancy shall be as provided in [Article 34](#).

20.05 Termination through Dismissal

- 20.05.1 Termination through dismissal shall be as provided in [Article 19](#).

20.06 Voluntary Termination by Mutual Agreement

- 20.06.1 Either the Dean or a Member may at any time propose a special arrangement for the purpose of terminating the Member's appointment under mutually acceptable terms. Unless waived by the Association, no agreement to terminate shall be made until twenty (20) Working Days have elapsed following notice in writing given by the President to the Association of the intention to negotiate such an agreement.

~~20.06.2 Term Appointments and Sessional Lecturers~~

- ~~(a) The term of appointment shall be included within the~~

~~Member's letter of appointment. There is no obligation on the Board to extend such appointments beyond their term.~~

Section 3: Applicable to all Members EXCEPT Sessional Lecturers

For clarity, when the term “Member” is used anywhere in this Section, it refers to Faculty Members, Professional Librarians, Instructors, and Academic Assistants, and excludes Sessional Lecturers.

Article 21: Assignment of Duties of Members

- 21.01** For the purposes of [Article 21](#), consult and consultation shall be taken to mean the opportunity to share information, provide input, and suggest alternatives before a final decision is made by the Dean.
- 21.02** The duties of a Member shall be deemed to have been assigned to the Member by the Dean according to the following guidelines:
- 21.02.1 All teaching duties, pursuant to [Article 21.03](#), shall be assigned by the Dean, and duties pursuant to [Articles 21.03.2](#) to [21.03.9](#) shall be deemed to have been assigned by the Dean in consultation with the Member, as appropriate to the nature of the duty, and consistent with [Article 21.02](#).
 - 21.02.2 **For Research-Stream Faculty Members and Professional Librarians**, research, professional development, scholarly work, and creative activities are not normally specifically assigned by the Dean; there are, however, clear expectations for the Member as set out in [Article 13.03](#) and elaborated in [Article 11.03.3](#).
 - 21.02.3 Service duties to the University and community are not normally assigned to the Member by the Dean; it is, however, a clear expectation for the Member as set out in [Articles 13.04](#), [11.03.4](#), and [21.03](#). A Member may be involved in service by appointment, designation, delegation, election, professional discretion, or re-assignment.
- 21.03** Duties shall include one or more of the following:
- 21.03.1 teaching assigned courses during the Fall, ~~Spring~~Winter, and/or Summer sessions, including the organization, preparation, and delivery of course material, the evaluation of students' academic progress, and individual discussions with students outside of class, ~~or~~ laboratory, or tutorial hours;
 - 21.03.2 other forms of teaching including but not limited to: lab teaching, lab coordination, tutorial teaching, studio teaching, ensemble direction, production design and management, practicum supervision, clinical teaching, and co-op admissions courses;
 - 21.03.3 supervising and examining graduate students, undergraduate honours theses, independent studies and applied studies;
 - 21.03.4 personal study and research, including study for purposes of academic or pedagogical self-improvement and the advancement of knowledge and understanding;
 - 21.03.5 research, study, retraining, or upgrading leave on- or off-campus;

- 21.03.6 performance as a Professional Librarian ~~Library service~~, in the case of Professional Librarians;
 - 21.03.7 duties under Article 39.04 in the case of Teaching-Stream Faculty Members;
 - 21.03.8 duties consistent with their position description, in the case of a Member who has a position description; ~~Instructors/Academic Assistants~~;
 - 21.03.9 administrative and committee work at various levels - Department, Library, School, Faculty, University and Association – including academic administration, assistance at registration, and supervision of examinations;
 - 21.03.10 reassignment of some or all duties following consultation with the Member to another Department, or comparable academic unit ~~within the Faculty/Library~~; normally, reassignment of service or administrative duties shall presuppose reassignment of teaching or research duties; all exceptions require approval of the Vice-President (Academic); and
 - 21.03.11 community service.
- 21.04** The Dean, following consultation with the appropriate Faculty/Professional Librarians Committee, shall establish and publish policies and procedures, subject to the limitations set by this Collective Agreement, to determine the assignments of the Members of that Faculty/Library, subject to the following:
- 21.04.1 These policies and procedures shall neither be constructed nor applied at any time in such a way as to exclude a Member from consideration for the types of assignments listed in [Article 21.03](#).
 - 21.04.2 In the construction and application of these policies and procedures, an effort shall be made to ensure that the total amount of work **to be** undertaken by each Member, including the duties listed in [Article 21.03](#) and [Schedule D](#), shall be reasonable and roughly equivalent in terms of the time and effort required for competent performance of that work.
- 21.05** The Dean shall consult the Member before determining that Member's assignment; re-assignment shall similarly require consultation and be subject to policies and procedures of this Article.
- 21.06** The Dean shall give notice in writing to the Member of any assignment of duties, and that notice shall be sufficiently in advance of the assumption of those assigned duties, that the Member shall have enough time to prepare to perform those duties competently.
- 21.07** Assignments shall fall within the Member's area of competence.

21.08 Assignments to research and study leave shall be subject to the approval of the President.

21.09 The tenure, academic salary, economic benefits and rank of a Member shall not be affected by assignment of duties under [Article 21.03](#), except as provided in [Articles 22.01](#) and [22.02](#).

21.10 The number of [Research-Stream](#) Faculty Members/[Professional Librarians](#) assigned to research and study leave each year shall accord with past practice.

21.11 External Professional Activities

21.11.1 A Member may engage in external professional activity. External professional activity represents a contribution to the community which can be made by the Member by virtue of their training, advanced study or research, or is of value to maintain or develop their academic competence.

21.11.2 A Member shall notify the Dean of the nature and scope of any such activity of a substantial and continuing nature. The Dean shall ensure that such activity does not interfere with the normal activities of the Member.

21.11.3 A Member shall make appropriate arrangements in advance with the Dean for the use of facilities, equipment, supplies and other services of the University in the conduct of external professional work.

21.11.4 A Member shall obtain the approval of the Dean in advance before accepting remuneration for external professional activities. Such approval shall not unreasonably be withheld.

(a) A Member shall not be required to report to their Dean receipt of remuneration of \$1,000 or less per occurrence for professional activities including, but not limited to, delivery of guest lectures, serving as an external examiner, and conducting programme and manuscript reviews.

(b) A Member shall report to their Dean when the annual total remuneration for the Contract Year (July 1st to June 30th) exceeds \$5,000 for external professional activities.

21.11.5 Before accepting remuneration from a research grant or contract, a Member must meet both of the following conditions:

(a) The Vice-President (Academic) shall concur with the arrangements,

(b) The supporting agency shall permit the use of funds to pay supplementary remuneration.

Article 22: Courses Taught in Addition to Assigned Duties, including Summer Session Courses

- 22.01** For course(s) taught over and above a Member's assigned duties, including those taught during Summer Session, the Member will receive the stipend specified in [Schedule A.03](#), subject to the other provisions of [Article 22](#).
- 22.02** The stipend for courses greater than or fewer than three (3) credit-hours shall be subject to individual negotiation. Prior to such negotiation, the Dean shall remind the Member of their right to be accompanied, pursuant to the provisions of [Article 11.02.6](#), Participation and Accompaniment.
- 22.03** In the event that a course under [Article 22](#) is cancelled thirty (30) Working Days or fewer from the scheduled commencement of the course, the Member shall receive the partial stipend specified in [Schedule A.03.1\(c\)](#) to recognize the preparation time of the Member.

Article 23: Evaluation Procedures

23.01 General Principles

- 23.01.1 **A performance evaluation of each Member shall determine whether a Member receives a full, partial, or no Career Progress increment.**
- 23.01.2 **Merit Awards shall be distinct from Career Progress increments and awarded through the processes outlined in Article 24.**

23.02 Timelines and Scope

- 23.02.1 Each Member shall submit **to their Dean an updated CV (as required by Article 14.08) and** a Professional Activities Report (as per [Schedule D](#)) on or before **October 1** ~~September 15th~~ of each evaluation year.
- 23.02.2 Before April 30th of each year, the professional performance of each Member shall be evaluated and the Member shall be informed of the result of that evaluation.
- 23.02.3 Normally, the period for **evaluation assessment** shall be the **two (2) Contract Year(s) immediately preceding the evaluation of performance of biennial evaluation, and the one (1) preceding Contract Year in the case of annual evaluation.**

- (a) To be eligible to have a performance evaluation every two (2) years, a Member must be tenured or hold a Continuing Appointment, as appropriate. Biennial performance evaluations shall occur in each odd year (2025, 2027, etc.).
 - (b) In accordance with Article 23.09.4, Members who receive an Unsatisfactory or Below Satisfactory performance evaluation may opt for an annual evaluation in the subsequent year.
 - (c) All other Members are subject to annual evaluation.
- 23.02.4 If the Member does not yet have one (1) Contract Year completed, they are subject to Article 23.11.
- 23.02.5 The basis for ~~evaluation assessment~~ shall normally be the CV, the Professional Activities Report covering the evaluation period, and the contents of a Member's Personal File regarding the period in question.
- 23.02.6 If the Member and the Dean agree, other relevant periods or materials shall be considered.
- 23.02.7 All materials considered shall be part of a Member's Personal File.
- 23.02.8 For Members on a biennial evaluation schedule, the performance evaluation of a Member and its associated full, partial, or no Career Progress increment will be awarded in both the biennial evaluation year, and again the next non-submission year, subject to Article 23.09.4.

23.03 Professional Activities Reports and Materials Documentation

- 23.03.1 ~~Normally, the period covered by the Professional Activities Report shall be the Contract Year, that is, July 1st to June 30th, immediately preceding the evaluation of performance.~~
- 23.03.2 ~~The report shall describe the duties and responsibilities that the Member has carried out during the period covered (see Article 13 for more about the relevant criteria).~~
- ~~(a) Faculty Members and Professional Librarians should include a recommendation for weighting the relevant criteria.~~
- 23.03.3 ~~In a year when the Member's performance is being evaluated, the Member shall submit supporting documentation having a bearing on the relevant criteria for performance evaluation specified in Article 13 and relevant to the evaluation period. The Member shall provide sufficient evidence to permit evaluation of the activities reported.~~

- 23.03.4 ~~The Member's current curriculum vitae as required by Article 14.08 shall also be submitted with the report.~~
- 23.03.5 ~~In a year when the Member's performance is not being evaluated (in the case of a Member who is eligible for biennial evaluation under Article 23.10.3), reporting shall be as described in Article 23.10.9.a.~~
- 23.03.1 The Professional Activities Report shall not exceed five (5) pages and shall focus on providing information the Member feels is most necessary to assess satisfactory performance of assigned Article 13 duties, specific to the Member's category of employment (Faculty Member, Professional Librarian, Instructor, Academic Assistant).
- 23.03.2 The Member shall ensure they address performance in all categories of a Member's assigned duties as described in Article 13, specific to their employment category of Faculty Member, Professional Librarian, Instructor, or Academic Assistant.
- 23.03.3 In the case of Faculty Members, this includes Teaching Effectiveness (Article 13.01); Service to the University and to Society (Article 13.04); and in the case of Research-Stream Faculty Members also includes Research, Professional Development, Scholarly Work and Creative Activity (Article 13.03).
- 23.03.4 In the case of Professional Librarians, this includes Performance as a Professional Librarian (Article 13.02) and Service to the University and to Society (Article 13.04).
- 23.03.5 In the case of Instructors/Academic Assistants, this includes Teaching Effectiveness (Article 13.01) and Service to the University and to Society (Article 13.04).
- 23.03.6 There will be no Professional Activities Report or materials submitted in non-evaluation years.
- 23.03.7 Professional Activities Reports shall be made available to Personnel Committees for uses that comply with the Collective Agreement.

23.04 Evaluation of Indigenous Members' Work

- 23.04.1 In the context of this Collective Agreement, the Parties agree to use the United Nations' working definition of Indigenous Populations to understand the term "Indigenous".
- 23.04.2 Care must be taken to appropriately value the use of traditional Indigenous knowledge and Indigenous knowledge production in all evaluation of Indigenous Members' work.
- 23.04.3 In particular, the ways of doing and knowing that Indigenous

Members bring to all aspects of their work must be recognised, acknowledged, and valued.

- 23.04.4 The Parties recognize that in many cases the divisions between teaching, research, and service that have been used at the University and in this Agreement do not appropriately reflect Indigenous practices, and commit to allowing Indigenous Members to report their professional activities ~~(and recommend corresponding weightings)~~ in keeping with their own traditional understandings of their work.
- 23.04.5 When an Indigenous Member's work is being evaluated, the Member shall have the right to request that an individual (referred to hereafter as the expert advisor) be chosen to assist in the evaluation. **The request for an expert advisor shall be made at the time of submission of the Professional Activities Report or application for a Merit Award, pursuant to Article 24.**
- (a) The expert advisor must be an individual who understands the specific Indigenous cultural and ontological context from which the Member is working.
 - (b) The Member shall have the right to approve the selection of the expert advisor. No expert advisor shall be consulted under [Article 23.04.5](#) without the Member's consent.
 - (c) The Member shall have the right to designate which aspects of their work, or which specific tasks, the expert advisor shall be asked to consider.
 - (d) Input from the expert advisor shall be sought and considered in any evaluation of the work the Member has designated under [Article 23.04.5\(c\)](#).
 - (e) **The Board shall cover any reasonable costs associated with these requests.**
 - (f) When an Indigenous community withholds consent around the continuation or dissemination of parts of an Indigenous Member's work, the efforts that went into the work up to the point where consent was withheld shall be evaluated as if the work had come to a successful completion.

23.05 Evaluation and Equity Considerations

- 23.05.1 Anyone conducting evaluations of Members' work or making recommendations about such evaluations (including Department/Area Chairs and members of STP committees) shall be required to participate in University-provided equity training, including bias training, before commencing their work. This is intended as an initial step in the implementation of best practices that target equity in the hiring and review processes. Training completed within the previous three (3) years shall qualify for this purpose.
- 23.05.2 The Parties recognise that, particularly in the context of work

involving equity-deserving communities, research that does not result in conventionally-measurable outcomes or outputs may nonetheless have significant value, and the efforts that go into such work should be reported by the Member and considered in evaluation of the Member's work.

- (a) A lack of measurable outcomes may arise as the result of circumstances beyond the Member's control, such as the withdrawal of consent by human participants or by a community.
- (b) A lack of measurable outcomes does not preclude the possibility that the work has had impacts, both on the researcher and more broadly.

23.06 ~~Weighting for Faculty Members and Professional Librarians~~

~~23.06.1 For Faculty Members and Professional Librarians, the three relevant criteria outlined in Article 13, further elaborated in Article 11.03, shall be weighted by the Dean in consultation with the Faculty Member/Professional Librarian, in accordance with Schedule D, to reflect the Faculty Member's/Professional Librarian's duties and the relative emphasis of each of the three (3) criteria in the assessment of performance for the period under consideration.~~

~~23.06.2 The weighting of each Faculty Member's/Professional Librarian's duties shall total 100%.~~

23.07 ~~Performance Ratings and Overall Performance Scores~~

~~23.07.1 Performance ratings shall use the following descending scale of merit: 2.0, 1.75, 1.5, 1.25, 1.0, 0.5 and 0 where 1.0 reflects satisfactory performance and normal career progress.~~

~~23.07.2 *Calculations for Faculty Members/Professional Librarians*~~

- ~~(a) For each Faculty Member/Professional Librarian a performance rating on each of the three relevant criteria from Article 13 shall be proposed by the Dean, in consultation with the Department Chair, if any.~~
- ~~(b) The performance rating for each criterion multiplied by the weighting of each criterion shall determine a Faculty Member/Professional Librarian's performance score for each criterion. The overall performance score shall be the sum of the three (3) performance scores.~~

~~23.07.3 *Calculations for Instructors/Academic Assistants*~~

- ~~(a) For each Instructor/Academic Assistant, a performance rating shall be proposed by the Dean, and where departments exist, in consultation with the Department~~

Chair.

~~(b) An Instructor/Academic Assistant's overall performance score shall be equal to their performance rating.~~

- 23.07.4 ~~The ratings, the resulting scores, and a brief statement of the reasons for them shall be communicated to the Member in writing together with an estimate of the distribution of overall performance scores in each Faculty/Library, separated for Faculty Members/Professional Librarians and for Instructors/Academic Assistants.~~
- ~~(a) To ensure confidentiality, Instructors or Academic Assistants from Faculties/Library with fewer than six (6) Instructors or Academic Assistants shall be grouped with those Instructors and Academic Assistants in the next smallest group.~~

23.06 Performance Ratings and Increments

- 23.06.1 Performance evaluation will lead to one of the following assessments of performance, and an associated full, partial, or no increment:
- (a) Satisfactory – one (1.0) full Career Progress increment,
 - (b) Below Satisfactory – one-half (0.5) of a Career Progress increment, or
 - (c) Unsatisfactory – no (0) Career Progress increment.
- 23.06.2 The Dean's evaluation shall ensure due consideration of performance in all categories of a Member's assigned duties as described in Article 13, specific to their employment category of Faculty Member, Professional Librarian, Instructor or Academic Assistant.
- 23.06.3 A Satisfactory evaluation requires satisfactory performance in each category of assigned duties.
- 23.06.4 When the appropriate materials, as defined in Article 23.02.1, are not submitted by a Member by the deadline, the Member's performance will be deemed Unsatisfactory, and no Career Progress increment will be awarded to the Member.
- 23.06.5 The Dean shall be solely responsible for providing the evaluation, but may consult with relevant individuals as necessary, including but not limited to Department Chairs, Assistant Deans, Associate Deans, or other appropriate parties in cases when necessary.

23.06.6 The evaluation in Article 23.06.1, and its associated full, partial, or no increment shall be communicated to the Member in writing, including a brief statement of the reasons for that evaluation. In cases where a Below Satisfactory or Unsatisfactory evaluation is made, a brief statement of the reasons for that evaluation shall also be communicated.

23.07 **Disagreement regarding a** Appeal of a Performance Evaluation

23.07.1 ~~Where the Member disagrees with the Dean's recommendation regarding performance ratings, they shall notify the Dean in writing. A Member may appeal the performance evaluation~~ within five (5) Working Days of receipt of the letter sent pursuant to Article 23.06.6 based on one of the following grounds: ~~stating their reasons therefor.~~

- (a) The Dean made a substantial error in the interpretation of the evidence submitted for the performance evaluation, and/or
- (b) The Dean failed to comply with the procedures outlined in Article 23.

23.07.2 *Step 1: Informal Meeting*

- (a) The Member shall meet with the Dean and present the rationale for their appeal on the basis of Article 23.07.1. ~~whatever material, evidence, and information they deem necessary to justify their preferred performance ratings (relative to the weighting of the Dean).~~
- (b) If the appeal is not resolved at this Step the Member may choose to move to Step 2: Referral to the STP Committee.

23.07.3 *Step 2: Referral to the STP Committee*

- ~~(a) If the Member and the Dean still disagree then the STP Committee shall establish the performance rating for each criterion, and send the results, with reasons, to the Dean.~~
- (a) All such STP Committee salary increment deliberations shall be completed by June 15 of the same calendar year within twenty-five (25) Working Days of the notification of appeal, as per Article 23.07.1.
- (b) The Committee's procedures shall be as follows:
 - (i) The Committee Chair shall: ~~recommend to the STP Committee performance ratings (relative to weightings) and the resultant performance score.~~
Before such a recommendation the Chair shall:

- (A) invite the Member to supply a rationale **for their appeal pursuant to Article 23.07.1; and whatever material, evidence, and information they presented to the Dean to justify their preferred performance ratings (relative to the weightings of the Dean);**
- (B) request from the Dean the Professional Activities Report, **the CV, and any other documentation any supporting documentation provided by the Member, and the Department Chair's assessment (where applicable)** which were the basis for the Dean's performance **evaluation rating**;
- (C) invite the Dean to justify their performance **evaluation rating**, a copy of which justification shall be provided to the Member;
- (D) inform the Member of the right to appear before the STP Committee;
- (ii) The Member shall give as much notice as possible but not fewer than five (5) Working Days' notice if they intend to appear before the Committee.
- (iii) The Chair shall convene the STP Committee and shall place before it:
 - (A) The items specified **herein. Articles 23.07.3(e)(iii)(A), 23.07.3(e)(iii)(B), and 23.07.3(e)(iii)(C).** Normally, new documentation shall not be presented at this meeting;
 - (B) The motion, which shall be *The Dean's recommendation regarding performance **evaluation rating** be accepted.*
 - (C) If that motion fails, another motion shall be made regarding the appropriate performance **evaluation rating (Satisfactory, Below Satisfactory, Unsatisfactory)** to be recommended to the President.
- (iv) After examining the evidence before the committee, the STP Committee may request the Chair to attempt to gather **clarifying or contextual information additional evidence** within reasonable limits. The Member will be provided with a fair summary of any **information new documentation** admitted and will have five (5) Working Days to respond to it prior to the Committee considering the new **information documentation.**
- (v) A letter from the Chair of the STP Committee shall be transmitted to the Dean, with a copy to the Member, informing the Dean and the Member of the Committee's recommendation and the reasons therefor.
- (vi) All materials used in the Hearing shall be deemed to be part of the Member's Personal File, subject to the provisions of [Article 14.](#)

23.08 Final Approval of Performance Evaluation

- 23.08.1 The Dean shall convey in writing to the President the performance evaluations and, in the case of any evaluation deemed Below Satisfactory or Unsatisfactory, ratings, the resulting overall performance scores, and the reasons therefor.
- (a) The Dean may submit to the President their own written recommendation differing from that of the STP Committee, if the STP Committee has established the performance evaluation rating. The President may request in writing, giving their reasons therefor, that the originator of performance ratings reconsider the recommendation. The Dean or the STP Committee, whichever is appropriate, shall either confirm its original recommendation or else make some other recommendation originally available to it, and the Dean shall convey the recommendation to the President.
- 23.08.3 The President shall ratify one of the submitted recommendations.

23.09 Below Satisfactory or Unsatisfactory Performance ~~Where any of a Member's primary duties (in the case of Faculty Members, this means teaching and research) are assessed as unsatisfactory, or where reasonable doubt exists about a Member's satisfactory performance in these areas, the Member and the Dean, in consultation with the Department Chair, where Departments exist, shall cooperate, during the subsequent evaluation period, in the collection of data concerning these components of the Member's performance.~~

- 23.09.1 Where a Member's performance is assessed by the Dean as Unsatisfactory, or where no materials are submitted by the Member by the deadline, ~~if a Member's final overall performance score is less than one (1.0),~~ the Dean shall arrange a Verbal Discussion meeting pursuant to Article 19.06.1.
- 23.09.2 Where the Member's performance is assessed the Dean as Below Satisfactory, the Dean shall ensure the Member has written feedback to understand what aspect(s) of their performance need improvement.
- 23.09.3 Where the Member's performance is assessed by the Dean as Below Satisfactory in two (2) consecutive evaluations, the Dean shall arrange a meeting pursuant to Article 19.06.2.
- 23.09.4 Members who are assessed as Below Satisfactory or Unsatisfactory in the biennial evaluation process may opt to submit an updated CV and a new Professional Activities Report on or before October 1 of the subsequent non-evaluation year. The period of evaluation shall be the Contract Year immediately preceding the October submission.
- (a) Should the Dean's review of their materials result in a

different evaluation of their performance, they may receive the associated increment of their new evaluation in year two (2), rather than carrying their Below Satisfactory or Unsatisfactory evaluation through the non-evaluative year.

- (b) This optional submission does not impact the timing of the Member's next biennial evaluation cycle, which will continue to align with all other Members as set out in Article 23.02.3(a).

23.10 Career Progress Increments

23.10.1 A Member shall be awarded a Career Progress increment that is:

- (a) recommended in accordance with the provisions of Article 23, and
- (b) ratified by the President.

23.10.2 Career Progress increments for all Members shall be added to salaries on June 30th of each year and shall become effective on July 1st of the calendar year in which they are awarded as specified in Schedule A.

23.11 Eligibility for Career Progress Increments

23.11.1 *Date of Appointment*

- (a) A Member whose start date of their current appointment is not within the previous twelve (12) months is eligible for a Career Progress increment based on the performance evaluation established under Article 23.
- (b) In accordance with Schedule X, if a Member's current appointment has started within the previous twelve (12) months, their eligibility for a Career Progress increment is as follows:
 - (i) A Member whose appointment takes effect in the period July 1st - October 1st will receive one (1) full Career Progress increment on the following July 1st.
 - (ii) A Member whose appointment takes effect in the period October 2nd - March 31st will receive, on the following July 1st, a Career Progress increment pro-rated from the value in Article 23.11.1(b)(i), according to the completed months they will have served by June 30th.
 - (iii) A Member whose appointment takes effect in the period April 1st - June 30th will not be eligible to receive a Career Progress increment on July 1st of the same

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calendar year.

23.11.2 On Terminal Appointment

- (a) A Member awarded a terminal appointment as provided in Article 27.05.2 shall not be awarded a Career Progress increment for that year of terminal appointment.
- (b) A Member with a Term appointment whose appointment end date falls before July 1st will not be eligible to receive a Career Progress increment on July 1st of the same calendar year.

23.12 Value of Career Progress Increment

- 23.12.1 The value of a Faculty Member/Professional Librarian's Career Progress increment is equal to the amount stated in Schedule A.01.1 and A.01.2.
- 23.12.2 The value of an Instructor/Academic Assistant's Career Progress increment is equal to the amount stated in Schedule A.01.4.
- 23.12.3 The Board shall provide no Career Progress increments to Members for the period during which they hold appointments as Senior Academic Administrators.

23.13 Academic Career Years (Research-Stream Faculty Members/Professional Librarians)

- 23.13.1 If a Research-Stream Faculty Member/Professional Librarian receives recognition for a transfer of seniority, as per Article 34.04.1, including professional experience at the University or elsewhere, the number of years transferred under Article 34.04.1 shall count towards the period of an Academic Career as defined by Article 2.02. The Board shall not unilaterally change the Academic Career Years assigned in a Member's letter of appointment.
- 23.13.2 Once a Research-Stream Faculty Member/Professional Librarian has accumulated Academic Career Years exceeding the duration of an Academic Career as defined in Article 2.02 and as implemented through Schedule E, the Career Progress increment shall have no monetary value.

23.14 Teaching-Stream Faculty Members and Instructors/Academic Assistants at or Near Maximum Salary

- 23.14.1 Once Teaching-Stream Faculty Member, Instructor, or Academic

Assistant has accumulated salary to the point of the maximum salary as defined in Schedule A.01.2 and A.01.4, the Career Progress increment shall have no monetary value.

- 23.14.2 In the case where the value of a Career Progress Increment would cause the Teaching Stream Faculty Member, Instructor, or Academic Assistant's salary to exceed the maximum salary, the Career Progress increment's value shall be the difference between the current salary and the maximum salary.

23.15 Information About Career Progress Increments

- 23.15.1 Letters summarizing annual salaries as of July 1st each year shall be issued to each member, containing the following:
- (a) June 30th salary;
 - (b) career progress increment amount (if any);
 - (c) Merit Award amount (if any), in accordance with Article 24;
 - (d) cost of living adjustment amount and percentage (if any; and
 - (e) the resultant July 1st salary, as a sum of a. to d. in this clause.

~~23.16—Procedures for Biennial Evaluation~~

- 23.16.1 ~~A Member is subject to annual evaluations unless they meet the provisions of Article 23.10.3.~~
- 23.16.2 ~~Members subject to annual evaluation will follow the procedures provided in Article 23 each year.~~
- 23.16.3 ~~To be eligible to have a performance evaluation every two (2) years a Member shall meet the following criteria:~~
- ~~(a) is tenured or holds a Continuing Appointment, as appropriate;~~
 - ~~(b) has received an overall performance score of at least one (1.0) for each of the last three (3) years;~~
 - ~~(c) has not received a report in writing of unsatisfactory performance of academic duties during the last three (3) years; and~~
 - ~~(d) has not been disciplined under Article 19 in the last three (3) years.~~
- 23.16.4 ~~When a Member who has been eligible for biennial evaluations loses that eligibility because one or more of the conditions of~~

~~Article 23.10.3 is no longer satisfied, they shall revert to annual evaluations until the conditions in Article 23.10.3 are met.~~

- 23.16.5 ~~A Member who becomes eligible for biennial evaluation shall continue to be evaluated on an annual basis until the group to which they are assigned is due for biennial evaluation.~~
- 23.16.6 ~~At the discretion of the Dean, Members of a Faculty or the Library who are eligible for biennial evaluation shall be evaluated either in one group, all in the same year, or in two (2) groups of approximately equal size with each group being evaluated in alternate years, subject to Article 23.10.7 below.~~
- 23.16.7 ~~If membership in a Faculty or the Library is divided for the purposes of biennial evaluation, the basis of the groupings shall be similarity of discipline or similarity of criteria for performance rating (e.g. Natural Sciences, Social Sciences, and Humanities).~~
- 23.16.8 ~~Where there are Departments/areas in a Faculty or the Library, all eligible Members of the same Department/area shall be evaluated in the same year.~~
- 23.16.9 ~~Reporting and scores in non-evaluation years~~
- ~~(a) In a year when an evaluation is not required a Member shall provide a maximum one-page summary of the duties which were performed during the preceding Contract Year and a current curriculum vitae. Supporting documentation is not required.~~
- ~~(b) For Members subject to biennial evaluation, the procedures provided in Article 23 will be followed every second year. The overall performance score awarded to such a Member according to Article 23.06.2 or 23.06.3 will remain the same in each year until the next evaluation.~~

Article 24: Merit Awards Increments for Members

- 24.01 Merit is awarded on a biennial cycle on odd years (ex. 2025, 2027). During the biennial review process, Members may be awarded a Merit Award in addition to a Career Progress increment (Article 23). A Merit Award will recognize exceptional performance of a Member's assigned duties. Merit Awards shall be distinct from a Career Progress increment and awarded through the processes described in this Article.

24.02 Timelines and Scope

- 24.02.1 Merit Awards will be awarded every two (2) years and distributed in two equal installments, one on June 30 of the calendar year in which it is awarded, and the second on the following June 30.

- 24.02.2 Members may be evaluated for a Merit Award by submitting an application on or before October 1 of the preceding even-numbered year.
- 24.02.3 Normally, the Merit Award evaluation period shall consist of the two (2) Contract Years (spanning July 1 to June 30) immediately prior to the October submission.
- 24.02.4 Applications for Merit Awards shall be evaluated, and the Member notified of the outcome, by April 30th of each odd-numbered year.
- 24.02.5 Merit Awards are incorporated into the base salary, except as outlined in Articles 24.10.

24.03 Materials for Consideration

- 24.03.1 An application for a Merit Award shall consist of:
 - (a) The materials submitted for performance evaluation(s) under Article 23 for the two (2) Contract Years (spanning July 1 to June 30) immediately prior to the October submission.
 - (b) A three (3) page maximum letter describing how the Member's performance of duties within the period of evaluation was exceptional.
- 24.03.2 The Member shall ensure they address exceptional performance in at least one (1) category of a Member's assigned duties as described in Article 13, specific to their employment category of Faculty Member, Professional Librarian, Instructor, or Academic Assistant.
 - (a) In the case of Faculty Members, this includes Teaching Effectiveness (Article 13.01) and Service to the University and to Society (Article 13.04). In the case of Research-Stream Faculty Members, this also includes Research, Professional Development, Scholarly Work and Creative Activity (Article 13.03).
 - (b) In the case of Professional Librarians, this includes Performance as a Professional Librarian (Article 13.02) and Service to the University and to Society and other Faculty assigned duties (Article 13.04).
 - (c) In the case of Instructors/Academic Assistants, this includes Teaching Effectiveness (Article 13.01) and Service to the University and to Society (Article 13.04).

24.04 Eligibility for Merit Awards

24.04.1 The following Members are not eligible for a Merit Award:

- (a) A Member who has worked less than twelve (12) months within the period of assessment under review.
- (b) A Member who did not submit performance evaluation materials, as defined in Article 23.06.4.
- (c) A Member awarded a terminal appointment as provided in Article 27.05.2.
- (d) A Member in a Term appointment with an end date prior to July 1 of the year in which a Merit Award would take effect.
- (e) A Member who served as a Senior Academic Administrator during any portion of the two (2) Contract Year period of assessment, in accordance with Schedule X.
- (f) A Member who fails to achieve a Satisfactory performance evaluation, as outlined in Article 23.06.

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"Performance evaluation for Senior Academic
Administrators returning to Academic Staff"

24.04.2 All other Members may elect to submit an application for a Merit Award.

24.04.3 If the Member has at least one (1) but less than two (2) Contract Years served within the period of assessment, their submission shall include the portion of time they served within the two (2) Contract Years (July 1 – June 30) immediately preceding the October submission.

24.04.4 A Dean may, at their discretion, grant a Merit Award to a Member who has not submitted an application, provided the Dean possesses evidence of the Member's exceptional performance of duties. The Dean is not obligated to request further evidence from the Member but may do so if deemed necessary.

24.05 Process for Allocating Merit Awards

24.05.1 Applications for Merit Awards by Faculty Members will be evaluated by the Dean of the respective Faculty.

- (a) The Dean may consult with relevant individuals as necessary, including but not limited to Department Chairs, Assistant Deans, Associate Deans, or other appropriate parties.

24.05.2 Applications for Merit Awards by Instructors and Academic Assistants will be evaluated by a committee of the Deans of the respective Faculties.

- (a) **The Dean may consult with relevant individuals as necessary, including but not limited to Department Chairs, Assistant Deans, Associate Deans, or other appropriate parties.**

24.05.3 In allocating Merit Awards, the Dean will also be guided by the following:

- (a) **Article 23.04 (Evaluation of Indigenous Members' Work).**
- (b) **Article 23.05 (Evaluation and Equity Consideration).**

24.05.4 A list of Merit Award recipients for each biennial review will be submitted to GFC, shared with the Association, and published internally.

24.05.5 Merit Award decisions cannot be appealed.

24.06 Merit Awards

24.06.1 Merit Awards are:

- (a) **recommended by the Dean in accordance with the provisions of Article 24, and**
- (b) **ratified by the President.**

24.06.2 Except as set out in Article 24.10, Merit Awards shall be added to salaries in two installments, one on June 30 of the year in which it is awarded and the second on the following June 30, and shall become effective on July 1 of the calendar year in which it is added to salary.

24.07 Value of a Merit Award

24.07.1 Merit shall be awarded at two (2) levels.

- (a) **A Merit Award shall be \$1,500 (\$750 per year for two (2) years).**
- (b) **An Outstanding Merit Award shall be \$3,000 (\$1,500 per year for two (2) years).**

24.08 Merit Pools

24.08.1 Merit pools shall be established as follows:

- (a) For the University Library, the Professional Librarians shall share a merit pool.
- (b) For each Faculty, Members who are either Research-Stream Faculty Members or Professional Librarians shall share a merit pool. ~~To ensure confidentiality, For any Faculty with fewer than six (6) Research-Stream Faculty Members/Professional Librarians, those Members shall share a merit pool with Research-Stream Faculty Members/Professional Librarians in the next smallest Faculty.~~
- (c) For each Faculty, the Teaching-Stream Faculty Members shall share a merit pool. ~~To ensure confidentiality, For any Faculty with fewer than six (6) Teaching-Stream Faculty Members, those Members shall share a merit pool with Teaching-Stream Faculty Members in the next smallest Faculty.~~
- (d) For each Faculty, the Instructors and Academic Assistants shall share a merit pool. ~~To ensure confidentiality, For any Faculty with fewer than six (6) Instructors/Academic Assistants, those Members shall share a merit pool with Instructors/Academic Assistants in the next smallest Faculty. The Instructors and Academic Assistants shall share an institution-wide merit pool.~~

24.08.2 Merit pool contributions shall be made as follows:

- (a) For each Member, the per-Member merit pool contribution as specified in Schedule A shall be part of the merit pool for awarding biennially and distributing annually.
- (b) For Instructors/Academic Assistants, if the difference between the Member's salary and the maximum salary is less than the value of the per-Member merit pool contribution, only the difference shall be part of the merit pool.

24.08.3 The per-Member merit pool contribution shall be made at the full-load merit pool levels as specified in Schedule A, Table A.01, regardless of whether the Member is assigned to a less than 1.0 FTE status.

24.08.4 Merit pool contributions shall be applied based on eligible

Members as of January 31 each year.

24.08.5 Merit pool contributions will not be made on behalf of the following Members:

- (a) A Member who has completed less than twelve (12) months of employment by June 30 of the evaluation period.
- (b) A Member awarded a terminal appointment as provided in Article 27.05.2.
- (c) A Member with a Term appointment whose appointment end date falls before July 1st of the contribution year.
- (d) Academic Staff who served as a Senior Academic Administrator during any portion of the two (2) Contract Year period of assessment, as per Schedule X.
- (e) Instructors/Academic Assistants at the maximum salary.

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24.09 Distribution of Merit Awards

- 24.09.1 The number of Merit Awards available in a given year will fluctuate based on the number of Members and therefore the per-member contributions to the merit pool.
- 24.09.2 The merit pools will be distributed so that no more than 20% of eligible Members in a Faculty or School will receive the Outstanding Merit Award.
- 24.09.3 After Outstanding Merit is awarded pursuant to Article 24.09.2, the remainder of the merit pool will be distributed through Merit Awards.
- 24.09.4 Any unused funds in the merit pools at the end of the biennial Merit Award process will be distributed proportionally among the Merit Awards and Outstanding Merit Awards.

24.10 Teaching-Stream Faculty Members, Instructors, and Academic Assistants at Maximum Salary

- 24.10.1 Instructor/Academic Assistants at maximum salary are not eligible.
- 24.10.2 Once a Teaching-Stream Faculty Member has reached their maximum salary as defined in Schedule A.01.2, a Merit

Award will be provided as two one-time payments, as per Article 24.02.1, rather than as an addition to salary.

- 24.10.3 If an Instructor, or Academic Assistant has not yet reached the maximum salary outlined in Schedule A.01.2 and A.01.4, the Merit Award will be added to their salary up to the maximum limit.

24.01 ~~A Member shall be awarded a salary increment that is:~~

24.01.1 ~~recommended in accordance with the provisions of Article 24, and~~

24.01.2 ~~ratified by the President~~

24.02 ~~All salary increments shall become effective on July 1st of the calendar year in which they are awarded.~~

24.03 ~~Eligibility for Salary Increments~~

24.03.1 ~~Date of Appointment~~

- (a) ~~A Member whose date of initial appointment is not within the previous twelve (12) months is eligible for a salary increment based on the performance rating established under Article 23.~~
- (b) ~~For Members whose date of initial appointment is within the previous twelve (12) months:~~
 - (i) ~~A Member whose appointment takes effect in the period July 1st – September 15th is eligible to receive a salary increment on the following July 1st. In the case of an Instructor/Academic Assistant, this increment shall be that associated with an overall performance score of 1.~~
 - (ii) ~~A Member whose appointment takes effect in the period September 16th – March 31st is eligible to receive, on the following July 1st, a salary increment pro-rated from the value in Article 24.03.1(b)(i), according to the completed months they will have served by June 30th.~~
 - (iii) ~~A Member whose appointment takes effect in the period April 1st – June 30th will not be eligible to receive a salary increment on July 1st of the same calendar year.~~

24.03.2 ~~On Terminal Appointment~~

- (a) ~~A Member awarded a terminal appointment as provided in Article 27.05.2 shall not be awarded a salary increment for that year of terminal appointment.~~
- (b) ~~A Member with a Term appointment whose appointment end date falls before July 1st will not be eligible to receive a salary increment on July 1st of the same~~

~~calendar year.~~

24.04 Value of Salary Increment

- 24.04.1 ~~The value of a Faculty Member/Professional Librarian's salary increment is equal to the career progress increment and the merit award, if any.~~
- 24.04.2 ~~The value of an Instructor/Academic Assistant's salary increment is equal to the merit award, if any.~~

24.05 Merit Awards

24.05.1 ~~Faculty Members/Professional Librarians~~

- (a) ~~To establish a Faculty Member/Professional Librarian's merit award, a mean of the overall performance scores will be calculated.~~
- (b) ~~A Faculty Member/Professional Librarian whose performance score equals or exceeds the mean for their Faculty or the Library shall automatically receive increments from the Merit Pool.~~
- (c) ~~A Faculty Member/Professional Librarian whose performance score (rounded to the nearest tenth) equals the mean performance score (rounded to the nearest tenth) of their Faculty or Library shall be entitled to one merit unit.~~
- (d) ~~A Faculty Member/Professional Librarian shall receive an additional merit unit for each tenth by which their performance score (rounded to the nearest tenth) exceeds the mean performance score (rounded to the nearest tenth) of their Faculty or the Library.~~
- (e) ~~The total number of merit units for a Faculty or the Library will be the sum of these merit units.~~
- (f) ~~The value of a merit unit will be calculated by dividing the value of the merit pool, as established in Article 24.06, for a Faculty or the Library by the total number of merit units in that group.~~

24.05.2 ~~Instructors/Academic Assistants~~

- (a) ~~An Instructor's or Academic Assistant's recommended merit award is their overall performance score times the value of a merit increment unit as defined in Article 24.05.2(b).~~
- (b) ~~The value of a merit increment unit for Instructors/Academic Assistants shall be determined by dividing the merit pool defined in Article 24.06.2 by the sum of the performance ratings awarded to Instructors/Academic Assistants pursuant to the procedures described in Article 23.~~

24.06 Merit Pools

- 24.06.1 ~~A separate merit pool shall be established and distributed for each Faculty and for the Library as follows:~~
- ~~(a) for each Faculty Member/Professional Librarian, the per Member merit pool contribution as specified in Schedule A shall be part of the merit pool;~~
 - ~~(b) the merit pool shall be distributed among Faculty Members/Professional Librarians in the form of a merit award on June 30th of each year.~~
- 24.06.2 ~~A merit pool for Instructors/Academic Assistants shall be established and distributed among Instructors/Academic Assistants in the form of merit awards. The merit pool shall be established and distributed as follows:~~
- ~~(a) for each Instructor/Academic Assistant eligible to receive an increment, the per Member merit pool contribution specified in Schedule A shall be part of the merit pool.~~
 - ~~(b) for each Instructor/Academic Assistant for whom the difference between their salary and the maximum salary as specified in Schedule A is less than the value of the per Member merit pool contribution, only the difference shall be part of the merit pool.~~
 - ~~(c) The merit pool shall be distributed among Instructors/Academic Assistants in the form of a merit award on June 30th of each year.~~
- 24.06.3 ~~The Board shall make no per Member merit pool contributions on behalf of Members, and consequently these Members are not eligible to receive increments from any merit pool, for the period during which they hold appointments as Senior Academic Administrators.~~
- 24.06.4 ~~Merit pool contributions for Members assigned to a less than 1.0 FTE status are addressed in Schedule N.~~

24.07 Academic Career Years (Faculty Members/Professional Librarians)

- 24.07.1 ~~If a Faculty Member/Professional Librarian receives recognition for a transfer of seniority, as per Article 34.04.1, including professional experience at the University or elsewhere, the number of years transferred under Article 34.04.1 shall count towards the period of an Academic Career as defined by Article 2.02.~~
- 24.07.2 ~~Once a Faculty Member/Professional Librarian has accumulated Academic Career Years exceeding the duration of an Academic Career as defined in Article 2.02 and as implemented through~~

~~Schedule E, the career progress increment award shall have no monetary value.~~

24.08 Career Progress Increments (Faculty Members/Professional Librarians)

- 24.08.1 ~~Career progress increments for Faculty Members/Professional Librarians shall be added on June 30th of each year.~~
- 24.08.2 ~~To establish a Faculty Member/Professional Librarian's career progress increment:~~
- ~~(a) Every Faculty Member/Professional Librarian with a performance score equal to or above one (1.0) will receive a full career progress increment.~~
 - ~~(b) Those whose performance scores are less than one (1.0) and greater than or equal to one half (0.5) will receive one half (0.5) of a career progress increment.~~
 - ~~(c) Those whose performance scores are less than one half (0.5) will receive zero (0) increment.~~
- 24.08.3 ~~In any event, unless reassigned pursuant to Article 21, less than satisfactory performance in Teaching or Research and Creative Activity (or in the case of a Professional Librarian, in Performance as a Professional Librarian) will lead to a full career progress increment only under exceptional circumstances; otherwise, the Faculty Member/Professional Librarian will receive either one half (0.5) of the career progress increment or zero (0), according to the recommendation of the Dean or the STP Committee.~~
- 24.08.4 ~~The value of a career progress increment for Faculty Members/Professional Librarians whose Academic Career Years as of June 30th do not exceed the duration per rank of an Academic Career, as specified in Article 2.02 and as applied in Schedule E, is specified in Schedule A.~~
- 24.08.5 ~~Career progress increments for Faculty Members/Professional Librarians who have exceeded the maximum number of years per rank of an Academic Career as of June 30th as specified in Article 2.02 and applied within Schedule E, shall have no monetary value.~~
- 24.08.6 ~~The Board shall provide no career progress increments to Faculty Members/Professional Librarians for the period during which they hold appointments as Senior Academic Administrators.~~

24.09 Information about increments

- 24.09.1 ~~Faculty Members/Professional Librarians shall receive the distribution of career progress and merit increments awarded that year by Faculty/Library no later than September 30th of each year.~~
- 24.09.2 ~~The President shall inform all Instructors and Academic Assistants, no later than September 30th each year of the distribution of salary increments by Faculty/Library, awarded in that year. To ensure confidentiality, Instructors and Academic Assistants from Faculties/Library with fewer than six (6) Instructors and Academic Assistants shall be grouped with Instructors and Academic Assistants in the next smallest group.~~
- 24.09.3 ~~Information to be included in salary letters is addressed in Schedule N.~~

Article 25: Personnel Committees

25.01 Personnel Committees shall be established to fulfil the obligations set forth in this Collective Agreement as follows:

- 25.01.1 Search Committee;
- 25.01.2 Salary Tenure Promotion (STP) Committee;
- 25.01.3 Appeal Committee; and
- 25.01.4 Hearing Committee (External).

25.02 Rights and Responsibilities of Personnel Committees

- 25.02.1 Except as provided in [Articles 29](#) and [25.03.2](#), no person shall be appointed to, promoted to, granted extension of probation, granted Continuing Appointment, or awarded tenure in a full-time or Reduced Load position as Faculty Member/Professional Librarian/Instructor/Academic Assistant by action of the Board except upon:
- (a) recommendation by a Personnel Committee established under and acting under the provisions of this Collective Agreement; and
 - (b) recommendation by the President.
- 25.02.2 **Notwithstanding Article 25.02.1, a duly appointed Search Committee for the hiring of a Senior Academic Administrator shall have the authority, through the Academic Staff members on the Search Committee, to recommend the appointment of the Senior Academic Administrator, that tenure be awarded and the rank at which the Senior**

Academic Administrator is appointed for the Academic Staff portion of their appointment. Prior to finalizing its recommendation, the Search Committee shall confidentially consult with a Member disciplinary expert—such as a Department Chair or equivalent—who can provide feedback on the recommendation for tenure and rank. The Search Committee may request further materials from the Senior Academic Administrator candidate in order to complete their assessment and recommendation.

- 25.02.3 Regarding equity training for Personnel Committee members, see [Article 23.04.1](#).

25.03 Procedure in the Absence of a Recommendation from a Personnel Committee

- 25.03.1 In the event that a Personnel Committee cannot or will not make a recommendation respecting a Member as provided in the Collective Agreement, the powers and duties of the Personnel Committee shall be terminated in that case and the Dean shall make the recommendation, or in the case of an Appeal Committee, the Vice-President (Academic) shall make the recommendation.
- 25.03.2 In the event that a Search Committee cannot or will not make a positive recommendation for any candidate the Dean may recommend a candidate for a Term appointment not to exceed two (2) years.

25.04 Chair of a Search Committee or of an STP Committee

- 25.04.1 The Chair shall either be designated through procedures established by the Faculty Council/Professional Librarians Committee and approved by the General Faculties Council, or, if not so designated, shall be elected by the Committee.
- 25.04.2 The Chair of a STP Committee shall not be the Dean **and shall not normally be any other Senior Academic Administrator**.

25.05 Role of Senior Academic Administrators on Personnel Committees

- 25.05.1 When serving as a member of a Personnel Committee, Senior Academic Administrators and representatives of the Association shall not have a vote but shall have the right to participate in the activities of the Committee in all other respects.
- 25.05.2 It shall be the responsibility of the Dean in the case of a Search Committee or a STP Committee, and of the Vice-President (Academic) in the case of an Appeal Committee, to advise the committee of its rights and responsibilities pursuant to this Collective Agreement, and also of the requirements of Procedural Fairness as

defined in [Article 2.26](#), and equitable treatment in general.

- 25.05.3 The Dean, in the case of increment decisions, may also provide evidence for equitable treatment, subject to [Article 14](#).

25.06 Confidentiality

- 25.06.1 The deliberations, proceedings, evidence, documentation, and recommendations of Personnel Committees shall be treated as confidential except for the transaction of the official business of the University as provided by this Collective Agreement. However, if after the University has taken action based upon the recommendation of the Personnel Committee in their case, the Member makes a public statement about the reasons for that action, the University may treat those reasons as no longer confidential.

25.07 Conflict of Interest/Reasonable Apprehension of Bias

- 25.07.1 A Member shall not participate as a Personnel Committee member and shall either be replaced by the Dean from the pool of available alternative committee members, or absent themselves:
- (a) during a hearing of their own case or that of a close family member or common law spouse.
 - (b) during a review or appeal of a recommendation from another Personnel Committee of which they were a committee member.
 - (c) during a hearing in which their participation may lead to a reasonable apprehension of bias. Prior familiarity with the facts of a case does not, by itself, constitute a reasonable apprehension of bias, nor do past friendly or unfriendly relationships.
- 25.07.2 A person disqualified from participating on a Personnel Committee under [Article 25.07.1](#) may appear as a witness.
- 25.07.3 Any dispute regarding the applicability of [Article 25.07](#) and the replacement of the Member on a Personnel Committee by the Dean must be initiated through written and signed complaint to the Vice-President (Academic) within five (5) Working Days of the Member being informed in writing of their replacement. The Vice-President (Academic) will either dismiss or support the Member's complaint and advise the Member and the Dean of the decision in writing within five (5) Working Days of receiving the written complaint.
- 25.07.4 ***Conflict of Interest Concerns Raised by Members***
- (a) When a Member believes a person on a Personnel Committee which will be deciding a matter directly affecting the Member should be removed because of a conflict of interest or a reasonable apprehension of bias, the Member shall raise the concern with the Dean in writing within ten (10) Working Days of being informed in writing of the composition of the Committee.
 - (b) The Dean will respond in writing to the Member within five (5)

Working Days of receipt of the concern with a proposed resolution.

- (c) If the Member views the Dean's proposed resolution as unacceptable, the Member will file a written and signed complaint with both the Association President and the Vice-President (Academic) within ten (10) Working Days of receiving the Dean's response.
- (d) The Vice-President (Academic) will either dismiss or support the Member's complaint and advise the Member and the Dean of the decision in writing within five (5) Working Days of receiving the written complaint.

25.07.5 Any disputes regarding the applicability of [Articles 25.07.3](#) and [25.07.4\(d\)](#) resulting from a complaint shall be resolved using the Interpretation procedure in [Articles 9.04](#) and [9.05](#).

25.08 Eligibility to vote in STP and Appeal Committees

- 25.08.1 In order to be eligible to vote in a particular case, voting members of a STP or Appeal Committee must be present at all meetings regarding that case.
- 25.08.2 Notwithstanding the foregoing, an alternate joining such a Committee in place of a regular voting member part way through its deliberations on a particular case shall be eligible to vote provided that:
 - (a) the Chair of the Committee has informed the alternate at the alternate's first meeting of the procedures followed and the nature of the Committee's deliberations concerning the case prior to the alternate's membership in the Committee; and
 - (b) the alternate has attended all meetings of the Committee concerning the case subsequent to the alternate's membership in the Committee.

25.09 Search Committee

- 25.09.1 To deal with the appointment of a Member as provided in [Article 10](#) there shall be a Search Committee, whose term of office shall be July 1st through June 30th annually, consisting of:
 - (a) **Non-Voting**
 - (i) The Dean
 - (b) **Voting**
 - (i) Four (4) persons selected through procedures established by the Faculty Council/Professional Librarians Committee and approved by the General Faculties Council.
 - (ii) In the case of a search for a Professional Librarian for the Curriculum Laboratory of the Faculty of Education, the

procedure shall be jointly established by the Professional Librarians Committee and the Education Faculty Council and approved by the General Faculties Council.

- (iii) The procedures shall provide for a system of alternates. Alternates shall replace regular members whose schedules would cause unreasonable delay in a committee's proceedings or who would have a conflict of interest.

25.09.2 Quorum for a Search Committee shall consist of the Dean and three (3) of the four (4) voting members.

25.09.3 The affirmative vote of three (3) voting members shall be required to carry any recommendation placed before a Search Committee.

25.10 Salary Tenure Promotion (STP) Committee

25.10.1 To deal with the matters affecting a Member as provided in [Articles 23, 27, and 28](#) there shall be a STP Committee, whose term of office shall be July 1st through June 30th annually, consisting of:

(a) **Non-Voting**

- (i) The Dean

(b) **Voting**

- (i) Six (6) persons selected through procedures established by the Faculty Council/Professional Librarians Committee and approved by the General Faculties Council, preferably ~~in the case of Faculty Members~~ selected to include strong representation from the disciplinary interests of the Member. **In the case of a promotion to any Teaching-Stream Faculty Member rank, a minimum of three (3) committee members shall normally be tenured Teaching-Stream Faculty Members.**
- (ii) In the case of a committee for a Professional Librarian for the Curriculum Laboratory of the Faculty of Education, the procedures shall be jointly established by the Professional Librarians Committee and the Education Faculty Council and approved by the General Faculties Council.
- (iii) The procedures shall provide for a system of alternates, also preferably ~~in the case of Faculty Members~~ selected to include strong representation from the disciplinary interests of the Member **and, in the case of promotion to any Teaching-Stream Faculty Member rank, tenured Teaching-Stream Faculty Members.** Alternates shall replace regular members whose schedules would cause unreasonable delay of a committee's proceedings or whose membership could be seen as compromising Procedural Fairness.
- (iv) Only tenured Faculty Members may sit on a STP Committee

for a Faculty Member.

(v) Only tenured Faculty Members and Professional Librarians may sit on a STP Committee for a Professional Librarian.

(vi) Only tenured Faculty Members and continuing Instructors/Academic Assistants may sit on a STP Committee for an Instructor/Academic Assistant.

25.10.2 Quorum for a STP Committee shall consist of the full membership of the Committee, comprising all voting and non-voting members.

25.10.3 The affirmative vote of four (4) members eligible to vote shall be required to carry any motion placed before a STP Committee.

25.10.4 Procedures of a STP Committee shall be subject to Procedural Fairness as defined in [Article 2.26](#).

25.11 Appeal Committee

25.11.1 To deal with appeals as provided in [Articles 29.02](#) and [29.04](#) there shall be an Appeal Committee, whose term of office shall be September 1st through August 31st annually, consisting of:

(a) **Non-Voting**

(i) The Chair, a Dean appointed by the Vice-President (Academic). **The Vice-President (Academic) shall appoint a Dean that did not chair the original Committee.**

(ii) One (1) member, other than the President of the Association or the Association Grievance Committee Chair, appointed by the Association, who is not precluded from membership on this Committee by [Article 11.04](#). In the case of a dispute, resolution shall be provided by [Article 11.04.6](#).

(b) **Voting**

(i) One (1) tenured Faculty Member from each Faculty and one tenured Professional Librarian selected through procedures established by each Faculty Council, or the Professional Librarians' Committee, as appropriate.

(ii) The procedures shall provide for a system of alternates. Alternates shall replace regular members whose schedules would cause unreasonable delay in the Committee's proceedings or who would have a conflict of interest or a reasonable apprehension of bias.

(iii) The Faculty of Arts and Science shall provide three (3) members and three (3) alternates, representing Humanities, Sciences and Social Sciences, where the Appeal Committee member from the Faculty of Arts and Science shall then be that member (or alternate) most closely aligned with the disciplinary interests of the appellant. All other Faculties and

the Library shall provide one (1) member and one (1) alternate.

- (c) A Member shall not serve as a member of the Appeal Committee during an Academic Year in which they are to be considered for promotion.

25.11.2 Quorum shall consist of the full membership of the Committee, comprising all voting and non-voting members.

25.11.3 The affirmative vote of four (4) members eligible to vote shall be required to carry any motion placed before the Appeal Committee.

25.11.4 All Committee members shall be contacted in order to arrange the date and times of the meetings on a case; Committee members and the appellant shall be informed in writing of the date, location and time of the meetings. Notice of meeting dates, locations and times shall be reasonable.

25.11.5 Procedures of an Appeal Committee shall be subject to procedural fairness as defined in [Article 2.26](#).

25.12 Hearing Committee (External)

25.12.1 To deal with appeals as provided in [Article 29.03](#) there shall be a Hearing Committee consisting of three (3) voting members who are not employees of the University, appointed as follows:

- (a) one (1) member appointed by the Vice-President (Academic);
- (b) one (1) member appointed by the appellant Member; and
- (c) a third member who shall be the Chair of the Hearing Committee, appointed jointly by the other two Committee members, with the agreement of those who appointed those two Committee members.

25.12.2 All members of the Hearing Committee shall hold tenured appointments at a Canadian University located in any province west of Ontario. Exceptions shall be permitted by mutual written agreement signed by the Vice-President (Academic) and the appellant.

25.12.3 No Committee member shall be appointed whose membership on the Committee would cause unreasonable delay in the Committee's proceedings, or who would have a conflict of interest, or a reasonable apprehension of bias.

25.12.4 Failure to reach agreement on membership

- (a) The Vice-President (Academic) and the appellant shall make good faith efforts to appoint members as required under [Articles 25.12.1\(a\)](#) and [25.12.1\(b\)](#) within ten (10) Working Days of a notice of appeal.
- (b) In the event that a suitable appointment cannot be made, either party may request an extension of a further ten (10) Working

Days. At the end of this extension, the missing member(s) under [Articles 25.12.1\(a\)](#) and/or [25.12.1\(b\)](#) above shall be appointed by an independent arbitrator mutually agreed to by both parties.

- (c) In the event that agreement cannot be reached upon the third member and Chair of the Hearing Committee within ten (10) Working Days of the last member being appointed under [Article 25.12](#) above, that member shall be appointed by an independent arbitrator mutually agreed to by both parties.

- 25.12.5 Quorum for the Hearing Committee shall consist of the full membership of the Committee, comprising all three members.
- 25.12.6 The affirmative vote of two (2) members shall be required to carry any motion placed before a Hearing Committee.
- 25.12.7 All Committee members shall be contacted in order to arrange the date and times of the Committee meetings; Committee members and the appellant shall be informed in writing of the date and time of the meetings. Notice of meeting dates and times shall be reasonable.
- 25.12.8 Procedures of the Committee shall be subject to Procedural Fairness as defined in [Article 2.26](#).
- 25.12.9 All members of the Committee shall act as a panel independently of the parties who appointed them.

25.13 Reporting of Personnel Committee Recommendations

- 25.13.1 All recommendations of Search or STP Committees shall be conveyed in writing as soon as possible to the Dean by the Committee chair, and the Dean shall notify a Member as soon as possible in writing and in confidence of any recommendations by a Search or STP Committee, and the reasons therefor, in their case.
- 25.13.2 The recommendation of the Appeal Committee shall be conveyed in writing by the chair to the President. A copy of the recommendation shall be sent to the Dean and to the Member.
- 25.13.3 The recommendation of the Hearing Committee shall be reported according to [Article 29.03.3\(a\)](#).
- 25.13.4 The Dean shall convey in writing to the President, and the President shall convey in writing to the Board, all recommendations of STP Committees that probation be extended, that probation be terminated, that Continuing Appointment be awarded or denied, that tenure be awarded or denied, or that a Member be promoted. The Vice-President (Academic) shall convey in writing to the President, and the President shall convey in writing to the Board, any recommendation of the Appeal Committee or the Hearing Committee.
- 25.13.5 ***Alternate Recommendations***
 - (a) When a Personnel Committee has recommended that probation be extended, that Continuing Appointment be awarded, that

tenure be awarded, or that a Member be promoted, the Dean may submit to the President, and the President may submit to the Board, their own written recommendation differing from that of the Personnel Committee.

- (b) In cases where there has been no Appeal Committee recommendation, if the President intends to submit a recommendation to the Board which differs from that of a STP Committee, they shall first request, in writing, giving their reasons therefor, that the Dean reconvene the STP Committee to reconsider its recommendation.
- (c) The STP Committee shall either confirm its original recommendation, or else make some other recommendation originally available to it.
- (d) The process set out in [Article 26](#) shall apply to the reconvened STP Committee.
- (e) The Dean shall convey in writing to the President the Committee's recommendation. This recommendation by the Committee shall be deemed its sole recommendation that probation be extended, that probation be terminated, that Continuing Appointment be awarded or denied, that tenure be awarded or denied, or that a Member be promoted or a promotion be denied.
- (f) If the President submits to the Board a recommendation respecting extension of probation, award of Continuing Appointment, award of tenure or promotion differing from that of a Personnel Committee, they shall send a copy of their recommendation to the Dean and to the Member.

Article 26: STP Committee Procedures

26.01 Meetings of the STP Committee shall normally take place between January 1st and March 31st of each Academic Year.

26.02 In any case put before it, an STP Committee shall make one of the recommendations specified in this Collective Agreement as being allowed for that procedure.

26.03 Preparation for STP Hearings

26.03.1 No later than July 15th of the Academic Year in which a case is to be considered, the Dean shall write to ~~each all~~ Members who ~~have has~~ agreed with the STP Committee Chair to be considered for an STP procedure (other than appeals of performance evaluation), and also to ~~each all~~ Members eligible to invoke the right to be considered for such an STP procedure.

- 26.03.2 The letter shall invite the Member to supply to the STP Committee Chair, by the following September 15th, a rationale and whatever material and information the Member deems necessary to justify their case, and request the Member to make recommendations regarding additional evidence for the Chair to gather.
- 26.03.3 In all cases where referees are selected, such selection shall be fair and reasonable.
- 26.03.4 The Chair shall keep the Member informed of progress on the collection of such additional information.
- 26.03.5 The evidence and documentation submitted for the consideration of the STP Committee shall consist of four (4) elements, each of which shall be clearly identified:
- (a) first: evidence and documentation submitted by the candidate;
 - (b) second: additional evidence and/or documentation collected by the STP Chair after consultation with the candidate as described in [Article 26.03.2](#);
 - (c) third: such additional evidence and/or documentation collected by the STP Chair as they deem relevant to the proceedings;
 - (d) fourth: material from the Personal File of the candidate directly relevant to the candidate's duties and responsibilities, submitted by the Dean to the STP Chair in accordance with [Article 14.07](#) and accepted by the STP Chair as appropriate. Disagreements between the Dean and the Chair regarding the appropriateness of specific material shall be dealt with through the Interpretation process in [Article 9](#).
- 26.03.6 The STP Committee Chair shall prepare a recommendation for one of the options available to the Committee for that procedure under the appropriate Article of this Collective Agreement.
- 26.03.7 After consultation with the Dean, the STP Committee Chair shall indicate to the Member within fifteen (15) Working Days of receipt of the material in [Article 26.03.2](#), based on this material, what recommendation would be presented to the STP Committee. In particular, the Chair shall inform the Member of any negative evidence which the Member may wish to address. If, in the Chair's view, there is insufficient evidence to justify a positive recommendation, the Chair shall, at this time, advise the Member what additional evidence would overcome the perceived incompleteness or insufficiency, and shall attempt to assist the Member in acquiring such additional evidence.
- 26.03.8 Any additional evidence gathered by the STP Committee Chair or supplied by the Dean or the Member under [Articles 26.03.2](#), [26.03.5](#), and [26.03.7](#) for consideration of the STP Committee shall be provided by January 10th.
- 26.03.9 Not fewer than ten (10) Working Days prior to the first day of the

hearing, the STP Committee Chair shall inform the Member in writing of the following:

- (a) the Chair's recommendation and all the reasons for it that will be placed before the STP Committee. In particular, the Chair shall inform the Member of any negative evidence which the Member may wish to address;
- (b) the Member's right to submit, within five (5) Working Days, a written response to the Chair's recommendation; and
- (c) the Member's right to appear before the STP Committee.

26.03.10 The Member shall give as much notice as possible but not fewer than five (5) Working Days notice if they intend to appear before the Committee.

26.04 Process for STP Hearings

26.04.1 The STP Committee Chair shall convene the STP Committee and shall place before it:

- (a) The evidence and documentation the Chair has accumulated in accordance with [Articles 26.03.2](#), [26.03.5](#), and [26.03.7](#), including all materials submitted by the Member. Normally, new documentation shall not be presented at this meeting; the Member will be provided with a fair summary of any new documentation admitted and will have five (5) Working Days to respond to it prior to the Committee considering the new documentation;
- (b) The Chair's recommendation; and
- (c) The Member's written response, if any, under [Article 26.03.9\(b\)](#).

26.04.2 The first motion to be considered shall be:

- (a) That the evidence presented to the Committee is a sufficient basis for a fair and reasonable decision.
- (b) The question is automatically before the STP Committee and requires neither mover nor seconder. Voting shall be in accordance with [Article 25.10.3](#).
- (c) If, after consideration, the motion is not carried, the STP Committee shall request its Chair to accumulate additional evidence that would overcome the perceived incompleteness or insufficiency.
- (d) The Member shall be informed of the additional evidence gathered by the STP Committee Chair, and especially of any negative evidence, and shall have the right to respond within five (5) Working Days either in writing or orally.
- (e) In addition, should the STP Committee Chair change their original recommendation as a result of the new evidence, the Member shall be so informed and shall have the right to respond within five

(5) Working Days either orally or in writing.

26.04.3 After the motion of [Article 26.04.2\(a\)](#) above has passed, or when the STP Committee votes that reasonable efforts to find significant additional evidence have failed, the Committee shall consider the motion:

- (a) That the quality and quantity of the candidate's academic work relative to the applicable criteria (from those described in [Article 13](#)) merit a positive recommendation.
- (b) The question is automatically before the STP Committee and requires neither mover nor seconder.
- (c) Voting shall be in accordance with [Article 25.10.3](#).
- (d) If this motion fails and the STP Committee still has the option to extend or terminate Probation, [Article 26.04.3](#) shall be repeated with a "positive recommendation" now referring to Extension of Probation.

26.05 Reporting of STP Decisions

26.05.1 Within five (5) Working Days of the end of the meeting or meetings dealing with a Member's case, the STP Committee Chair shall convey to the Dean in writing the recommendation of the Committee and a summary of its reasons. This letter shall be included in the Member's Personal File.

26.05.2 A draft of the letter from the Dean to the Member, informing the Member of the Committee's recommendation and the reasons therefor, as specified in [Article 25.13.1](#), shall be circulated to members of the STP Committee, and amended by the Dean as appropriate, prior to its transmittal. On request to the Dean, the Chair of the STP Committee shall have the right to read the letter that will be sent to the Member.

26.05.3 The Dean shall forward to the Vice President (Academic) the Committee's final letter of [Article 26.05.1](#) regarding its recommendation and the reasons therefor, together with the Dean's recommendation.

26.05.4 Except where the Dean or the Vice President (Academic) or both have reasonable cause to believe that one or more of the conditions of [Articles 29.02.3\(a\)\(i\)](#), [29.02.3\(a\)\(ii\)](#), and [29.02.3\(a\)\(iii\)](#) exists, the Vice President (Academic) shall forward the recommendation to the President for consideration.

26.05.5 Where the Dean or the Vice President (Academic) or both have reasonable cause to believe that one or more of the conditions of [Articles 29.02.3\(a\)\(i\)](#), [29.02.3\(a\)\(ii\)](#), and [29.02.3\(a\)\(iii\)](#) exists, the Vice President (Academic) shall forward the case to the Appeal Committee of [Article 25.11](#) for its consideration, using the process in [Article 29.02.3](#).

- 26.06** All materials used in the Hearing shall be deemed to be part of the Member's Personal File, subject to the provisions of [Article 14](#).

Article 27: Probation, Continuing Appointment, and Tenure

27.01 Probationary Appointment

- 27.01.1 Probationary appointment may be made at any of the ranks for Faculty Members, Professional Librarians, Instructors, or Academic Assistants (see [Articles 35](#), [36](#), and [37](#)).
- 27.01.2 A Term appointment may be converted to a probationary appointment under the provisions of [Article 10.04](#).
- 27.01.3 ***Minimum and Maximum Probationary Periods***
- (a) Except where the appointment takes effect after July 1st (in which case the initial period of probation shall be altered in accordance with the terms of [Article 10.07.2](#), the initial period of probation shall be:
 - (i) two (2) years for Faculty Members/Professional Librarians; and
 - (ii) three (3) years for Instructors/Academic Assistants.
 - (b) The maximum period of probation shall be five (5) years, unless extended under [Articles 10.07.2\(a\)\(ii\)](#), [27.01.4](#), or [27.01.6](#).
 - (c) At the request of the Member, a Member appointed to a Probationary appointment shall have prior service considered as comprising part of the maximum probationary period (in the case of an Instructor/Academic Assistant this also applies to Members converted to a Probationary appointment), provided that:
 - (i) for Faculty Members/Professional Librarians the prior service consisted of full years of service on full time employment at this University or another university, or both, to which all of the criteria in [Article 35](#) or [36](#) applied and the Member possessed full academic credentials for the years of service to be considered;
 - (ii) for Instructors/Academic Assistants the prior service is at this University and has been evaluated under [Article 23](#);
 - (iii) appropriate documentation is provided for the entire period; and
 - (iv) in the case of an Instructor/Academic Assistant, no more than two (2) years of such service may be considered.

27.01.4 Termination on failure to achieve a specified credential or other obligation

- (a) A Dean may recommend to the President that the period allowed for the Member to meet an obligation specified in the letter of appointment be extended; in that event, the Member may request that the timetable for Extension of Probation and Continuing Appointment/tenure be correspondingly extended; no such request shall be unreasonably denied.
- (b) The Dean may also recommend that the timetable for Extension of Probation and Continuing Appointment/tenure be correspondingly extended.
- (c) Except as provided for in [Article 27.01.4\(a\)](#), a Member on probation who fails to meet a specified obligation respecting academic credentials, or other obligation specified in the letter of appointment, shall not be considered for tenure, for Continuing Appointment, or for Extension of Probation and their probation shall be terminated without recourse to appeal.

27.01.5 Conclusion of the Initial Period of Probation

- (a) In the final year of the initial period of probation, during the interval from January 1st to March 31st, a Member's continued employment at the University shall be considered by an STP Committee.
 - (i) A Faculty Member/Professional Librarian shall be considered for Extension of Probation for a period of three (3) years. The STP Committee shall recommend either that probation be extended or that probation be terminated.
 - (ii) An Instructor or Academic Assistant shall be considered for Continuing Appointment. The STP Committee shall recommend that the Member be offered one of the following:
 - (A) Continuing Appointment at the conclusion of the Probationary Appointment;
 - (B) termination of Probation; or
 - (C) Extension of Probation for a period not to exceed two (2) years, during the last year of which the STP Committee shall make the recommendation of [Article 27.01.5\(a\)\(ii\)\(A\)](#) or [27.01.5\(a\)\(ii\)\(B\)](#), above.
- (b) A Faculty Member/Professional Librarian shall be awarded Extension of Probation if recommended by the STP Committee as provided in this Article and recommended by the President and ratified by the Board.
- (c) At the beginning of the Extension of Probation, a Faculty Member/Professional Librarian shall be given by the Dean a written assessment of their performance during the period of probation just completed and a statement of expectation for their performance during the extension of probation.

27.01.6 Unfair Probationary Period

- (a) An unfair probationary period is an extended period of a Member's Probationary Appointment during which time the Member's efforts to establish their academic record is materially impaired relative to other Members holding Probationary Appointments by violations of [Article 11](#) by another Member.
- (b) A Member who considers that they have been subjected to an unfair probationary period shall make a formal written complaint to the Dean, detailing the specifics of the case and providing supporting evidence, in a timely manner, as specified in [Article 9](#), but in any case not later than August 15th preceding their consideration for Extension of Probation, Continuing Appointment, or tenure. The Dean shall investigate the matter and, if necessary, take action to resolve it not later than twenty (20) Working Days later.
- (c) If the Member is not satisfied with the Dean's resolution of the matter, they shall file a grievance under [Article 9](#) within ten (10) Working Days of receiving the Dean's decision. The grievance shall be resolved not later than December 15th of that year.
- (d) As part of the resolution of action under this Article, whether by the Dean or during a grievance procedure, the Dean may institute additional support or mentoring for the Member; the Member may be granted an extension of up to one (1) year beyond the limits set in [Article 27.01.3\(b\)](#) to prepare for the STP Committee's review of their work, possibly outside the time period specified in [Articles 27.01.3](#) and [27.02](#).
- (e) A Member may invoke [Article 27.01.6](#) more than once. However, the maximum cumulative extra-ordinary extension that may be granted to any individual in all actions under this Article is two (2) years. If a second invocation is successfully made, the Member shall be placed under the direct supervision of the Vice-President (Academic) for the second and final period of extra-ordinary extension.

27.02 Award of Continuing Appointment/Tenure

- 27.02.1 Continuing Appointment/tenure shall mean an appointment which shall continue until retirement unless terminated under a provision of this Collective Agreement.
- 27.02.2 A Member shall be awarded Continuing Appointment/tenure if recommended by the STP Committee as provided in this Article and recommended by the President and ratified by the Board.
- 27.02.3 For a Faculty Member/Professional Librarian, the STP Committee may recommend that tenure be awarded; or that the probation be extended but not beyond the maximum probationary period provided in [Article 27.01.3\(b\)](#) (except as provided in [Article 27.01.6](#)); or that probation be terminated.

27.02.4 Tenure shall become effective the date it is awarded by action of the Board.

27.02.5 Continuing Appointment shall become effective at the conclusion of the Probationary appointment.

27.02.6 *Timelines for Tenure*

- (a) A Faculty Member/Professional Librarian shall be considered for tenure during the period from January 1st to March 31st of the fifth (5) year of a Probationary appointment, or earlier under [Articles 27.01.3\(c\)](#) or [27.02.6\(b\)](#). The STP Committee must recommend either that tenure be awarded or that probation be terminated.
- (b) By mutual agreement between the Faculty Member/Professional Librarian and the Dean:
 - (i) A Member who is appointed as Associate Professor or Professor, or as a Librarian III or IV, may be considered earlier; or
 - (ii) A Member who is promoted to Associate Professor or Librarian III before the end of their fifth (5th) probationary year, may be considered for tenure immediately after being promoted (i.e., during the same hearing).
- (c) In both [Articles 27.02.6\(b\)\(i\)](#) and [27.02.6\(b\)\(ii\)](#), the STP Committee may recommend that tenure be awarded, or that the probation be extended but not beyond the maximum probationary period provided in [Article 27.01.3\(b\)](#) (except as provided in [Article 27.01.6](#)), or that probation be terminated.
- (d) Notwithstanding [Article 27.02.6\(b\)](#) and any prior service, in no case shall a tenure hearing be held earlier than the period January 1st – March 31st of the second (2nd) year of the initial Probationary appointment, except in the case of an initial Appointment with tenure.

27.03 Continuing Appointment for a Partial Year

- 27.03.1 A Continuing Appointment may be awarded to an Instructor or Academic Assistant who has held a Probationary Appointment for a period of at least eight (8) months per year each successive year of the Probationary Appointment. For an Instructor or Academic Assistant who is employed for fewer than twelve (12) months per year, twelve (12) months of employment shall be considered as a year of service.

27.04 Procedure for Extension of Probation and Award of Continuing Appointment/Tenure

- 27.04.1 The procedure for the hearing of the STP Committee regarding Extension of Probation or the award of Continuing

Appointment/tenure shall be as provided in [Article 26](#). Where the Committee has not completed its deliberations by June 30th of the final year of the Member's probationary appointment and the Committee makes a recommendation that probation be terminated, then a terminal appointment as provided in [Article 27.05.2](#) shall be deemed to have begun July 1st immediately following the end of the probationary appointment.

- 27.04.2 If the procedure of [Article 26.04.3](#) (including part (d) where appropriate) concludes with the motion in [Article 26.04.3\(a\)](#) never having passed by an appropriate majority as specified in [Article 25.10.3](#), this constitutes a recommendation by the STP Committee that probation be terminated.

27.05 Termination of Probationary Appointment

- 27.05.1 A Member's probation shall be terminated upon recommendation of an STP Committee as provided in [Articles 27.01.5\(a\)](#) or [27.02.3](#), recommendation of the President, and ratification by the Board.
- 27.05.2 A Member whose probation is terminated shall be awarded a terminal appointment of one (1) year to follow the end of the period of probation.

Article 28: Promotion of Members

28.01 Promotion

- 28.01.1 A Member shall be promoted if recommended by an STP Committee, recommended by the President, and ratified by the Board.

28.02 Consideration for Promotion

- 28.02.1 A Member may be considered for promotion in any year deemed appropriate in the judgment of the chair of the STP Committee and with the consent of the Member. Normally, promotion to the next higher rank should be considered after five (5) to ten (10) years in a rank.
- 28.02.2 Consideration of promotion of a Member shall be carried out by the STP Committee, using the procedure provided in [Article 26](#). The STP Committee shall recommend either that the Member be promoted, or that the Member not be promoted.
- 28.02.3 ***Faculty Members/Professional Librarians***
- ↔ A Faculty Member/Professional Librarian shall have the right to be considered for promotion in the sixth (6) or subsequent year in which the Member has been in a rank, excepting only that the

Member may not apply again to be promoted in the year following an unsuccessful consideration. ~~for promotion in the sixth (6) or subsequent year in which the Member has been in a rank, excepting only that the Member may not apply again to be promoted in the year following an unsuccessful consideration.~~

- (b) A Teaching-Stream Faculty Member is not eligible for a promotion or consideration for tenure in a Research-Stream position. A Teaching-Stream Faculty Member may apply for tenure-track Research-Stream positions that are advertised at the University in accordance with this Collective Agreement.
- (c) A Research-Stream Faculty Member is not eligible for a promotion or consideration for tenure in a Teaching-Stream position. A Research-Stream Faculty Member may apply for tenure-track Teaching-Stream positions that are advertised at the University in accordance with this Collective Agreement.

28.02.4 *Instructors/Academic Assistants*

- (a) Subject to Schedule WW, an Instructor/Academic Assistant is not eligible for promotion or consideration for tenure in a Faculty Member position. An Instructor/Academic Assistant may apply for tenure-track Teaching-Stream or Research-Stream positions that are advertised at the University in accordance with this Collective Agreement.
- (b) An Instructor/Academic Assistant may request consideration for promotion after five (5) years in a rank, or in any year with the agreement of the Chair of the STP Committee.
- (c) Promotion in rank for Instructors/Academic Assistants follows a number of years of satisfactory performance as judged by annual or biennial performance reviews and requires a proven ability to successfully perform the duties appropriate to the next highest rank and/or increased relevant qualifications, increased scope of practice and relevant expertise. Maintaining relevant competence in the area of expertise is not sufficient in itself to merit promotion.
- (d) In order for promotion of an Academic Assistant to be considered by the STP Committee, the Chair of the relevant Department (or equivalent) and the Dean shall agree that this recommendation may be considered based upon the availability of a continuing assignment appropriate to the higher rank. Such agreement shall not be unreasonably withheld.

28.03 Effective Date of Promotion

- 28.03.1 A promotion shall normally become effective on but not later than July

1st of a given year providing that:

- (a) the promotion is recommended by the STP Committee on or before the preceding March 31st, or considered by the STP Committee before March 31st and subsequently recommended by the Appeal Committee; and
- (b) the promotion is recommended by the President and ratified by the Board.

Article 29: Appeals of Recommendations by STP Committees and Appeal Committees

29.01 Preamble

- 29.01.1 Appeals dealt with in this Article are logical extensions of the processes for career progression via STP Committee reviews addressed in other Articles of this Collective Agreement. Such STP Committee reviews are based on the principles of peer review, principles of natural justice and any differences between adjudication processes versus appeal processes.
- 29.01.2 Subject to the provisions of [Article 2.26](#) and principles of natural justice, all evidence considered during the Appeal process governed by this Article shall pertain to the review period considered by the original STP Committee or the Appeal process itself.
- 29.01.3 Where an appellant has the right to appear before a committee as part of an Appeal process governed by this Article, the appellant has the right to be accompanied pursuant to the provisions of [Article 11.02.6](#) Participation and Accompaniment.
- 29.01.4 All written communications to an appellant pursuant to the provisions of this Article or written communications pertaining to an appealed recommendation of an STP or Appeal Committee shall be copied to the Association.
- 29.01.5 In every instance where notification of the appellant is required by this Article, that notification shall be in writing, and copied to the Association.
- 29.01.6 All materials pertaining to the Member that are used pursuant to this Article shall be deemed part of the Member's Personal File, subject to the provisions of [Article 14](#).

29.02 Denial of Extension of Probation, Tenure, Continuing Appointment, and Promotion

- 29.02.1 A Member shall have the right to appeal to the [Article 25.11](#) Appeal Committee a recommendation of the STP Committee respecting their

extension of probation, the award of tenure (in case of a Faculty Member/Professional Librarian), the award of a Continuing Appointment (in the case of Instructor/Academic Assistant), or promotion.

29.02.2 The Appeal Committee shall hear only those appeals submitted in writing to the Committee Chair. The appellant must give notice in writing of an intention to appeal within ten (10) Working Days after the Member has received notification of a recommendation of the STP Committee. Full reasons for the appeal must be submitted within ten (10) Working Days of the Chair's acknowledgement of this written notice.

29.02.3 **Process**

- (a) The appellant shall write to the Chair of the Appeal Committee giving notice of an intention to appeal the recommendation of the STP Committee. The Chair of the Appeal Committee shall acknowledge receipt of the request for a hearing, including the template in [Schedule L](#). Within ten (10) Working Days of receipt of the Chair of the Appeal Committee's letter, the appellant shall submit a written request for a hearing of their case, stating the grounds for the appeal, which shall be one or more of the following:
 - (i) That the decision reached by the STP Committee was not fair and reasonable in the light of the documentation and evidence put before it. In this case, the motion for consideration by the Appeal Committee shall be:
 - (A) *That the decision reached by the STP Committee was not fair and reasonable in light of the documentation and evidence put before it.*
 - (ii) That the documentation and evidence considered by the STP Committee were incomplete and/or inappropriate to such an extent as to prevent a fair and reasonable decision, for reasons that were beyond the control of the appellant. The Appeal Committee shall then consider the motion:
 - (A) *That the documentation and evidence considered by the STP Committee were incomplete and/or inappropriate to such an extent as to prevent a fair and reasonable decision, for reasons that were beyond the control of the appellant.*
 - (iii) That the STP Committee procedure provided for in the Collective Agreement was not followed, and the failure to follow correct procedure materially disadvantaged the appellant. In this case, the motion for consideration by the Appeal Committee shall be:
 - (A) *That the STP Committee procedure provided for in the Collective Agreement was not followed, and the failure to follow correct procedure materially disadvantaged the appellant.*

- (b) The Appeal Committee shall satisfy itself that it has sufficient evidence to consider any motion(s) appropriate to the circumstances of [Article 29.02.3\(a\)](#), subject to the provisions of [Article 29.01.2](#).
 - (i) In the case of Appeals brought under [Articles 29.02.3\(a\)\(i\)](#) and [29.02.3\(a\)\(ii\)](#), this evidence shall include:
 - (A) The appellant's letter of [Article 29.02.3\(a\)](#);
 - (B) All materials from the STP Committee hearing, including the Dean's letter of [Article 26.05.2](#) containing the recommendation(s) subject to appeal;
 - (C) Any other evidence the Appeal Committee requires to reach a fair and reasonable decision, subject to the provisions of [Article 29.01.2](#).
 - (ii) In the case of appeals brought under [Article 29.02.3\(a\)\(iii\)](#), this evidence shall include information sufficient to permit the Appeal Committee to determine whether the procedures of the STP Committee were fair and reasonable, notwithstanding the evidence presented to the STP Committee.
 - (iii) In accordance with [Article 2.26](#), the Appeal Committee shall ensure that the appellant has available to them all the information that the Appeal Committee is to use in rendering its decision, and that the appellant has had a reasonable opportunity to provide a response to such information.
- (c) The Appeal Committee shall then consider the motion(s) before it under [Article 29.02.3\(a\)](#). Each motion is automatically before the Appeal Committee at the appropriate time, and requires neither a mover nor a seconder. When more than one ground for appeal is claimed, the Appeal Committee shall consider the motions one at a time, in the order specified in [Article 29.02.3\(a\)](#), subject to the following provisions:
 - (i) Should the motion in [Article 29.02.3\(a\)\(i\)](#) carry by an appropriate majority as specified in [Article 25.11.3](#), the appeal is upheld, and the decision of the STP Committee is reversed. In this case, no subsequent motions shall be entertained, and the appeal is concluded.
 - (ii) Should the motion in [Article 29.02.3\(a\)\(ii\)](#) carry by an appropriate majority as specified in [Article 25.11.3](#), the Appeal Committee affirms the grounds for appeal to be valid, and no additional motions from [Article 29.02.3\(a\)](#) shall be entertained. The Appeal Committee shall then direct that the additional evidence required to render a fair and reasonable decision be collected. With all required evidence in hand, the Appeal Committee shall then reconsider the appellant's case anew, as if it were an STP Committee, with all of the associated powers and duties of an STP Committee.

- (iii) Should the motion in [Article 29.02.3\(a\)\(iii\)](#) carry by an appropriate majority as specified in [Article 25.11.3](#), the Appeal Committee affirms the grounds for appeal to be valid, and no additional motions from [Article 29.02.3\(a\)](#) shall be entertained. The Appeal Committee shall then reconsider the appellant's case anew, without gathering any additional evidence, as if it were an STP Committee, with all of the associated powers and duties of an STP Committee.
- (iv) A failure of any motion under [Article 29.02.3\(a\)](#) shall mean that the specific ground for appeal pertaining to that motion is dismissed. The failure of all motions presented to the Appeal Committee under [Article 29.02.3\(a\)](#) shall mean that the appeal is dismissed, and that the Appeal Committee has upheld the recommendation(s) of the STP Committee.

29.02.4 Resolution

- (a) After the Appeal Committee has made its recommendation(s), a draft of the letter from the Chair of the Appeal Committee to the appellant respecting the Appeal Committee's recommendation(s) shall be circulated to members of the Appeal Committee, and amended by the Chair as appropriate, prior to its transmittal to the appellant. Copies of the final version of the letter from the Chair of the Appeal Committee to the appellant shall be sent to the appellant's Dean, the Vice-President (Academic) and the Association.
- (b) When the recommendation of the Appeal Committee is to deny promotion, the decision of the Appeal Committee is final and not subject to further appeal. The appellant may apply anew for promotion, following the process described in [Article 28](#).
- (c) When the recommendation of the Appeal Committee is to terminate probation; or in the case of Faculty Members/Professional Librarians to deny tenure; or in the case of an Instructor/Academic Assistant to deny Continuing Appointment, the recommendation of the Appeal Committee is subject to further appeal, through the process described in [Article 29.03](#).

29.03 Termination of Probation and Denial of Tenure/Continuing Appointment: Hearing Committee Appeals

- 29.03.1 If an appellant is not satisfied with the recommendation(s) of the STP and Appeal Committees subsequent to an [Article 29.02](#) appeal process, the following process may be invoked by the appellant:
- (a) A Member shall have the right to appeal a recommendation of an Appeal Committee to an [Article 25.12](#) Hearing Committee (External) on the grounds that the procedure followed in reaching the recommendation was not fair as defined in [Article 2.26](#) (procedural fairness) including due consideration of the criteria in

[Articles 35, 36, or 37](#), elaborated upon in [Article 13](#).

- (b) Such an appeal shall be heard only if a notice of appeal is submitted in writing to the Vice-President (Academic) giving grounds for the appeal within ten (10) Working Days after the appellant has received notification of the recommendation of the Appeal Committee. Particulars of the grounds for the appeal shall be submitted in writing to the Vice-President (Academic) within fifteen (15) Working Days of the date of the notice of appeal.

29.03.2 Hearing Committee Process

- (a) The Committee has a duty to conduct its deliberations in a manner that is fair, in accordance with the definition of procedural fairness in [Article 2.26](#) and subject to any specific provisions in this Collective Agreement. The Committee may, within the preceding restrictions, set its own procedures although it shall provide for all of the following during the course of its operation:
 - (i) The Committee shall review all evidence considered by the STP Committee and/or the Appeal Committee, and the grounds for appeal. Any refusal of the Member to cooperate with the Committee shall not be construed to vacate the appeal, nor shall it prevent the Committee from reaching a decision.
 - (ii) The Committee shall conduct its review based on the evidence in [Article 29.02.3\(b\)](#) above.
 - (iii) The appellant shall have a right to appear before the Hearing Committee.
 - (iv) All evidence heard, presented to, or collected by the Hearing Committee shall pertain to the review period considered by the original STP Committee or the Appeal Committee process as outlined in [Article 29.02](#) and subject to [Article 29.01.2](#).
- (b) If the procedures used, including due consideration of the criteria in [Articles 35, 36, or 37](#) (elaborated upon in [Article 13](#)) by the STP Committee or the Appeal Committee are found to be unfair, the Hearing Committee shall rectify any unfairness and proceed as if it were an STP Committee, with all the powers and duties of an STP Committee.
- (c) If the Appeal Committee recommendation(s) is/are found to be fair, the Hearing Committee shall affirm the Appeal Committee's recommendation(s).

29.03.3 Hearing Committee Decisions

- (a) Within forty (40) Working Days of the third person being named to the Hearing Committee, the Committee shall render its decision in writing to the appellant, with copies to the Dean, Vice-President (Academic), and the Association. If the written rationale is not submitted at this time, it must follow within twenty (20) Working Days following notification of the decision. The rationale

shall consist of a brief review of the salient facts of the case and the principles which form the basis of the Hearing Committee's decision.

- (b) The decision of the Hearing Committee is final and binding on the Board, the appellant, and the Association and not subject to further appeal, unless a violation of Procedural Fairness or principles of natural justice has occurred.

29.03.4 *Expenses of a Hearing Committee Appeal*

- (a) The following costs shall be assigned by the Committee:
 - (i) reasonable costs of the Committee; and
 - (ii) an honorarium of \$350 per day or part thereof, of which shall be awarded to members of the Committee for each day or part thereof spent on site.
- (b) All other costs incurred pursuant to this Article shall be borne by the Party who contracts them.

29.04 Salary

- 29.04.1 A Faculty Member/Professional Librarian/Instructor/Academic Assistant shall have the right to appeal to an [Article 25.11](#) Appeal Committee a recommendation of the STP Committee which results in a performance rating of zero (0) or one-half (0.5).
- 29.04.2 The Appeal Committee shall hear only those appeals submitted in writing to the Appeal Committee Chair within ten (10) Working Days after the Member has received notification of the recommendation of the STP Committee.
- 29.04.3 The process for the hearing of an appeal regarding a performance rating or an increment award shall be that for a hearing of an appeal regarding promotion as provided in [Article 29.02](#).
- 29.04.4 The Appeal Committee shall be empowered to deal with the appeal by making any recommendation that the STP Committee is empowered to make in the case under appeal and the Appeal Committee's recommendation shall take precedence over the recommendation of the STP Committee.
- 29.04.5 The recommendation of the Appeal Committee with respect to an [Article 29.04](#) appeal shall be final and binding on the Board, the appellant and the Association and not subject to further appeal, unless a violation of procedural fairness or principles of natural justice has occurred.

Article 30: Travel Fund and Expenses for Board-

Assigned Travel

30.01 Travel Fund

- 30.01.1 The Board shall establish a Travel Fund to reimburse expenses incurred in travel by Faculty Members/Professional Librarians to meetings of learned societies, professional associations or similar groups. With the agreement of their respective Dean, Instructors/Academic Assistants may also apply to this Fund. Agreement, within the context of the Instructor's/Academic Assistant's Position Description, shall not be unreasonably withheld.
- 30.01.2 The President shall establish procedures to disburse the Travel Fund based on recommendations from the General Faculties Council in consultation with the Association. These procedures shall include a statement of criteria and priorities for allocation.
- 30.01.3 A Faculty Member/Professional Librarian/Instructor/Academic Assistant who attends an event funded under [Article 30.01](#) shall arrange for coverage of their classes by a qualified substitute, or reschedule missed class(es) at a time convenient to their students, and at no extraordinary expense to the Board or their students.

30.02 Travel Expenses

- 30.02.1 Members shall be reimbursed for expenses incurred while traveling on Board-assigned University business in accordance with University policy pertaining to reimbursement of travel expenses. Expenses reimbursed under [Article 30.02](#) are not Travel Fund expenses.

Article 31: Research Fund

- 31.01 The Board shall establish a research fund to encourage and assist research and creative activities by Faculty Members/Professional Librarians. With the agreement of their respective Dean, Instructors/Academic Assistants may also apply to this fund. Agreement, within the context of the Instructor's/Academic Assistant's Position Description, shall not be unreasonably withheld.
- 31.02 The President shall establish procedures to disburse research funds to applicants based on recommendations from the General Faculties Council. These procedures shall include a statement of criteria and priorities for allocation of such funds.

Article 32: Leaves of Absence

32.01 General

- 32.01.1 Time spent on leave, except leaves without pay and benefits, counts as continuous service for the purposes of Seniority in [Article 34](#) (Termination of Appointment for Financial Emergency or Due to Program Redundancy). Time spent on leaves without pay and benefits interrupts but does not reset the accumulation of continuous service for this purpose.
- 32.01.2 Time spent on unpaid leave, or at less than full-load equivalent as a result of a leave under this Article, does not count as service for the purposes of calculating Academic Career Years, or time towards a Maximum Probationary Period, Probation or Tenure.

32.02 Medical Leave

- 32.02.1 Under [Article 32.02](#), Members may be absent from duties because of a medical condition for up to seventy-five (75) Working Days in any consecutive three hundred and sixty-five (365) day period and subject to [Article 20.02.2](#) without reduction in salary or benefits, and the following conditions shall apply to such Medical Leave.
- (a) The Member notifies the Dean, Department Chair or immediate Supervisor as soon as possible, and before the medical condition affects the execution of the Member's duties where practicable. In the event the period of Medical Leave exceeds ten (10) Working Days, the Member's Department/Area Chair or immediate Supervisor shall advise the Dean and the Wellness Department.
 - (b) **Following a Medical Leave of ten (10) Working Days or greater, the Member shall provide a Medical Certificate, as defined in Article 2.18, to the Wellness Department. In some exceptional circumstances, the Board may require a Medical Certificate to support a Medical Leave entitlement of under ten (10) Working Days, where reasonable. ~~If the Wellness Department has reasonable doubts about the purported medical condition, the Member may be required to provide a Medical Certificate to support the claim of a medical condition; but in any case, a Medical Certificate shall be provided by the Member when a Medical Leave exceeds ten (10) Working Days.~~**
 - (c) If, following review of the Medical Certificate, there **is** ~~is~~ reasonable ~~doubt~~ **questions or concerns regarding the information provided or** about the medical capacity of the Member to perform their regular assignment, after consultation with the Dean and the Association, the Member may be required to be examined by an appropriately-qualified health professional, at cost to the Board. Upon examination by such qualified health professional, a Medical Certificate shall be provided by such professional to the Board.

- (d) The Member must provide the Wellness Department a Medical Certificate as evidence that the Member is able to resume their duties prior to the Member returning to duties, following a period of Medical Leave exceeding ten (10) Working Days or Long Term Disability leave. The return to work process will be coordinated by the Wellness Department in consultation with the Member, the Dean, the Association and other appropriate parties.
- (e) **Costs associated with documentation required by the Board to establish and support the Medical Leave and return to work process shall be covered by the Board.**
- (f) A Member who resumes their duties following a Medical Leave and who within twenty (20) Working Days is absent on account of the same or related medical condition shall have the two absences counted as one absence for the purpose of eligibility for Medical Leave and for Long Term Disability benefits.
- (g) After fifty (50) Working Days of Medical Leave for the same or related medical condition have elapsed, the Wellness Department shall advise the Pension & Benefit Department of the possibility of a claim for Long Term Disability benefits and the Wellness Department shall inform the Member of the procedures for filing a Long Term Disability Insurance claim. In the case of a Member applying for Long Term Disability benefits, the Wellness Department shall facilitate the Member's application and provide appropriate updates to the Member and the Association and support during the process of applying for Long Term Disability benefits.

32.02.2 The provisions of the Long Term Disability Insurance Plan shall not be altered except by the mutual consent of the parties.

32.02.3 A Member who does not have Long Term Disability Insurance coverage and is able to resume some duties after Medical Leave, ~~may apply for Reduced Load Status under Article 33, which may not be unreasonably denied shall be accommodated up to the point of undue hardship under Article 12.02.~~ The Member's return to work shall be coordinated by the Wellness Department and the Dean, in consultation with the Member and the Association.

32.03 Maternity and Parental Leave: General Provisions

32.03.1 Maternity and Parental Leaves draw on definitions and regulations laid out by Canada's Employment Insurance (EI) program, including its regulation of any Supplemental Unemployment Benefits (SUB) Plan. Adoption Leave is covered under Parental Leave only.

32.03.2 **Maternity and Parental Leave EI benefits are in accordance with Federal legislation.**

32.03.3 A pregnant Member shall have the right to be relocated or reassigned when medical restriction or the performance of their assigned duties presents a risk to the health of themselves or their unborn child(ren), as

supported by a Medical Certificate.

- 32.03.4 A Member with pregnancy related illness may access the Medical Leave provision under [Article 32.02](#) where eligible. All provisions of [Article 32.02](#) will apply.
- 32.03.5 To qualify for Maternity and/or Parental Leave under [Article 32.03](#), the Member must meet the following criteria:
- (a) to apply for Maternity Leave the Member must be pregnant;
 - (b) the Member must provide documentation of their EI benefits eligibility;
 - (c) the Member holds a probationary appointment, a tenured appointment/Continuing Appointment, or is in the second or subsequent year of a multi-year Term appointment, or is in the second or subsequent year of consecutive Term appointments;
 - (d) if the University maintains an approved SUB Plan, the Member must comply with the regulations established by that Plan;
 - (e) the Member applies to the Dean as soon as possible in advance of the date of the proposed leave so that the Dean may make adequate arrangements for the performance of the Member's assigned duties;
 - (f) the Member submits to the Wellness Department either a Medical Certificate which specifies the expected date of delivery, or documentation regarding the anticipated date of placement for an adopted child(ren);
 - (g) the proposed period of leave includes the expected date of delivery or of placement, unless otherwise mutually agreed between the Dean and the Member.
- 32.03.6 When the conditions in [Article 32.03.4](#) have been met, the Dean shall act without delay.
- 32.03.7 An alteration to the date of the commencement or termination of a Maternity and/or Parental Leave can only be made with the mutual agreement of the Dean and the Member. Such agreement shall not unreasonably be withheld.
- 32.03.8 Where the non-birth parent Member becomes the parent of a child by birth or adoption, and that Member is not taking any Parental Leave in the year following the birth of the child or the placement of the child for adoption, the Member is entitled to ten (10) Working Days leave with pay at the time the child is born or placed.
- 32.03.9 A Maternity or Parental Leave shall be the same for a single or multiple child birth or placement.
- 32.03.10 All Parental leaves shall be taken within the time specified by the Employment Insurance Program. Any SUB Plan paid leave must be taken before any other Parental Leave. If a Member is taking both Maternity and Parental Leave, the Maternity Leave must precede the

Parental Leave.

32.03.11 During a Maternity and/or Parental Leave:

- (a) The Member shall maintain rank and status (probationary, tenured, continuing or term), except where the Member's appointment ends because a term contract expires at or before the end of the period of leave.
- (b) A Member holding a probationary appointment under [Article 10.03](#) shall specify prior to the start of the leave, at the Member's option, whether the period of leave granted under [Article 32.03](#) shall be taken into account in the calculation of the relevant period of service for the extension of probation or the awarding of tenure/Continuing Appointment. Should the Member choose that the period not be taken into account, the period of probation shall be deemed to have been extended by one (1) year; **and**
- (c) A Member shall be evaluated on performance based on the period in which the Member was not on Maternity/Parental Leave for the purposes of [Articles 35.03](#), [36.03](#), [37.03](#), and [23](#) unless the Member chooses to have the period of leave taken into account.

32.04 Maternity Leave: Specific Provisions

- 32.04.1 If the Member is qualified for Maternity Leave under [Article 32.03](#) then they shall be entitled to a paid leave (subject to [Article 32.04.2](#)) of up to sixteen (16) weeks. If the Member wishes to return to duties earlier than six (6) weeks from giving birth, they must provide medical documentation stating they are medically fit to return to their duties. A Member may also be qualified for Parental Leave; refer to [Article 32.05](#).
- 32.04.2 During an [Article 32.03](#) Maternity Leave the Member shall receive from the Board an amount equal to the difference between the Member's EI benefits and one hundred percent (100%) of the Member's salary for a period not to exceed sixteen (16) weeks or up to the end date of the Member's Term appointment, whichever occurs first.

32.05 Parental Leave: Specific Provisions

32.05.1 ***Parental Leave where Only the Birth Mother is a Qualified Member***

- (a) Following Maternity Leave, the qualified Member shall be entitled to a Parental Leave consisting of a period up to four (4) weeks SUB Plan Parental Leave and fifty-seven (57) weeks parental leave that is not covered by the SUB Plan, but may be partially paid by EI.

- (b) During the period of SUB Plan paid Parental Leave the Member shall receive from the Board an amount equal to the difference between the Member's actual EI benefits and one hundred percent (100%) of the Member's salary for a period not to exceed four (4) weeks or up to the end date of the Member's Term appointment, whichever occurs first.

32.05.2 *Parental Leave where Only One (1) Parent, who is Not the Birth Mother, is a Qualified Member*

- (a) The qualified Member shall be entitled to a Parental Leave consisting of a period up to twenty (20) weeks SUB Plan paid Parental Leave and forty-two (42) weeks Parental Leave that is not covered by the SUB Plan, but may be partially paid by EI.
- (b) During the period of SUB Plan paid Parental Leave the Member shall receive from the Board (based on EI parental benefits eligibility) an amount equal to the difference between the Member's EI benefits (to be calculated as if the Member takes a standard Parental Leave) and one hundred percent (100%) of the Member's salary for a period not to exceed twenty (20) weeks or up to the end date of the Member's Term appointment, whichever occurs first.

32.05.3 *Parental Leave where Both Parents are Qualified Members*

- (a) Where both parents are qualified Members the shared Parental Leave may be taken consecutively or concurrently except in cases where operational requirements preclude a concurrent leave. Requests for concurrent leave shall not be denied unreasonably.
- (b) Leave is available to either of the Member parents, or may be shared between the parents to a combined maximum of sixty-nine (69) weeks, of which one parent cannot receive more than sixty-two (62) weeks. The total combined SUB Plan paid Maternity and Parental Leave may not exceed twenty (20) weeks; and the total combined Maternity and Parental Leave may not exceed fifty-six (56) weeks (Standard Parental Benefits) or eighty-five (85) weeks (Extended Parental Benefits).
- (c) During the period of SUB Plan paid Parental Leave the Member(s) shall receive from the Board an amount equal to the difference between the Member's EI benefits and one hundred percent (100%) of the Member's salary. In [Article 32.05.3\(c\)](#), "the Member's EI benefits" shall mean the actual benefits if the Member has taken a Maternity Leave with respect to this child, and shall be calculated as if the Member takes a Standard Parental Leave if the Member has not taken a Maternity Leave with respect to this child. SUB Plan paid Parental Leave shall not extend beyond the end date of the Member's Term appointment.
[See Schedule G for examples.](#)

32.06 Members who do not Qualify for Maternity or Parental

Leave under [Article 32.03](#)

- 32.06.1 Where the non-birth parent Member becomes the parent of a child by birth or adoption, and that Member does not qualify for Parental Leave in the year following the birth of the child or the placement of the child for adoption, the Member is entitled to ten (10) Working Days leave with pay at the time the child is born or placed.
- 32.06.2 Where a Member who is pregnant has held a probationary appointment, a tenured appointment/Continuing Appointment, or is in the first year of a Term appointment for at least fifteen (15) consecutive weeks, and does not qualify for Maternity or Parental Leave, the Member may be approved for a paid leave, which includes the date of delivery, for a minimum of six (6) weeks and a maximum of twenty (20) weeks. If approved, the Member shall receive from the Board fifty percent (50%) or one hundred percent (100%) of the Member's normal weekly salary less EI benefits, whichever is less, for a period not to exceed twenty (20) weeks. During this period the Board and the Member shall each contribute to all benefit programs as specified in [Schedule B](#). If the Member wishes to return to duties earlier than six (6) weeks from giving birth, they must provide a Medical Certificate stating they are medically fit to return to their duties.
- 32.06.3 Where a Member who is pregnant does not qualify for Maternity or Parental Leave, the Member may be approved for a leave without pay and without benefits, which includes the date of delivery, for a minimum of six (6) weeks and a maximum of twenty (20) weeks or up to the end date of the Member's Term appointment, whichever occurs first. If the Member wishes to return to duties earlier than six (6) weeks from giving birth, they must provide a Medical Certificate stating they are medically fit to return to their duties.

32.07 Economic Benefits, as Specified in [Schedule B](#), During Maternity/Parental Leave

- 32.07.1 During the period of SUB Plan paid Maternity and/or Parental Leave the Board and the Member shall each contribute to all benefit programs as specified in [Schedule B](#).
- 32.07.2 During any period of Parental Leave after the Member's SUB Plan benefits have been exhausted, a Member shall receive no pay from the University, but the Board and the Member shall contribute their regular shares to maintain the Member's Economic Benefits as set out in [Schedule B](#).
- 32.07.3 At the outset of the leave, a Member may decline the coverage of some or all of the Economic Benefits as set out in [Schedule B](#) during the period of Parental Leave after the Member's SUB Plan benefits have been exhausted, in which case neither the Member nor the Board shall contribute to the declined benefits.

- 32.07.4 If the Member opts out of contributions to the pension benefit during the period of Parental Leave, and upon return wishes to purchase back the pension service for that leave, the Member will be liable to pay the cost of both the Board and the Member shares.
- 32.07.5 The Board contracts with third parties to supply Economic Benefits specified in [Schedule B](#), and the Member's options with respect to which benefits may be declined are limited by these contracts; the Board will make available to Members a list of options, which may be amended from time to time as contracts change.

32.08 Personal, Compassionate Care, and Domestic Violence Leaves

- 32.08.1 It is recognized that certain circumstances may arise in a Member's personal family life which may require taking an immediate, limited period of Personal Leave from the University.
- 32.08.2 The Member's Dean shall be informed immediately. No more than five (5) Working Days of paid Personal Leave may be taken by a Member under such circumstances, unless otherwise provided for in [Article 32](#).

32.08.3 Compassionate Care Leave

- (a) A Member is eligible for an unpaid Compassionate Care Leave of up to twenty-seven (27) weeks if they:
- (i) have been employed by the University for at least ninety (90) consecutive days;
 - (ii) give care or provides support to a gravely ill Family member at risk of dying within twenty-six (26) weeks, as established by a Medical Certificate; and
 - (iii) give the Wellness Department written notice at least two (2) weeks before the start date of their Leave where practicable, such notice to include an estimated date of their return to work.
- (b) "Family member" is defined in *Division 7.2*, or any such successor section, of the Alberta *Employment Standards Code*.
- (c) Members on Compassionate Care Leave are considered to be continuously employed for the purposes of calculating years of service.
- (d) If more than one Member is eligible for Compassionate Care Leave with respect to the same Family Member, both Members may apply to their respective Dean for concurrent Compassionate Care Leave.

32.08.4 Domestic Violence Leave

- (a) A Member is eligible for a paid Domestic Violence Leave of up to five (5) Working Days, followed by an unpaid Domestic Violence

Leave of up to five (5) Working Days, if:

- (i) they have been employed by the University for at least ninety (90) consecutive days;
 - (ii) an act of domestic violence occurs to the Member, the Member's dependent child or a protected adult living with the Member; and
 - (iii) the Member gives reasonable notice to ~~the manager of~~ the Wellness Department before taking the Leave.
- (b) To be an act of Domestic Violence, the act must be defined as such in, and have been caused by a person identified in, *Division 7.6*, or any successor section, of the *Alberta Employment Standards Code*.

32.08.5 A Member on leave under [Article 32.08](#) is responsible, together with the Dean and the relevant Department Chair where departments exist, for taking reasonable measures to minimize the effects of the Member's leave.

32.09 Political Leave

- 32.09.1 A Member who becomes elected to hold provincial or federal political office shall be given leave of absence without pay for the period of each year in which they hold political office or are otherwise occupied with duties as an elected representative; or the Member may exercise the option of continuous leave without pay for the term of political office.
- 32.09.2 Arrangements for leave appropriate to the circumstances shall be made for Members elected to municipal or other local government office.
- 32.09.3 A Member who is appointed a Minister of the Crown shall be given leave of absence without pay during their tenure of office.

32.10 Leave Without Pay

- 32.10.1 If requested by a Member, the Board may grant leaves of absence without pay in circumstances not covered by [Article 32](#).

32.11 Secondment Leave and Exchange Leave

- 32.11.1 A leave of absence without pay to provide professional assistance on a full-time basis to an outside agency or pursuant to an arrangement for faculty exchange may be granted to a Member when the following conditions are met:
- (a) the Member's primary duties can be covered by a replacement deemed to be satisfactory by the University;
 - (b) the Board's obligations in [Article 32.11](#) do not extend beyond one

- (1) year's leave in five (5) years of paid full-time service at the University. Nothing in [Article 32.11](#) shall preclude further leaves of absence being taken by mutual agreement between the Member and the Board; and
- (c) upon the granting of leave, the Board shall specify the extent of the Member's responsibilities, if any, to the University during the period of leave.

32.12 Other Leave

- 32.12.1 It is recognized that circumstances not otherwise specified in [Article 32](#) may arise requiring a Member to take a period of absence from the University.
- 32.12.2 Upon application by the Member, the Dean may grant or defer a leave for reasons not otherwise specified which reasonably warrant it. The rate of pay and the applicability of the other Articles of this Collective Agreement shall be specified by the Board and accepted by the Member prior to the granting of leave under [Article 32.12](#).

32.13 Status of a Member on Leave

- 32.13.1 For a Member on Compassionate Care Leave ([Article 32.08.3](#)), political leave ([Article 32.09](#)), leave without pay ([Article 32.10](#)), or in the case of Instructors or Academic Assistants leave without pay for professional development ([Article 37.02.10](#)), during the period of leave:
- (a) none of the Articles of the Collective Agreement shall apply, except that the Member shall retain their rank and tenure; or in the case of Instructors/Academic Assistants, appointment status; and
- (b) the Member shall be responsible for all Board and Member payments, premiums, fees, and contributions required during the period of leave for the maintenance of all of the economic benefits for which they are eligible, except that the University shall provide the necessary administrative services. The Member may opt to have no University insured benefit coverage during the period of leave.
- 32.13.2 The applicability of the Articles of this Collective Agreement to a Member on secondment leave or on exchange leave shall be specified by the Board and accepted by the Member prior to the award of leave.
- 32.13.3 ~~Other leaves provide~~ For a Member on Medical Leave ([Article 32.02](#)), Maternity Leave ([Article 32.04](#)), Parental Leave ([Article 32.03](#)), Personal Leave ([Article 32.08.9](#)), or Domestic Violence Leave ([Article 32.08.4](#)), the Member shall have a temporary release from employment duties, but all other Collective Agreement provisions apply during such leaves.

Article 33: Gradual Retirement & Reduced Load Status

33.01 General

- 33.01.1 Appointments under [Article 33](#) are generally expected to include duties as outlined in Assignment of Duties of Members ([Article 21](#)), proportional to what would have been expected had the Member remained on a full load basis.
- 33.01.2 Members shall be reminded of their rights under [Article 11.02.6](#) (Participation and Accompaniment) before entering into an agreement for a Gradual Retirement or Reduced Load Status.

33.02 Gradual Retirement

- 33.02.1 Members in a continuing or tenured appointment are eligible to apply for Gradual Retirement under the following conditions:
 - (a) The Member is at least fifty-five (55) years of age upon retirement; and
 - (b) The Member has at least five (5) years of service at the University.
- 33.02.2 A Member applying for Gradual Retirement may do so by giving written notice to their Dean at least twelve (12) months in advance of the January 1st or July 1st date on which they would like to commence Gradual Retirement. The earliest a Member may request a Gradual Retirement is within two (2) years of the eligibility criteria identified in [Article 33.02.1](#).
- 33.02.3 Gradual Retirement shall take place over a maximum period of three (3) years. Unless waived by the Association no Gradual Retirement Agreement shall be made until twenty (20) Working Days have elapsed following notice in writing given by the President to the Association of the intention to negotiate such an agreement. All Members approved for Gradual Retirement shall sign a formal, binding and irrevocable agreement to resign their position(s) at the end of the period of Gradual Retirement.
- 33.02.4 Gradual Retirement arrangements will be as flexible as possible while attempting to meet the needs of the Member and the University. However, the full-load or reduced-load duties and responsibilities of a Member may only be reduced by a maximum of fifty percent (50%) of a full load in any year of a proposed Gradual Retirement.
- 33.02.5 Specific Gradual Retirement arrangements proposed by a Member, to be known as a Gradual Retirement Plan, must be discussed with the

Dean. If the Member and Dean establish a mutually agreed Gradual Retirement Plan, the Dean will recommend acceptance of this Gradual Retirement Plan to the Vice-President (Academic). Upon receipt of the recommendation of the Dean the Vice-President (Academic) will either approve or deny the proposed Gradual Retirement Plan. The decision of the Vice-President (Academic) shall be final and binding. The Gradual Retirement Plan and the decision of the Vice-President (Academic) shall be copied to the Association.

- 33.02.6 The duration of the approved Gradual Retirement Plan may be shortened with sufficient, written notice from the Member and subject to approval by the Dean, with copies to the Association. Such approval shall not unreasonably be withheld.

33.03 Reduced Load Status

- 33.03.1 **With the Agreement of the Dean**, Reduced Load Status is available to Members who hold a probationary appointment, Appointment with tenure or a Continuing Appointment.

- 33.03.2 **Reduced Load Status will be subject to a written agreement between the Dean and a Member. That agreement shall be for:**

- (a) **an indefinite term that shall continue to retirement; or**
- (b) **a term certain specified in written agreement.**

- 33.03.3 **Any agreement entered into under this provision may be terminated in writing by mutual agreement. Copies of any agreement shall be provided to the Association.**

- ~~33.03.4 **Reduced Load Status shall continue to retirement unless it is terminated in writing earlier by the mutual agreement of the Dean and the Member, with copies to the Association.**~~

- 33.03.5 Reduced Load Status applies to those with:

- (a) a full-load commitment for less than twelve (12) months but not less than six (6) months of the Academic Year, and to include at least one (1) of the four (4) month periods September 1st - December 31st or January 1st - April 30th; or
- (b) a commitment less than full-load, but not less than fifty percent (50%) of the full-load equivalent on a twelve (12) month per year basis.

- 33.03.6 For each Reduced Load Status appointment, the proportion of the full-load equivalent and the period(s) of the year the Member's services are to be rendered, shall be set out in writing, referencing [Article 33](#), and agreed to by the Member and the Dean concerned, and approved by the Vice-President (Academic), with copies to the Association. Reduced Load Status will be granted only if satisfactory arrangements for teaching, student supervision and other

responsibilities can be made.

- 33.03.7 Subject to the above conditions and by mutual agreement of the Member and the Dean, changes to the proportion of the full-load equivalent and the period(s) of the year the Member's services are to be rendered may be set out in writing and approved by the Vice-President (Academic), with copies to the Association.

33.04 Salary for Gradual Retirement & Reduced Load Status

- 33.04.1 The actual salary paid to a Member on Gradual Retirement or Reduced Load Status shall be proportional, as determined by the duties and responsibilities agreed to in the approved Gradual Retirement Plan or Reduced Load Status agreement, to the nominal salary. The nominal salary shall be that which would be paid to the Member were they employed on a full-load basis.
- 33.04.2 For Members on Gradual Retirement or Reduced Load Status, the Dean will advise the Member in writing annually on the breakdown of their July 1st nominal salary according to prior June 30th nominal salary, cost of living adjustment (if any), career progress increment (if any), and Merit **Award increment** (if any), along with the resultant Gradual Retirement or Reduced Load Status actual salary.

33.05 Awards and Increments for Members on Gradual Retirement or on Reduced Load Status

- 33.05.1 **Awards and** increments are to be awarded in accordance with [Articles 23 and 24](#).
- 33.05.2 For a Member on Gradual Retirement or Reduced Load Status, the actual value of the **award or** increment shall be proportional, as determined by the duties and responsibilities agreed to in the approved Gradual Retirement Plan or Reduced Load Status agreement, to the size of the salary increment as determined in [Articles 23 and 24](#).
- 33.05.3 **The award or increment received by a Member as determined in accordance with Article 23 and 24 and prorated per Article 33.05.2 shall be added to the Member's actual salary. The Member shall accumulate one (1) full Academic Career Year for the year in which an award or increment is granted.**

33.06 Economic Benefits for Members on Gradual Retirement or on Reduced-Load Status

- 33.06.1 **Pension**
- (a) The terms and conditions for pension coverage shall be subject

to the regulations of the Universities Academic Pension Plan.

- (b) If the reduction from full-load is less than or equal to twenty percent (20%), the Member and the Board continue to remit their respective pension contributions based on the full-load salary.
- (c) If the reduction from full-load is more than twenty percent (20%), the Member may opt to purchase the full-load pension contribution entitlement by paying both the Board's and the Member's pension contribution shares on the reduced portion. The Board and Member continue to pay their respective pension contribution shares for the portion as determined by the duties and responsibilities agreed to in the approved Gradual Retirement Plan or Reduced Load Status agreement.

33.06.2 Benefits shall be as in [Schedule B](#).

- (a) As noted in [Schedule B](#), the Professional Supplement and Spouse and Dependent's Tuition Benefit shall be proportional to that determined by the duties and responsibilities agreed to in the approved Gradual Retirement Plan or Reduced Load Status agreement.
- (b) The actual salary as established in [Article 33.04](#) shall govern the level of long-term disability insurance coverage.

Article 34: Termination of Appointment for Financial Emergency or Due to Program Redundancy, Not Applicable to Members Holding Term Appointments or Sessional Lecturer Appointments

34.01 No probationary, continuing, or tenured Member shall be terminated following a declaration of financial emergency or of program redundancy except in accordance with this Article.

34.02 Financial Emergency

- 34.02.1 A state of financial emergency is defined as a situation in which the University faces a substantial and potentially chronic financial deficit which
- (a) threatens the continued functioning of the University as a whole; and
 - (b) cannot be alleviated without reductions from more than one employee group in order to reduce the University's budgetary allocation to salaries and benefits.

34.02.2 The Board may declare that a state of financial emergency exists only

after giving thorough and reasonable consideration to a report by the Financial Emergency Commission established under [Article 34.02.6](#).

- 34.02.3 In the event that the President considers that a financial emergency may exist within the meaning of [Article 34.02.1](#), they shall give notice to the Board, General Faculties Council (GFC), and the Association that they intend to recommend that the Board declare a financial emergency. As of the date of such notice and until the Board has approved a plan to resolve the financial emergency, if it exists, the Board undertakes to make no additional continuing, probationary or Term appointments to the academic, administrative, or non-academic complement, except if required for the maintenance of essential functions and services, as determined by the Board and communicated to the Association.
- 34.02.4 Within ten (10) Working Days of the President's notice that a financial emergency may exist, the Board shall consult with the Association on the terms of a career transition incentive program which may include but is not limited to a voluntary early retirement program, a voluntary separation program or a voluntary reduced load program. Eligible probationary, continuing, or tenured Members shall indicate in writing, within forty (40) Working Days, their willingness to participate in such career transition incentive program.
- 34.02.5 Within ten (10) Working Days of the President's notice that a financial emergency may exist, they shall forward to the Association a copy of the documentation they are providing to the Financial Emergency Commission established under [Article 34.02.6](#).
- 34.02.6 **Financial Emergency Commission**
- (a) Within ten (10) Working Days of the President's notice that a financial emergency may exist, the Board and the Association shall create a Financial Emergency Commission.
 - (b) The Financial Emergency Commission shall consist of five (5) Members, two (2) of whom shall be appointed by the Board, and two (2) of whom shall be Members appointed by the Association.
 - (c) An independent chair shall be selected by mutual agreement between the Board and the Association.
 - (d) In the event that the Board and the Association cannot agree on a chair within five (5) Working Days of the President's notice under [Article 34.02.3](#), the chair shall be named by the Director of Mediation Services for the Province, pursuant to the Code.
 - (e) The Financial Emergency Commission shall verify the potential financial emergency and shall examine whether or not the Board has explored in good faith a wide variety of methods of avoiding declaration of a financial emergency, including but not restricted to leaves of absence, early retirements, re-deployment, termination of term contracts, and methods of expenditure reduction and revenue enhancement other than layoffs.
 - (f) The Financial Emergency Commission shall establish its own

procedures, except that

- (i) the minutes of each of its meetings shall be published to the University community within three (3) Working Days;
 - (ii) its quorum shall be a majority of its members; and
 - (iii) unless the Commission decides otherwise, hearings of oral evidence, if any, shall be open to the University community.
- (g) The costs of the Financial Emergency Commission, other than as provided in [Article 34.02.6\(h\)](#) shall be paid by the Board except that all costs incurred by or for each Party shall be paid by that Party.
- (h) The Financial Emergency Commission may retain the services of a qualified financial consultant to assist it, but the responsibility for the report remains with the Financial Emergency Commission; the costs incurred up to ten thousand dollars (\$10,000) will be borne by the Board; further costs, to a maximum of an additional ten thousand dollars (\$10,000) shall be shared by the Board fifty percent (50%) and the Association fifty percent (50%). Expenses beyond this limit may be incurred only following consultation with and approval by the Board and the Association.
- (i) The Board shall cooperate with the Financial Emergency Commission in its deliberations and shall accommodate any reasonable request for documentation.
- (j) Within thirty-five (35) Working Days of the President's notice under [Article 34.02.3](#), the Financial Emergency Commission shall report in writing to the Board, with copies to GFC, the President and the Association. The report shall indicate
- (i) whether or not the Committee agrees that a financial emergency is imminent;
 - (ii) a recommendation on the amount of reduction in expenditure required; and
 - (iii) a recommendation on the amount, if any, of the reduction to be achieved from probationary, continuing, and tenured Members' salaries and benefits.
- (k) The Financial Emergency Commission may also recommend steps the Board might take to avoid a financial emergency.
- (l) A failure of the Financial Emergency Commission to report within this time limit shall relieve the Board of the constraint under [Article 34.02.2](#). In the event the members of the Financial Emergency Commission fail to reach a consensus report, individual members or groups of members of the Commission may release a minority report or reports on their own behalf, which shall together constitute the Financial Emergency Commission report for the purposes of this Article.
- (m) After the Financial Emergency Commission reports or if it fails to report, the Board and Association shall have a period of

seventeen (17) Working Days to meet to consider the recommendations of the report, if any, and may, notwithstanding any provision to the contrary in this Collective Agreement, negotiate provisions of this Collective Agreement that bear directly on the salaries and benefits of probationary, continuing or tenured Members, or reach other mutually acceptable emergency provisions to reduce expenditures to avert a state of financial emergency. If an agreement is reached, both Parties shall arrange that the necessary ratification votes occur within ten (10) Working Days of the conclusion of negotiations.

- (n) Following the process in [Article 34.02.6\(m\)](#) the Board must decide whether a financial emergency exists. If the Board declares that a financial emergency exists in the University, it may institute layoffs of probationary, continuing, and tenured Members according to the provisions of [Article 34.04](#).
- (o) In the event that the Board decides that a financial emergency does not exist for the reasons originally set out by the Board, as defined in [Article 34.02.1](#), then no notice for the same or substantially similar reasons under [Article 34.02.3](#) shall be given for a period of twelve (12) months from the date of the original notice under [Article 34.02.3](#).

34.03 Program Redundancy

- 34.03.1 Program redundancy, as defined in [Articles 34.03.2](#) and [34.03.3](#), may be declared only by the Board, after receiving recommendations from GFC. Before GFC makes a recommendation, the Board must provide each academic unit affected with a reasonable opportunity to comment to GFC upon the proposed redundancy. Such a declaration may be made by the Board for reasons defined in [Article 34.03.3](#).
- 34.03.2 A program is defined as a group of credit courses, normally comprising a major that, on completion, leads to the granting of a degree, diploma or certificate. Program redundancy results in one or more positions, vacant or occupied by a probationary, continuing, or tenured Member, being declared unnecessary.
- 34.03.3 A program may be declared redundant for one or more of the following reasons:
 - (a) changing Board priorities or restructuring of the University's academic programs: as a consequence of a decision by the Board, after receiving recommendations from GFC;
 - (b) low student enrolment: when recent history and reasonable projections into the future indicate that the level of enrolment, in proportion to the resources committed to that program, will continue for more than three (3) years significantly below the average of the relevant faculty;
 - (c) a material loss of funding given to the Board for the support of the specific program;

- (d) other external factors which specifically affect enrolment in the particular program and over which the Board has no control, such as changes in the requirements for certification in various professions.

34.03.4 After consultation with the appropriate Dean, the Vice-President (Academic) may request that one or more programs be considered for redundancy by writing to the President as Chair of GFC, indicating the program to be considered for termination or reduction and giving detailed reasons for the request; copies of the request and supporting documentation shall be forwarded to GFC, the Board and the Association within ten (10) Working Days of the initial letter of request.

34.03.5 Redundancy Committee

- (a) Following the request in [Article 34.03.4](#), the President shall initiate the formation of a Redundancy Committee.
- (b) The Redundancy Committee shall be composed as follows:
 - (i) a chair appointed by GFC;
 - (ii) two (2) other members and one (1) alternate appointed by the GFC;
 - (iii) one (1) member appointed by the Vice-President (Academic);
 - (iv) the Dean of the Faculty involved; and,
 - (v) one (1) member, with voice but not vote, appointed by the Association.
- (c) The alternate member may attend all meetings but may not participate unless required to act as an alternate for a missing regular member.
- (d) In the event that GFC fails to appoint the required members, the President shall appoint them from among the elected members of GFC.
- (e) This committee shall hold its first meeting within ten (10) Working Days of the GFC meeting following the receipt of the request by the President.
- (f) The Redundancy Committee shall establish its own procedures, except that:
 - (i) its hearings shall be open to the University community;
 - (ii) its quorum shall be all of its voting members;
 - (iii) reasonable effort shall be made to schedule meetings to allow attendance by the non-voting member.
- (g) The Redundancy Committee shall assess whether the request to consider a program or programs for redundancy is consistent with the reasons set out in [Article 34.03.3](#). If the Committee considers that a program may be redundant, it may include in its

report recommendations for action that may prevent layoffs stemming from the redundancy.

- (h) Within twenty (20) Working Days of its first meeting, the Redundancy Committee shall submit its report in writing to the President as Chair of GFC.
- (i) GFC shall consider the report at its next meeting following the receipt of the report by the President. The President shall forward the report and GFC's recommendations to the Board. A copy of both the report and GFC's recommendations, if any, shall be sent to the Association.
- (j) Following thorough and reasonable consideration of the report and the recommendations made by GFC, the Board may declare the program redundant. The Board shall notify GFC and the Association of the effective date of program redundancy, which effective date will be either January 1st or July 1st.
- (k) A failure of the Redundancy Committee or GFC to submit a report within the timelines specified in [Articles 34.03.5\(h\)](#) and [34.03.5\(i\)](#) shall relieve the Board of any constraints under this Article in declaring the program redundant. In the event the members of the Redundancy Committee fail to reach a consensus report, individual members or groups of members of the Committee may release a minority report or reports on their own behalf, which shall together constitute the Redundancy Committee Report for the purposes of this Article.

34.03.6 Career Transition Incentive Program

- (a) After consultation with the Association, the Board shall offer to each probationary, continuing, or tenured Member in a redundant program a career transition incentive program which may include but is not limited to a voluntary early retirement program or a voluntary separation program. Within forty (40) Working Days of the offer, the Member shall indicate in writing whether they accept.
- (b) Concurrent with any offer under [Articles 21.03.8](#) or [34.03.6\(a\)](#), the Board shall make reasonable efforts to offer to each probationary, continuing, or tenured Member in a redundant program one or more of the following, as deemed appropriate by the Board:
 - (i) reassignment according to [Article 34.03.7](#);
 - (ii) any other alternative which may be implemented with the mutual consent of the Board and the Member.
- (c) The Vice-President (Academic) shall consult with probationary, continuing, and tenured Members in the affected program or programs to develop proposals for the options listed in [Articles 34.03.6\(b\)\(i\)](#), or [34.03.6\(b\)\(ii\)](#) above.
- (d) The Member shall have at least fifteen (15) Working Days to accept any offer under [Articles 34.03.6](#) or [34.03.7](#).

- (e) The Member shall accept at most one of the offers in [Articles 34.03.6\(a\)](#) and [34.03.6\(b\)](#).
- (f) If the Member does not accept the option offered under [Article 34.03.6\(a\)](#), and if no proposal under [Article 34.03.6\(b\)](#) is satisfactory to both the Member and the Board, the Member shall be laid off pursuant to [Article 34.04](#).

34.03.7 Reassignment

- (a) From the date of a decision of the Board under [Article 34.03.5\(j\)](#) until the end of the working notice period under [Article 34.04.4](#), probationary, continuing, or tenured Members affected by a program redundancy will be notified of all vacant academic positions, with copies to the Association.
- (b) If the Board declares a program redundancy under [Article 34.03.5\(j\)](#), it shall make reasonable effort to reassign probationary, continuing, or tenured Members affected by the reduction to vacant or newly established Member positions in other programs or to vacant or newly established non-academic positions. Such reassignment shall occur only if, in the judgement of the relevant Search Committee, after its consultation with the Vice-President (Academic), the Member possesses the expertise required to fulfil the responsibilities of the vacant or newly established Member position or vacant or newly established non-academic position or can acquire the expertise within a reasonable time, pursuant to [Article 21.06](#). When a Member accepts a transfer to a non-academic position, the terms and conditions of employment of such position shall apply, and the Member ceases to be a Member of the Association on the date that the transfer becomes effective.
- (c) If a Member is reassigned to another Member position following a declaration of program redundancy under [Article 34.03.5\(j\)](#), their rank, type of appointment, seniority and compensation shall not be altered by the reassignment.
- (d) The Board may require the Member who is reassigned to participate in a retraining program at the Board's expense.
- (e) If a Member is offered a reassignment, with or without retraining provision, and declines, the Member shall be laid off according to the provisions of [Article 34.04](#).
- (f) If there are no positions suitable for reassignment of a probationary, continuing, or tenured Member affected by a declaration of program redundancy, the Member shall be laid off according to the provisions of [Article 34.04](#).

34.04 Layoff

34.04.1 Seniority

- (a) For the purposes of [Article 34](#), seniority shall be established by

the most recent date upon which continuous employment with the University commenced; however, seniority may be transferred in for full years served in an academic appointment at the University or an appointment at another degree-granting institution provided that:

- (i) the appointment meets all of the criteria in [Article 35.02](#) for Faculty Members, [Article 36.02](#) for Professional Librarians, or [Article 37.03](#) for Instructors and Academic Assistants; and
 - (ii) the Member possessed full academic credentials for the years of service in question.
- (b) Any transfer of seniority shall be specified in the letter of offer. For Members already on staff when this agreement is ratified, time served in a probationary appointment and as a tenured or continuing Member at the University shall be counted toward seniority. Credit for verified prior service meeting the criteria in [Articles 34.04.1\(a\)\(i\)](#) and [34.04.1\(a\)\(ii\)](#) above shall be granted upon application.

34.04.2 *Effect of Leaves of Absence*

- (a) Continuity of employment shall not be affected by leave taken in accordance with this Collective Agreement nor leave taken under any previous versions of this Collective Agreement or previous Faculty Handbooks. Seniority shall be accumulated during leaves of absence with pay and leaves of absence in which Members are paid from a Supplemental Unemployment Benefits (SUB) Plan. Seniority shall not be accumulated during any leave of absence without pay.

34.04.3 *Order of Layoff*

- (a) Under both financial emergency and program redundancy as defined in this Article, Members holding probationary, continuing, or tenured appointments shall be laid off in the order of reverse seniority.
- (b) If two (2) or more Members have equal seniority, the order of layoff, if necessary, will be decided by lot.
- (c) Under financial emergency, the Vice-President (Academic) shall be free to designate a number of Members to be excluded from the list of persons subject to layoff; the number in question shall not exceed twelve percent (12%) of the average number of Members (excluding any such inactive Members) employed during the previous Academic Year.
- (d) Under program redundancy, the proportion of Members who can be so designated shall be limited to twelve percent (12%) of the membership of the program designated redundant with a minimum of one (1) person.
- (e) The case for retention of an individual Member shall be made by the appropriate Dean in writing to the Vice-President (Academic) with copies to the Association, and shall be based upon the

requirement of academic plans previously approved by GFC, or the quality of programs of instruction or research.

34.04.4 Notice Period

- (a) Following a Board decision on the recommendation of the President, probationary, continuing, or tenured Members who are to be laid off under this Article shall be provided with written notice, with copies to the Association. Layoffs under this Article shall not be recorded as dismissals for cause.
- (b) Each Member with a probationary, continuing, or tenured appointment who is selected for layoff shall receive not less than four (4) months written notice of layoff or salary and benefits in lieu of notice, or a combination of notice plus salary and benefits to the equivalent of four (4) months, plus one (1) month's salary and benefits for each year of service with a minimum of four (4) months and a maximum of twelve (12) months salary and benefits. Benefits in [Article 34.04.4](#) are taken to mean the cash equivalent of the Board's contribution to the Member's benefits at the time of layoff.
- (c) Members who are laid off shall enjoy reasonable access to library and computer network access until alternative academic employment is secured, their recall rights expire, or recall is refused, whichever occurs first. With the consent of the Dean, access to other facilities may be granted. In addition, laid-off probationary, continuing, or tenured Members shall have access to the Tuition Benefit Program during the notice period.
- (d) While a Member is on layoff under the provisions of this Article, the Board will not contribute towards benefits but will permit and facilitate continuance of any coverage if available and if desired by the Member who will pay the applicable premiums.

34.04.5 Recall

- (a) Any Member who, under this Article, is laid off, who voluntarily accepts reduced load appointments, or who accepts reassignment to a position outside the Collective Agreement shall have, for a period of two (2) years from the date of lay off, reduced load appointment, or reassignment, a right of first refusal for any Member position in their former academic unit unless the relevant Search Committee determines that the Member does not have the necessary specialized knowledge. For these purposes the academic unit shall be the academic department, where academic departments exist, and in all other cases the relevant Faculty or Library. Throughout this recall period the Board shall notify affected Members of any vacant Member positions in their former academic unit, as such positions become available. If more than one such Member applies for a vacant position, the decision of the relevant Search Committee shall be final.
- (b) Any Member who is laid off under the provisions of [Article 34](#)

shall have, for a period of one (1) year from the date of layoff, the right of refusal for any other vacant Member position in the University for which the Member is qualified, as judged by the relevant Search Committee established under this Collective Agreement. Throughout this recall period the University shall notify laid off Members of any vacant Member positions at the University as such positions become available. If more than one such Member applies for a vacant position, the decision of the relevant Search Committee shall be final.

- (c) The right of first refusal in [Article 34.04.5\(b\)](#) is subsidiary to the right of first refusal in [Article 34.04.5\(a\)](#).
- (d) Any Member who is laid off under the provisions of [Article 34](#), shall, for a period of one (1) year from the date of layoff, be considered, upon application, as an internal candidate for any other vacant non-academic position in the University for which the Member is qualified, as judged by the relevant Search Committee. If more than one such Member applies for a vacant position, the decision of the relevant Search Committee shall be final.
- (e) Individuals who are recalled under [Articles 34.04.5\(a\)](#) or [34.04.5\(b\)](#) shall have up to one (1) month from the time of offer to accept the recall offer, and a period of at least six (6) months from the time of offer, to take up the position. The time of offer shall be measured from the date of mailing of the offer to the last known address of the Member. It is the Member's responsibility to inform the University of their current address.
- (f) A Member who accepts recall under this Article shall, within one (1) year of recall, repay any portion of the combined layoff compensation specified in this Article and the salary and benefits in the new position which exceeds the salary and benefits the Member would have been paid had they continued to occupy their former position.

Section 4: Job Category Specifics

Article 35: Faculty Members

35.01 Ranks

- 35.01.1 The classification of Faculty Members by rank shall be, in ascending order: Assistant Professor, Associate Professor, Professor.

35.02 Performance Evaluation Criteria for Faculty Members

- 35.02.1 The criteria to be used in evaluating the performance of Faculty Members are:

- (a) Teaching Effectiveness;
- (b) Research and Creative Activity; and
- (c) Service to the University and to Society.

- 35.02.2 These criteria are elaborated upon in [Article 13](#).

35.03 Guidelines for the Award of Tenure, Promotion, and Salary Increments

35.03.1 Award of Tenure

- (a) To qualify for the award of tenure, a Faculty Member shall have established the effectiveness of their teaching and a reasonable expectation of their ability to create a lengthy and meritorious record of effective teaching. In addition, depending upon the disciplinary area to which the Faculty Member belongs, they shall be expected to show evidence of the development of an independent and productive program of research, scholarship and creative activity, leading to a reasonable expectation of their ability to demonstrate some combination of maturity of scholarship and professional achievement. **A Member shall also have demonstrated meaningful service contributions to the University and to society.**

35.03.2 Award of Tenure at a Rank other than Assistant Professor

- (a) The application of the criteria for the award of tenure at a particular rank and salary shall be consistent with the application provided in [Articles 35.03.1](#), [35.03.3](#), [35.03.4](#), and [35.03.5](#).

35.03.3 Promotion to Associate Professor

- (a) To qualify for promotion to the rank of Associate Professor, a Faculty Member shall have established the effectiveness of their teaching over a period of years. In addition, depending upon the disciplinary area to which the Faculty Member belongs, they shall be expected to show evidence of some combination of maturity of scholarship and professional achievement. **A Member shall**

also have demonstrated meaningful service contributions to the University and to society.

35.03.4 *Promotion to Professor*

- (a) Promotion to the rank of Professor shall be based upon ~~both~~ a lengthy and meritorious record of effective teaching and significant scholarly or creative contributions to the Faculty Member's field. **A Member shall also have demonstrated meaningful service contributions to the University and to society.** Letters of reference from external referees shall be required. STP Committees shall scrutinize recommendations for promotion to Professor with extreme care to ensure that the requirements have been met.

35.03.5 *Salary Increments*

- (a) Apart from adjustments in salary proportionate to salary schedule changes, a Faculty Member can anticipate cumulative annual **salary** adjustments ~~of salary~~ through the award of salary increments. The awarding of salary increments reflects a well-established academic tradition whereby:
 - (i) normal career progress increments are awarded when continuing satisfactory professional performance is demonstrated; and
 - (ii) especially meritorious professional achievements are recognized through monetary reward in the form of **Merit Awards** ~~increments~~.
- (b) Since the criteria ~~which that~~ determine the award of salary increments are those ~~which that~~ apply to promotion, there will be a degree of correspondence between salary and rank. Thus, on promotion or appointment, a Faculty Member will receive a salary which is not less than the minimum for the rank. However, at other times, the characteristics of a Faculty Member's professional performance may be reflected in the award of increments but not in promotion.

35.04 A decision by a Personnel Committee that a Faculty Member not be promoted shall not, in itself, imply that the Faculty Member's performance has been assessed to be unsatisfactory.

Article 36: Professional Librarians

36.01 Ranks

- 36.01.1 The classification of Professional Librarians by rank shall be, in ascending order: Librarian II, Librarian III, Librarian IV.

36.02 Criteria for Extension of Probation, Tenure, Promotion and Salary Increments

36.02.1 The criteria for extension of probation, tenure, promotion and salary increments for Professional Librarians are:

- (a) Performance as a Professional Librarian
Effectiveness as a Professional Librarian is the primary criterion.
- (b) Research and Professional Development
- (c) Service to the University and to Society

36.02.2 These criteria are elaborated upon in Article 13.

36.03 Guidelines For Appointment and Promotion:

36.03.1 Librarian II

- (a) To qualify for appointment to the rank of Librarian II, the candidate shall have the minimum of a recognized professional degree in Library Science (M.L.S. or equivalent). In addition, there shall be the achievement of, or a reasonable expectation of, the development of a record of successful performance as a Professional Librarian, with a demonstration of a mastery of the skills and techniques of Librarianship as evidenced by effectiveness of professional performance; and evidence of the ability to develop and extend professional, disciplinary, and management expertise.
- (b) In addition, the candidate should show some evidence, or the expectation, of research and professional development in one or more of the following areas:
 - (i) Academic achievement and activities, including additional formal study in Library Science and/or other relevant academic disciplines, programs in continuing education, research, and publication.
 - (ii) Involvement in professional activities and participation in professional organizations including service on committees, the presentation of papers, the organization of and participation in conferences, seminars, and workshops.
 - (iii) Contributions to the Library, the University, or the community.

36.03.2 Librarian III

- (a) The primary criterion for appointment or promotion to the rank of Librarian III shall be professional performance; the successful candidate shall have a record over a period of years, of significant achievement in librarianship, exercising sound independent judgment and creativity. There should be clear

evidence of continuing professional development and demonstrated ability in an area of **performance as a Professional Librarian Library service** and/or in an administrative capacity.

- (b) The candidate must also demonstrate achievement in research and professional development in one or more of the following areas:
 - (i) Academic achievement and activities, including additional formal study in Library Science or other relevant academic disciplines, programs in continuing education; research, and publication.
 - (ii) Involvement in professional activities and participation in professional organizations including service on committees, the presentation of papers, organization of, and participation in conferences, seminars, workshops.
 - (iii) Contributions to the Library, the University, or the community.

36.03.3 Librarian IV

- (a) For appointment or promotion to the rank of Librarian IV the successful applicant must have a record of outstanding professional accomplishment with demonstrated initiative, leadership and creativity. There should be evidence of further development and extension of professional expertise reflected by superior achievements and leadership in the field of service or specialization recognized beyond the University. Letters of reference from external referees shall be required.
- (b) In addition the candidate must submit evidence of substantial achievement in at least two of the following areas: research, publishing, professional endeavors including significant involvement in professional organizations; and in general contributions to the profession, the University, and society.

Article 37: Instructors and Academic Assistants

37.01 Ranks

37.01.1 Ranks of Instructors

- (a) **Instructor I**
 - (i) The minimum qualification for appointment as Instructor I is a Bachelor's Degree in the subject of interest, with appropriate relevant experience.

- (b) **Instructor II**
 - (i) The minimum qualification for appointment as Instructor II is a Bachelor's Degree in the subject of interest, with appropriate relevant experience, or a record of teaching effectiveness over a period of years at the rank of Instructor I. A Master's Level degree in the subject of interest is desirable.
- (c) **Instructor III**
 - (i) The minimum qualification for appointment as Instructor III is a Master's Degree in the subject of interest, with appropriate experience or appropriate professional qualifications, or a lengthy and meritorious record of teaching effectiveness at the rank of Instructor II. A doctoral degree in the subject of interest is desirable.
 - (d) The Vice-President (Academic) on recommendation from a Dean may waive the minimum qualification for appointment of Instructors, in cases where the appointee holds equivalent, relevant experience.

37.01.2 Ranks of Academic Assistants

- (a) Academic Assistant I, where a Bachelor's Degree in the subject of interest or an equivalent academic qualification shall be the minimum qualification.
- (b) Academic Assistant II, where a Master's Degree or equivalent in the subject of interest or a lesser academic qualification plus appropriate experience is required.
- (c) Academic Assistant III, where a Doctoral Degree or equivalent in the subject of interest, or a lesser academic qualification plus appropriate experience and/or professional qualification is required.

37.02 Duties, Responsibilities, and Rights

- 37.02.1 The primary duties of an Instructor are instruction and service. These duties will be described in a Position Description as set out in [Article 37.02.3](#).
- 37.02.2 The duties of an Academic Assistant will be according to a Position Description and will be primarily other than instruction in Department/Faculty/Library offerings. Such positions shall support the teaching and/or research functions of the University.
- 37.02.3 A Position Description shall be developed by the Faculty/Library or Department/Area, as appropriate, and with the approval of the Dean. The Position Description shall form part of the initial offer of appointment, and be subject to alteration in the future with the

approval of the Dean in consultation with the Instructor/Academic Assistant, with a copy to the Association.

- 37.02.4 The principal duty of Instructors is to provide instruction within the Department/Faculty/Library through laboratory, clinical, fine arts studio performance, or other teaching assignments and other duties related to instruction. In every case, the Instructor must be appropriately qualified to deliver the teaching assignment in question.
- (a) Instructor I: Full responsibility for teaching laboratory, fine arts studio performance, physical activity or practicum courses is part of the Instructor's regular assigned duties.
 - (i) Full responsibility for teaching courses other than those mentioned in [Article 37.02.4\(a\)](#) may be assigned by the Dean on an exceptional basis with appropriate supervision.
 - (b) Instructor II: Full responsibility for teaching one or more courses may be part of an Instructor's regular assigned duties.
 - (c) Instructor III: Full responsibility for teaching courses may constitute all or part of an Instructor's regular assigned duties.
- 37.02.5 The professional obligations and responsibilities of Academic Assistants shall consist of those duties and responsibilities described in their Position Descriptions.
- 37.02.6 Instructors/Academic Assistants are not expected to conduct research or scholarship, other than that directly related to their professional development, teaching or Position Description duties or responsibilities. However, research activity which does not prevent Instructors from fulfilling their assigned duties shall be allowed when approved by the Dean in consultation with the Department Chair where Departments exist.
- 37.02.7 Instructors/Academic Assistants have an obligation to develop and maintain competence and effectiveness within their area of expertise. Where teaching is part of their assigned duties, they have an obligation to conscientiously prepare and organize their subject and to revise that subject matter on a regular basis as appropriate for that subject, and have the right and responsibility to ensure their professional development as effective teachers.
- 37.02.8 Instructors/Academic Assistants have full membership rights in their respective Department/Faculty/Library, and are encouraged to attend and take part in Department/Faculty/Library meetings and to vote in such bodies. Such service shall be considered to fulfill part of any service expectation listed in their Position Description.
- 37.02.9 Consistent with their assigned duties, Instructors/Academic Assistants have the right, and are encouraged, to participate in the work of academic and professional associations.

37.02.10 The Dean may provide, or the Instructor/Academic Assistant may request, a **reassignment** of duties with full or partial pay for the purpose of professional development.

37.03 Evaluation Criteria for Instructors and Academic Assistants

- 37.03.1 Assessments for salary increments, for promotion and for appointment to a continuing position shall be based upon:
- (a) effectiveness and competence with which assigned duties are carried out;
 - (b) the effectiveness with which the other rights and responsibilities listed in [Article 37.02](#), where applicable, are carried out.
- 37.03.2 The criteria are elaborated upon in [Article 13](#).

Article 38: Sessional Lecturers

38.01 Ranks

- 38.01.1 The ranks of Sessional Lecturers in ascending order are Sessional Lecturer I and Sessional Lecturer II.
- 38.01.2 A Sessional Lecturer shall normally be appointed at the rank of Sessional Lecturer I unless any of the conditions of [Article 38.01.3](#) applies.
- 38.01.3 A Sessional Lecturer shall be appointed at the rank of Sessional Lecturer II if they have not received a performance evaluation that is less than satisfactory within the past three (3) years, and any one of the following applies:
- (a) the individual has taught at least five (5) courses at the University within the past three (3) years;
 - (b) the individual was previously appointed at the rank of Sessional Lecturer II;
 - (c) the individual has held an appointment as a Faculty Member, Professional Librarian, Instructor or Academic Assistant; or
 - (d) the individual teaches more than one course in a semester, as in [Article 10.06.3](#).

38.02 Appointment of Sessional Lecturers (see also [Article 10](#))

38.02.1 The appointment of a Sessional Lecturer will take into account relevant experience, academic qualifications and, where appropriate, prior teaching effectiveness as determined through previous performance evaluations (under [Article 38.03](#), or at another university).

38.02.2 **Appointment Letter**

- (a) The duties of a Sessional Lecturer will be clearly identified by the Dean in a letter of appointment. The letter will:
 - (i) make reference to this Collective Agreement with its URL;
 - (ii) identify the starting and termination dates of the contract;
 - (iii) identify the rank and salary of the appointment;
 - (iv) identify the course(s) to be taught and any special requirements;
 - (v) specify a start date consistent with the Member acquiring Library privileges, computer network access, a University e-mail account and any other relevant services, in advance of the commencement of the semester or session;
 - (vi) provide information on compensation should the course be cancelled (see [Schedule A.03.2](#));
 - (vii) **direct the Member to the applicable reimbursement policy;**
 - (viii) **reference the article of the Collective Agreement under which the appointment is being made; and**
 - (ix) contain any other relevant information.

38.02.3 **Re-appointment**

- ~~(a) At the conclusion of a Sessional Lecturer appointment, the Sessional Lecturer may request in writing of the Dean who recommended the appointment that the Sessional Lecturer be considered for future Sessional Lecturer appointments to the same or similar semester courses, should there be a need for such an appointment. To ensure priority, such letters shall be accompanied by evidence of teaching effectiveness to be evaluated under [Article 38.03](#).~~
- ~~(b) Letters received under [Article 38.02.3\(a\)](#) shall be maintained on record by the Dean for a period of three (3) years from the expiry of the Sessional Lecturer appointment.~~
- ~~(c) Sessional Lecturers who have taught within the previous five (5) years shall be considered first for future Sessional Lecturer appointments to teach the same or similar~~

~~courses, if they have not received a performance evaluation that is less than satisfactory in previous Sessional Lecturer appointments within that period. Priority shall be given to such Sessional Lecturer who has taught the most courses that are the same or similar within those five (5) years. If that individual refuses the offer, the offer shall pass to the next person in the priority order. In the event of a tie, the choice of appointee within those individuals involved in the tie, rests with the Dean. If no one is available, the choice of appointee rests with the Dean.~~

- (a) At the conclusion of a second Sessional Lecturer appointment for the same or similar course, the Sessional Lecturer may request consideration for future Sessional Lecturer appointments for the same or similar courses with the same modality of instruction as at least one of their previous sessional appointments for the same or similar courses. The request:
 - (i) must be submitted to the Dean who made the appointment;
 - (ii) must be accompanied by evidence of teaching effectiveness as outlined in Article 38.03; and
 - (iii) may identify academic terms for which the Sessional Lecturer is not interested in future appointments.
- (b) Sessional Lecturers who have taught within the previous five (5) years and have not received a performance evaluation that is less than satisfactory performance in the previous five (5) years shall enjoy the following rights and privileges:
 - (i) a right of first refusal as outlined below;
 - (ii) to teach the same or similar courses on the same campus;
 - (iii) in exceptional circumstances a Sessional Lecturer may seek an exemption to the restriction outlined in (ii), which shall not be unreasonably denied;
 - (iv) without limiting the generality of (iii), exceptional circumstances may include the modality of instruction, residency, and matters arising from a protected ground of discrimination;
 - (v) priority to appointments shall be given to the Sessional Lecturer who has taught the most courses that are the same or similar within the previous five (5) years:
 - (vi) in the event of a tie under (v), the Dean shall have the discretion to make the appointment from those individuals involved in the tie;
 - (vii) a Sessional Lecturer who refuses three (3)

consecutive offers for different academic terms forfeits their right to first refusal, barring exceptional circumstances which shall not be unreasonably denied;

- (viii) without limiting the generality of (vii), exceptional circumstances may include matters arising from a protected ground of discrimination.
- (c) A Sessional Lecturer or Term appointee with rights under Article 10.04.6 or 10.05.8 whose contract includes the development of a course shall have priority for a Sessional Lecturer appointment involving the first delivery of the course they developed unless they waive this right in writing.
 - (i) The first satisfactory delivery of the course by the appointee will then be considered equivalent to having taught the course twice for purposes of priority.
- (d) Letters received under Article 38.02.3(a) shall be maintained on record by the Dean for a period of five (5) years from the expiry of the Sessional Lecturer appointment.
- (e) The Board will implement a procedure for the administration of this provision which shall include:
 - (i) Sessional Lecturers shall provide contact information which shall include an email address and, if they wish, text number;
 - (ii) Sessional Lecturers will be notified in order of priority of an applicable Sessional Lecturer appointment opportunity, by email or, if applicable, by text.
 - (iii) any notification shall prescribe a deadline for reply, which shall not be unreasonable having regard to all of the circumstances;
 - (iv) in the event a Sessional Lecturer does not respond within the time prescribed by the Board, the Board may proceed to the next eligible Sessional Lecturer; and
 - (v) in the event that a Sessional Lecturer is denied an opportunity to assert their right of first refusal due to an administrative error, they will be given first priority for the next Sessional Lecturer opportunity for the same or similar course.

38.02 Performance Evaluation (for Teaching Effectiveness)

- 38.02.1 It is a Member's responsibility to provide evidence of teaching effectiveness when seeking Re-appointment under [Article 38.02.3](#).
- 38.02.2 Effectiveness in teaching is elaborated upon in [Article 13](#). **When**

seeking reappointment under Article 38.02.3, evaluation of teaching effectiveness shall be multifaceted and, in particular, shall not be based primarily on any one method of evaluation.

- 38.02.3 Evidence of teaching effectiveness shall be submitted to the Dean within twenty (20) Working Days of the conclusion of the Sessional Lecturer appointment, should the Sessional Lecturer wish to be considered for future Re-appointment under [Article 38.02.3](#).
- 38.02.4 The Dean shall assign an evaluator to provide the Sessional Lecturer with a written assessment of the Sessional Lecturer's teaching effectiveness, based on the evidence provided in [Article 38.03.3](#). The Sessional Lecturer will also be provided with the compiled summary of any student appraisals in courses taught by the Sessional Lecturer.
- 38.02.5 If no assessment is received within thirty (30) Working Days of the Sessional Lecturer's submission under [Article 38.03.3](#), the Sessional Lecturer's teaching effectiveness shall be deemed to have been assessed as satisfactory.
- 38.02.6 A Sessional Lecturer who receives an evaluation that is less than satisfactory may request a re-evaluation by the Dean within five (5) Working Days of receipt of the evaluation. This request shall be in writing, and shall state the reason(s) for requesting the re-evaluation. The Dean's re-evaluation of performance is final.
- 38.02.7 If no re-evaluation is received within thirty (30) Working Days of a request for re-evaluation under [Article 38.03.6](#), the re-evaluation shall be deemed to have assessed the Sessional Lecturer's teaching effectiveness as satisfactory.
- 38.02.8 An unsatisfactory evaluation shall not be relied upon in any hiring decisions until the Sessional Lecturer's option to request a re-evaluation has expired, or an unsatisfactory re-evaluation has been completed.

38.03 Medical Leave

- 38.03.1 "Medical Leave" and "Medical Certificate" are defined in [Article 2](#).
- 38.03.2 A Sessional Lecturer may be absent for a Medical Leave for up to five (5) Working Days without a reduction in salary or benefits.

- 38.03.3 The Sessional Lecturer notifies the Department/Area Chair or immediate Supervisor of their absence as soon as possible with an estimate of its duration, and before the medical condition affects the execution of the Sessional Lecturer's duties where practicable. The Sessional Lecturer may provide a Medical Certificate to their Dean.
- 38.03.4 When a Sessional Lecturer has been absent for a Medical Leave for five (5) Working Days or if the Sessional Lecturer contemplates the Medical Leave will be for longer than five (5) Working Days they will provide a Medical Certificate to their Dean. The Dean shall advise the Wellness Department.
- 38.03.5 When the Sessional Lecturer has been absent for a Medical Leave for more than five (5) Working Days, they will be placed on Medical Leave without pay, until such time as they are able to return to work and resume duties.
- 38.03.6 Normally after ten (10) Working Days of Medical Leave there will be no resumption of the duties specified within the Sessional Lecturer's contract.

38.04 Leave for Special Circumstances

- 38.04.1 Upon application by the Sessional Lecturer and a recommendation of the Dean the Vice-President (Academic), on behalf of the Board, may award leave under circumstances which reasonably warrant it, not elsewhere specified in [Article 38.04](#). The rate of pay, if any, and the applicability of other Articles of this Collective Agreement shall be specified by the Vice-President (Academic) and accepted in writing by the Sessional Lecturer prior to the award of leave.

Article 39: Teaching-Stream Faculty Members

39.01 Scope

- 39.01.1 This Article creates a classification of Teaching-Stream Faculty Members. It outlines the ranks, appointment process, assignment of duties, award of tenure, and/or promotion for ranks of Teaching-Stream Faculty Members.
- 39.01.2 The right and privileges of Teaching-Stream Faculty Members shall be described herein. To the extent that is provision conflicts with any provision of the Collective Agreement, this Article shall prevail.

39.02 General Provisions

- 39.02.1 A Teaching-Stream Faculty Member is not eligible for study leave. The Dean may provide or, the Teaching Stream Faculty

Commented [A7]: Subject to placement as per ULFA on Nov 14th.

Member may request, a reassignment of duties with full or partial pay for the purpose of professional development.

~~39.02.2 The category of "Instructor" is not being eliminated but is amended and comprises a single unranked category of employment.~~

39.02.3 Appointees shall normally have a graduate degree and possess expertise required of the field of study and/or professional standards.

39.03 Ranks

39.03.1 The classification of Teaching-Stream Faculty Members shall be, in ascending order: Assistant Teaching Professor, Associate Teaching Professor, Teaching Professor.

- (a) An Assistant Teaching Professor shall possess a graduate degree in a relevant field of study at the time of appointment. An equivalent professional designation, or a lesser academic qualification plus appropriate experience may also be considered.
- (b) An Associate Teaching Professor shall possess a terminal degree in a relevant field of study at the time of appointment. An equivalent professional designation, or a lesser academic qualification plus appropriate experience may also be considered.
- (c) A Teaching Professor shall possess a terminal degree in a relevant field of study at the time of appointment. Under exceptional circumstances, an equivalent professional designation, or a lesser academic qualification plus appropriate experience may also be considered.
- (d) The Vice-President (Academic) on recommendation from a Dean may waive the minimum qualification for appointment of Teaching-Stream Faculty Member, in cases where the appointee holds equivalent, relevant experience.

39.04 Appointment, Probation and Tenure

39.04.1 Subject to Schedule WW, the appointment of Teaching-Stream Faculty Members shall be made in accordance with and governed by the provisions of Article 10.

39.04.2 An appointment may be made pursuant to the provisions of this Article to any Teaching-Stream rank.

39.04.3 Probationary appointments for Teaching-Stream Faculty Members are set out in Article 27.01 as applied to Faculty

Members.

- 39.04.4** A Teaching-Stream Faculty Member shall be eligible for tenure in accordance with Article 27.02 as applied to Faculty Members.

39.05 Duties, Responsibilities, and Rights

- 39.05.1** The primary duties of a Teaching-Stream Faculty Member shall be:

- (a) Teaching, and
- (b) Service to the University and Society

- 39.05.2** These duties may include Educational Leadership; Scholarship of Teaching and Learning; service to the University teaching community; contributions to local, provincial, national and/or international educational communities, including presentations, panels or workshops; participation in the development and/or implementation of educational policies, engagement in high quality curricular or evaluation practices; development of innovative teaching and/or assessment practices; and development of teaching materials (such as textbooks or lab manuals).

- 39.05.3** The Dean may approve an exceptional assignment of duties that would allow a portion of assigned duties to be reallocated. Examples of other duties may include:

- (a) Academic administration and leadership;
- (b) Community engagement primarily based on regular engagement with professional communities and/or communities of practice where such engagement leads to the enhancement of program delivery, student experience and/or the strategic objectives of the Faculty or unit;
- (c) Professional requirements, as appropriate, mainly with external institutions/organizations where meeting professional obligations is necessary for programs to remain current and relevant;
- (d) Scholarship of Teaching and Learning or Educational Leadership based on conducting or leading education initiatives and which may include the development of teaching resources reflective of best practices within a Faculty or unit; or
- (e) Other exceptional assignments of duties may be approved by the Dean.

39.05.4 Exceptional duties may be assigned by the Dean in consultation with the Faculty Member or may be requested in writing by the Faculty Member to the Dean. Exceptional assignment of duties are at the discretion of the Dean, and without limiting the generality of the foregoing, are subject to institutional and budgetary priorities.

39.05.5 The Dean shall determine the duration of the exceptional assignment of duties. Evaluations of such duties shall normally proceed under Articles 13 and 39.07; however, the Dean may require a different review schedule.

~~39.05.6 Unless otherwise specified in their assignment of duties, Teaching-Stream Faculty Members shall be engaged in, and available for, their assigned academic duties under Article 24 throughout the entire Academic Year subject to Article 17.~~

39.06 Performance Evaluation

39.06.1 The performance of Teaching-Stream Faculty Members shall be evaluated on:

- (a) Teaching Effectiveness in accordance with Article 13.01;
- (b) Service to the University and to Society in accordance with Article 13.04;
- (c) where applicable, exceptional duties under Article 39.06.4; and
- (d) where applicable, other assigned duties listed in their Position Description.

39.07 Promotion

39.07.1 The considerations for promotion of Teaching-Stream Faculty Members are set out in Article 28 as applied to Faculty Members.

- (a) To qualify for promotion to the rank of Associate Teaching Professor, a Teaching-Stream Faculty Member shall have established the effectiveness of their teaching over a period of years. In addition, they shall be expected to show advancements in those duties identified in Article 39.06.
- (b) To qualify for promotion to the rank of Teaching Professor, a Teaching-Stream Faculty Member shall have a lengthy and meritorious record of highly effective teaching and shall also have demonstrated meaningful service contributions to the University and to society. Letters from external referees shall be required. STP Committees shall scrutinize

recommendations for promotion to Teaching Professor with extreme care to ensure that the requirements have been met.

39.08 STP Committee

- 39.08.1 The STP process and composition of STP committees are set out in Articles 25 and 26.

Schedule WW: Introduction of Teaching-Stream Faculty Member Positions

Upon ratification of this Collective Agreement, the initial cohort of Teaching-Stream Faculty Members shall be established through the following procedures with reference to Article 39. After establishment of that cohort, STP Committees shall consider applications for promotion within the Teaching-Stream ranks according to Articles 25, 26, 28 and 39.

1. General Provisions

- 1.1 Instructors I, Academic Assistants, and those not meeting the criteria in Article 39 are not eligible for a Teaching-Stream Faculty Member position.
- 1.2 Instructors II/III who currently hold a Continuing Appointment and transition to a Teaching-Stream Faculty Member position shall automatically be granted tenure.
- 1.3 Any Instructors who hold a Continuing Appointment and do not transition to a Teaching-Stream Faculty Member position will have no change to their status as continuing employees.
- 1.4 Exceptionally, Probationary Instructors who do not yet hold a Continuing Appointment and who transition to the rank of a Teaching-Stream Faculty Member position shall be eligible for tenure on the same timeline they would have been eligible for Continuing Appointment outlined in the previous Collective Agreement and/or their appointment letter.
- 1.5 Anyone hired into a Teaching-Stream Faculty Member position after the ratification of this Collective Agreement shall be eligible for tenure in accordance with Article 27.02 as applied to Faculty Members.
- 1.6 Within twenty (20) Working Days of the ratification of this Collective Agreement, the Dean shall provide a letter to each Instructor II and III who meets the criteria outlined in clauses 2.1 and/or 3.1 that indicates that under the procedures of this Schedule and Article 39 that indicates the Member is:

Commented [A8]: It is the Boards position that this only applies to Continuing/Probationary Instructors, not Term appointments.

- 1.6.1 eligible to transition to the rank of Assistant Teaching Professor;
or
 - 1.6.2 eligible to apply for promotion to the rank of Associate Teaching Professor or Teaching Professor under the procedures of this Schedule and Article 39.
- 1.7 This notification to eligible Instructors II and III shall also include an explanation of the implications of promotion and salary, as per Schedule A.02.3 and A.02.4.
- 1.8 Any Instructor who does not qualify for transition or promotion to a Teaching-Stream Faculty Member position shall be notified by their Dean.
- 2 Procedures for Transitioning Instructors II/III to Assistant Teaching Professor
- 2.1 To be eligible for transition to Assistant Teaching Professor, an Instructor II/III:
- 2.1.1 must possess the required qualifications in the rank under Article 39, and
 - 2.1.2 normally must have at least 50% of all assigned duties in the past three (3) years include teaching as described in Article 21.03.1 and 21.03.2.
- 2.2 Within forty (40) Working Days of written notification of eligibility from the Dean, the Faculty Member must submit materials to the Dean for consideration if they wish to engage this process.
- 2.3 Within one hundred and twenty (120) Working Days of receipt of submitted materials, the Dean will review said materials and notify the Instructor II/III in writing whether they will transition to an Assistant Teaching Professor position.
- 2.4 Transition to an Assistant Teaching Professor will be effective July 1, 2026.
- 3 Procedures for Promoting Instructors II/III to Associate Teaching Professor or Teaching Professor
- 3.1 To be eligible for promotion to Associate Teaching Professor or Teaching Professor, an Instructor II/III:
- 3.1.1 Must possess the required qualifications in the rank under Article 39, and
 - 3.1.2 Normally a minimum of 50% of their assigned duties within the past three (3) years include teaching as described in Article 21.03.1 and 21.03.2.

- 3.2 For promotion to Associate Teaching Professor, a minimum of five (5) years of experience teaching at the post- secondary level as an Instructor II or III or equivalent rank.
- 3.3 For promotion to Teaching Professor, a minimum of ten (10) years of experience teaching at the post- secondary level as an Instructor II or III or equivalent rank
- 3.4 Within forty (40) Working Days of receiving the eligibility letter from the Dean, the Instructor II/III must submit materials for consideration by the STP committee **if they wish to engage this process.**
- 3.4.1 The Instructor II/III must indicate the rank of the Teaching-Stream Faculty Member they wish to be considered.
- 3.4.2 Materials should clearly indicate how the Instructor II/III has met the criteria outlined in Articles **39.9.1.1 and/or 39.9.1.2**
- 3.5 Within one hundred and twenty (120) Working Days of receipt of submitted materials, an Ad Hoc Salary, Tenure, and Promotion (STP) Committee will review said materials and notify in writing to the Member their appointment to the appropriate rank within the **Teaching-Stream Faculty.**
- 3.6 If the Member is not awarded the rank at which they applied to, they may **appeal the decision under Article 29. request a review of the decision by the Provost and Vice President (Academic). The decision of the Provost and Vice President (Academic) is final and binding.**
- 3.7 Promotion to Associate Teaching Professor or to Teaching Professor will be **effective July 1, 2027.**

Commented [A9]: Will change subject to placement

Commented [A10]: Subject to date of ratification, we estimate this proposed implementation would take 9 months, so have moved the date to 2027.

Schedule XX: Evaluation of Indigenous Members Guidelines

MEMORADUM OF UNDERSTANDING

BETWEEN

THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE

("the Board")

AND

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

("ULFA")

(Collectively referred to as the "Parties")

On the Establishment of a Working Group to Develop a Guide Relating to
the Evaluation of Indigenous Members in the STP and PAR Evaluations

[Date]

WHEREAS the Joint Committee on EDI (JCEDI), which is established under Article 12.01.3, conducted research and submitted a report on "JCEDI Recommendations on the STP and PAR evaluation of Indigenous Teaching and Research";

AND WHEREAS the JCEDI submitted this report to inform collective negotiations;

AND WHEREAS the report includes a recommendation that a committee be established, "ideally with substantial Indigenous representation, to produce a guide that will assist in the application of these recommendations in STP and PAR evaluations".

NOW THEREFORE the Parties agree to establish a working group (the "Working Group") to establish a Guiding Principles document relating to the evaluation of Indigenous Members in the STP and PAR evaluations as follows:

1. The Working Group shall be established within sixty (60) Working Days of the ratification of the agreement.
2. The Working Group shall be composed of two (2) Members appointed by the Association and two (2) representatives appointed by the Board, unless otherwise agreed. Both Parties shall consider the recommendation to include substantial representation from the Blackfoot and other Indigenous peoples. ~~Indigenous representation on the Working Group.~~
3. The Working Group shall determine its own process and procedures. The Working Group shall meet within one (1) month of their appointment and at the first meeting shall determine the schedule for future meetings.

4. The Working Group shall review the “JCEDI Recommendations on the STP and PAR evaluation of Indigenous Teaching and Research” report and may conduct their own additional research and consultations.
5. The Working Group will develop a Guiding Principles document to train and support anyone conducting evaluations of Members’ work or making recommendations about such evaluations. This Guiding Principles document will support Article 23.04 “Evaluation of Indigenous Members’ Work”.

Section 5: Schedules

Schedule A: Salary Schedules and Stipends

A.01 Salary Schedules and Stipends (July 1, 2024 to June 30, 2028)

	Years 1 and 2 Effective July 1, 2024 to June 30, 2025	Minimum	Maximum	Career Progress Increment per Member	Merit Pool Contribution per Member
A.01.1	FACULTY MEMBERS				
	Assistant Professor	\$79,132 \$81,506	-	\$2,600	\$625
	Associate Professor	\$96,089 \$98,972	-	\$2,600	\$625
	Professor	\$113,046 \$116,437	-	\$2,600	\$625
A.01.2	TEACHING-STREAM FACULTY MEMBERS				
	Assistant Teaching Professor	\$66,950	\$118,876	\$2,300	\$625
	Associate Teaching Professor	\$72,100	\$126,433	\$2,300	\$625
	Teaching Professor	\$77,250	\$133,996	\$2,300	\$625
A.01.3	PROFESSIONAL LIBRARIANS				
	Librarian Grade II	\$79,132 \$81,506	-	\$2,600	\$625
	Librarian Grade III	\$96,089 \$98,972	-	\$2,600	\$625
	Librarian Grade IV	\$113,046 \$116,437	-	\$2,600	\$625
A.01.4	INSTRUCTORS/ACADEMIC ASSISTANTS				
	Instructor I/Academic Assistant I	\$54,468 \$60,000	\$115,414 \$118,876	\$2,175	\$2,800 \$625
	Instructor II/Academic Assistant II	\$59,606 \$61,394	\$122,750 \$126,433	\$2,175	\$2,800 \$625
	Instructor III/Academic Assistant III	\$64,745 \$66,409	\$130,093 \$133,996	\$2,175	\$2,800 \$625
A.01.5	SESSIONAL LECTURERS	Minimum Stipend per course			
	Sessional Lecturer I	\$6,709 \$6,910	-	-	-
	Sessional Lecturer II	\$7,020 \$7,231	-	-	-

Commented [A11]: This (extending Career Progress to TP and Instructors) is contingent on an acceptable resolution on Article 24 that does not exacerbate any current issues as reported by the Board Bargaining Team.

Commented [A12]: This is calculated as starting at a base of \$65K, \$70K, and \$75K then adding the 3% COLA increase to that base.

Commented [A13]: Floor was determined to be out of market and 3% adjustment did not bring it to market, so first year adjustment is made to \$60,000.

Commented [A14]: This (extending Career Progress to TP and Instructors) is contingent on an acceptable resolution on Article 24 that does not exacerbate any current issues as reported by the Board Bargaining Team.

	Effective July 1, 2025 to June 30, 2026	Minimum	Maximum	Career Progress Increment per Member	Merit Pool Contribution per Member
A.01.1	FACULTY MEMBERS				
	Assistant Professor	\$83,951	-	\$2,600	\$625
	Associate Professor	\$101,941	-	\$2,600	\$625
	Professor	\$119,930	-	\$2,600	\$625
A01.2	TEACHING-STREAM FACULTY MEMBERS				
	Assistant Teaching Professor	\$68,959	\$122,442	\$2,300	\$625
	Associate Teaching Professor	\$74,263	\$130,226	\$2,300	\$625
	Teaching Professor	\$79,568	\$138,016	\$2,300	\$625
A.01.3	PROFESSIONAL LIBRARIANS				
	Librarian Grade II	\$83,951	-	\$2,600	\$625
	Librarian Grade III	\$101,941	-	\$2,600	\$625
	Librarian Grade IV	\$119,930	-	\$2,600	\$625
A.01.4	INSTRUCTORS/ACADEMIC ASSISTANTS				
	Instructor I/Academic Assistant I	\$61,800	\$122,442	\$2,175	\$625
	Instructor II/Academic Assistant II	\$63,286	\$130,226	\$2,175	\$625
	Instructor III/Academic Assistant III	\$68,401	\$138,016	\$2,175	\$625
A.01.5	SESSIONAL LECTURERS	Minimum Stipend per course			
	Sessional Lecturer I	\$7,117	-	-	-
	Sessional Lecturer II	\$7,448	-	-	-

	Effective July 1, 2026 to June 30, 2027	Minimum	Maximum	Career Progress Increment per Member	Merit Pool Contribution per Member
A.01.1	FACULTY MEMBERS				
	Assistant Professor	\$86,470	-	\$2,600	\$625
	Associate Professor	\$104,999	-	\$2,600	\$625
	Professor	\$123,528	-	\$2,600	\$625
A01.2	TEACHING-STREAM FACULTY MEMBERS				
	Assistant Teaching Professor	\$71,028	\$126,115	\$2,300	\$625
	Associate Teaching Professor	\$76,491	\$134,133	\$2,300	\$625
	Teaching Professor	\$81,955	\$142,156	\$2,300	\$625
A.01.3	PROFESSIONAL LIBRARIANS				
	Librarian Grade II	\$86,470	-	\$2,600	\$625
	Librarian Grade III	\$104,999	-	\$2,600	\$625
	Librarian Grade IV	\$123,528	-	\$2,600	\$625
A.01.4	INSTRUCTORS/ACADEMIC ASSISTANTS				
	Instructor/Academic Assistant I	\$63,654	\$126,115	\$2,175	\$625
	Instructor/Academic Assistant II	\$65,185	\$134,133	\$2,175	\$625
	Instructor/Academic Assistant III	\$70,453	\$142,156	\$2,175	\$625
A.01.5	SESSIONAL LECTURERS	Minimum Stipend per course			
	Sessional Lecturer I	\$7,331	-	-	-
	Sessional Lecturer II	\$7,671	-	-	-

	Effective July 1, 2027 to June 30, 2028	Minimum	Maximum	Career Progress Increment per Member	Merit Pool Contribution per Member
A.01.1	FACULTY MEMBERS				
	Assistant Professor	\$89,064	-	\$2,600	\$625
	Associate Professor	\$108,149	-	\$2,600	\$625
	Professor	\$127,234	-	\$2,600	\$625
A.01.2	TEACHING-STREAM FACULTY MEMBERS				
	Assistant Teaching Professor	\$73,159	\$129,898	\$2,300	\$625
	Associate Teaching Professor	\$78,786	\$138,157	\$2,300	\$625
	Teaching Professor	\$84,414	\$147,421	\$2,300	\$625
A.01.3	PROFESSIONAL LIBRARIANS				
	Librarian Grade II	\$89,064	-	\$2,600	\$625
	Librarian Grade III	\$108,149	-	\$2,600	\$625
	Librarian Grade IV	\$127,234	-	\$2,600	\$625
A.01.4	INSTRUCTORS/ACADEMIC ASSISTANTS				
	Instructor/Academic Assistant I	\$65,564	\$129,898	\$2,175	\$625
	Instructor/Academic Assistant II	\$67,141	\$138,157	\$2,175	\$625
	Instructor/Academic Assistant III	\$72,567	\$146,421	\$2,175	\$625
A.01.5	SESSIONAL LECTURERS	Minimum Stipend per course			
	Sessional Lecturer I	\$7,551	-	-	-
	Sessional Lecturer II	\$7,901	-	-	-

- A.01.6 When a Cost of Living Adjustment (COLA) increase is applied to a Member's salary as per [Schedule A.02](#), salary range ceilings, ~~and~~ floors, ~~and sessional lecturer stipends~~ shall be increased by the adjustments calculated as per [Schedule A.02](#). For clarity, these increases are included in the [A.01](#) Salary Schedules tables above.
- (a) ~~Effective July 1, 2024, the floor for the Instructor rank in A.01.4 in the first year of this Agreement (July 1, 2024 through June 30, 2025) is adjusted in excess of the 3% set out in Schedule A.01.5 to \$60,000. All subsequent adjustments of 3% shall be applied from that starting base minimum.~~
- A.01.7 When a salary schedule adjustment is authorized, each Member whose salary, after the addition of any applicable salary increments, is less than the newly established minimum salary for their rank, shall receive an increase to this minimum salary.
- ~~A.01.8 Effective July 1st, 2022, the salary range floor for Librarian-Grade II, Librarian-Grade III, Assistant Professors, and Associate Professors shall be increased by 10% (ten percent). These increases are reflected in the [A.01](#) Salary Schedules tables above.~~
- ~~A.01.9 Effective July 1st, 2022, the Sessional Lecturer minimum stipend shall be increased by 8% (eight percent). This increase is reflected in the [A.01](#) Salary Schedules tables above.~~
- A.01.10 The rate for Sessional Lecturer course stipends will be at least the minimum stipend exclusive of vacation pay, holiday pay and other statutory benefits, the exact amount to be negotiated by the Dean and the Sessional Lecturer.
- ~~A.01.11 For each year in which an Instructor/Academic Assistant receives a merit score that would result in a salary increase that exceeds the maximum amount for their rank, the Board will pay the Instructor/Academic Assistant a non-base, non-recurring merit amount calculated in the following way. After merit increments have been paid out from the merit pools under [Articles 24.06.1\(b\)](#) and [24.06.2\(c\)](#), any residual amount remaining in the merit pools shall be paid out to the Members identified in [Article 24.06.2\(b\)](#) in proportion to each Instructor/Academic Assistant's performance rating.~~

A.02 Cost of Living Adjustment (COLA) and other Salary Adjustments

- A.02.1 Effective on the dates indicated in [A.02.1\(a\) through \(d\)](#), ~~for the period July 1st, 2020 to June 30th, 2024,~~ any COLA increase provided for in this Schedule will be applied to each Member's prior day salary. ~~Any increase retroactive to December 1st, 2023 will be applied to each Member's November 30th, 2023 salary.~~

- (a) July 1, 2024 COLA increase of 3%
 - (b) July 1, 2025 COLA increase of 3%
 - (c) July 1, 2026 COLA increase of 3%
 - (d) July 1, 2027 COLA increase of 3%
- A.02.2 Any retroactive payments required as a result of this Schedule shall be made only to current Members as of the date of payment.
- A.02.3 Any Member who moves from an Instructor rank to a Teaching-Stream Faculty rank pursuant to Schedule WW and has a salary that is above the maximum ceiling set out in Schedule A.01.2 shall not incur any reduction in salary.
- A.02.4 Any Member shall also maintain the maximum ceiling available under the previous Instructor appointment, including any increases to that salary range ceiling, until such time as the new Teaching-Stream Faculty appointment salary range exceeds the Member's previous Instructor rank appointment salary range. This provision is only applicable for those Members who transition to the Teaching-Stream Faculty pursuant to Schedule WW.
- A.02.5 ~~Effective July 1st, 2022, an increase of 2% (two percent) will be applied to each Assistant Professor/Professional Librarian-II's prior June 30th salary. This shall include any Member who is awarded a promotion to Associate Professor/Professional Librarian-III in 2022. This increase shall apply after the increase set out in Schedule A.01.7.~~
- A.02.6 ~~Effective April 1st, 2023, an increase of 1.25% will be applied to each Faculty Member, Professional Librarian, and Instructor/Academic Assistant's March 31st, 2023 salary.~~
- A.02.7 ~~Effective December 1st, 2023, an increase of 1.5% will be applied to each Faculty Member, Professional Librarian, and Instructor/Academic Assistant's November 30th, 2023 salary.~~
- A.02.8 ~~In February 2024, if the "Average of all Private Forecasts for Alberta's Real GDP" for the 2023 calendar year is at or above 2.7%, an additional increase of 0.5% will be applied to each Member's prior November 30th salary, retroactive to December 1st, 2023. The retroactive portion of this increase shall be payable on February 29th, 2024.~~
- (a) ~~"Average of all Private Forecasts for Alberta's Real GDP" for the 2023 calendar year is a simple average of Alberta's Real GDP for 2023 across the following independent forecasting institutions: Conference Board of Canada, Stokes Economics, BMO Capital Markets, CIBC World Markets, Laurentian Bank, National Bank, RBC Royal Bank,~~

~~Scotiabank, and TD Bank.~~

- A.02.9 Any COLA applied on July 1st in any given year will be applied prior to any career progress or merit increment.

A.03 Stipends

A.03.1 Stipend for Article 22 Courses

- (a) Minimum of \$5,200 per semester course.
- (b) Travel time allowance of \$10.00 per hour of travel time required to reach destination and return to campus.
- (c) Ten percent (10%) of a stipend is paid to the Member in the event the course or program is cancelled.

A.03.2 Sessional Lecturer Stipends in the Event of Course Cancellation

- (a) Ten per cent (10%) of the stipend agreed upon and declared within the letter of appointment shall be paid to the Sessional Lecturer in the event the course is cancelled.

A.04 Part Time

- A.04.1 Salary shall be proportional to the salary which would have been paid had the Member been employed on a full load basis.

- A.04.2 The award or increment awarded to the Member in accordance with Article 23 and 24 shall be proportional to the Member's full time equivalent (FTE). The prorated award or increment shall be added to the actual salary of the Member. In the case of a Member who accumulates Academic Career Years, (1) full Academic Career Year is accumulated for the year in which an award or increment is awarded.

- A.04.3 This provision applies to all Members whose FTE is less than 1.0, regardless of the reason for being employed on a part-time basis.

A.05 Career Progress Increments

- A.05.1 Effective June 30th of each year for the period July 1st, 2024 to June 30th, 2028, a career progress increment will be applied to each Faculty Member's Professional Librarian's (excluding Sessional Lecturers) salary in accordance with Article 24.08. Any COLA will be applied to the Faculty Member's Professional Librarian's (excluding Sessional Lecturers) prior June 30th salary before the career progress increment is applied.

- A.05.2 In the event that negotiations to renew this Collective Agreement have not concluded by June 30th, 2025 a career progress increment will be applied to each Faculty Member's Professional Librarian's (excluding Sessional Lecturers) prior June 30th salary before the career progress increment is applied.

~~Librarian's~~ (excluding Sessional Lecturers) salary in accordance with [Article 24.08](#), in consideration that such career progress increment relates to performance for the evaluation period up to and including June 30th, 2028~~4~~. No further career progress increments nor COLA will be applied to such Members' salaries at such time or thereafter under the terms of this Collective Agreement, these being matters for negotiation after this Collective Agreement expires.

A.06 Merit ~~Awards~~ Increments

- A.06.1 Effective June 30th of each year for the period July 1st, 2024~~0~~ to June 30th, 2028~~4~~, a merit ~~award increment~~ will be applied to each ~~Faculty~~ Member's ~~Professional Librarian's (excluding Sessional Lecturers)~~ salary in accordance with [Article 24.05.1](#); ~~and to each Instructor's/Academic Assistant's salary in accordance with Article 24.05.2 and Schedule A.01.10~~. Any COLA will be applied to the Member's prior June 30th salary before the merit ~~award increment~~ is applied.
- A.06.2 In the event that negotiations to renew this Collective Agreement have not concluded by June 30th, 2029~~5~~ a merit ~~award increment~~ will be applied to each ~~Faculty~~ Member's ~~Professional Librarian's (excluding Sessional Lecturers)~~ salary in accordance with [Article 24.05.1](#); ~~and to each Instructor's/Academic Assistant's salary in accordance with Article 24.05.2 and Schedule A.01.10~~, in consideration that such merit ~~award increment~~ relates to performance for the evaluation period up to and including June 30th, 2028~~4~~. No further merit ~~award increment~~ nor COLA will be applied to such Members' salaries at such time or thereafter under the terms of this Collective Agreement, these being matters for negotiation after this Collective Agreement expires.

A.07 COLA, Career Progress Increments and Merit ~~Awards~~ Increments Applicable to Term of Agreement Only

- A.07.1 For clarity, entitlement to adjustments to salary through COLA as set out in this Schedule shall not extend beyond the terms of this Collective Agreement so as to give rise to further COLA to any Member's salary after June 30th, 2028~~4~~.
- A.07.2 For clarity, entitlements to adjustments to salary identified in [Schedule A.05.2](#) and [Schedule A.06.2](#) through career progress increments and merit ~~awards increments~~ are made in recognition that the evaluation of performance for such increments ~~and awards~~ relates to duties performed during the term of this Collective Agreement, from July 1st, 2024~~0~~ to June 30th, 2028~~4~~.

Schedule B: Economic Benefits

B.01 [Schedule B](#) shall be effective from July 1st, 2024~~9~~ to June 30th, 2028~~4~~, unless noted otherwise. The following shall also apply:

B.01.1 All Schedule B provisions apply only to Faculty Members, Professional Librarians, and Instructors/Academic Assistants, subject to the provisions of Schedule B.01.2, with the exception of Schedule B.16 which applies only to Sessional Lecturers. References to Member in this Schedule are subject to this provision.

~~B.01.2 Schedule B.04.2(d) applies to all Members;~~

~~B.01.3 Schedules B.16 and B.17 apply only to Sessional Lecturers.~~

B.01.2 With respect to Term appointments:

- (a) regarding dental coverage, a Member who holds a Term appointment shall be eligible only for basic dental coverage until they shall have completed three (3) consecutive years of service at the University [under a Term appointment](#);
- (b) a Member who holds a Term appointment shall be eligible to apply for Long Term Disability Insurance only for the length of that term until they have completed three (3) [consecutive](#) years of service at the University [under a Term appointment](#); and
- (c) after a Member who holds a Term appointment shall have completed three (3) [consecutive](#) years of service at the University [under a Term appointment](#), they shall be eligible for full dental and Long Term Disability Insurance coverage as provided in [Schedule B](#).

~~B.01.3 All other Schedule B provisions apply only to Faculty Members, Professional Librarians, and Instructors/Academic Assistants.~~

B.02 Academic Pension Plan

B.02.2 The provisions of the Employment Pensions Plan Act and Regulations shall apply to Members.

B.02.3 Members are subject to the provisions outlined in the "Plan Document" Universities Academic Pension Plan (UAPP).

B.03 Long Term Disability (LTD) Insurance

B.03.1 Members shall pay all Long Term Disability Insurance premiums.

B.03.2 Long Term Disability Insurance is available to Members up to Age 65.

- B.03.3 ~~The provisions of the~~ **No material changes to the** Long Term Disability Insurance Plan shall ~~not be altered made~~ except by the mutual consent of the parties.
- B.03.4 While on approved Long Term Disability, responsibility for the payment of premiums and pension contributions is the following:
- (a) For the first two cumulative years on LTD, same illness:
 - (i) the Member is responsible for the employee portion of UAPP contributions and any additional costs as identified in [Schedule B.04.3](#).
 - (ii) the University is responsible for the employer portion of UAPP contributions and benefit premium costs as identified in [Schedule B.04.1](#).
 - (b) Thereafter, for any remaining period on LTD, same illness:
 - (i) the Member is responsible for the employee portion of UAPP contributions.
 - (ii) the University is responsible for the employer portion of UAPP contributions and benefit premium costs as identified in [Schedules B.04.1](#) and [B.04.3](#).

B.04 Basic Life Insurance

- B.04.1 **The Board shall obtain a \$100,000 of group life insurance policy for each Member.**
- B.04.2 **The Board shall pay 63% of the premium amount associated with the life insurance policy provided under Schedule B.04.1.**
- B.04.3 **The Member shall pay the remaining 37% of the premium amount associated with the life insurance policy provided under Schedule B.04.1.**

B.05 Benefit Rates and Premiums

- B.05.1 **The Board provides these benefits in Schedule B through a combination of insured and self-insured arrangements. Any reference to premiums in this Schedule is the amount the University determines is required to meet the needs of the benefit plans herein, including administrative and consulting fees required to administer the plans charged by third parties.**
- B.05.2 **The Board shall pay 80% of the premium for Extended Health Benefits, Dental Care Benefits (based on the Alberta Blue Cross current fee guide), and the Vision Care plan.**
- B.05.3 **The Member shall pay for 20% of the premium for Extended Health Benefits, the Dental Care Benefits (based on the Alberta**

Blue Cross current fee guide), and the Vision Care plan.

B.05.4 The Board shall pay 100% of the premium for the Employee and Family Assistance Plan (EFAP).

B.05.5 ~~The Board shall pay up to a maximum per month per Member of the total premium costs of the benefits as identified in Schedule B.04.2 as follows:~~

	Effective Date	Single	Family
Continuing Members or Term Members with three (3) or more consecutive years of service	Jan 1, 2018	\$129.88	\$298.90
Continuing Members with less than three (3) or more consecutive years of service	Jan 1, 2018	\$116.44	\$257.70

B.05.6 Schedule B provision shall include the following: ~~The total premium costs of the benefits paid by the Board, up to the maximums identified in Schedule B.04.1 will be allocated in the following order:~~

- (a) Extended Health Benefits
- (b) Dental Care Benefits, based on 2022 the current Alberta Blue Cross Dental Fee Guide
- (c) Vision Care Plan
- (d) Employee and Family Assistance Program (EFAP)
- (e) ~~Basic Life Insurance (The Board shall pay for \$63,000 of coverage for all Members)~~

B.05.7 ~~The Member is responsible for the premium costs of the benefits in Schedule B.04.2 beyond the maximum paid by the Board as identified in Schedule B.04.1.~~

B.05.8 If a Member declines a particular benefit identified in Schedule B.04.2, the premium for that benefit shall be retained by the Board.

B.05.9 If a Member who holds a Term appointment is less than one half (0.5) annual FTE, the Board will pay directly to the Member the amount equal to the 80% as set out in B.05.2 ~~the equivalent of the benefit premiums~~ in lieu of benefits based on the Term ~~Faculty~~ Member's single rate.

B.06 Flexible Spending Account Plan

B.06.1 Faculty Members, Professional Librarians, and Instructors/Academic Assistants, excluding ineligible Term appointees and Sessional Lecturers, shall be entitled to a flexible spending account plan of \$250 per calendar year.

B.06.2 Once per year, on a date determined by the program administrators, each eligible member as per [Schedule B.05.1](#), shall elect to allocate these funds between health spending (non-taxable) and wellness spending (taxable).

B.06.3 ~~This benefit commences on March 21st, 2022. For the 2022 calendar year, the amount in Schedule B.06.1 above shall be pro-rated accordingly.~~

B.06.4 The annual amount in [Schedule B.05.1](#) above is pro-rated for each eligible member based upon the number of months served in a calendar year.

B.07 Benefits for Members Age 65 and Over

B.07.1 Members will be eligible for Extended Health Benefits, Dental Benefits, and Vision Care Benefits as may be set out in the Plan Documents.

B.07.2 Members will be eligible for Group Term Life Insurance to age seventy (70) as set out in the Plan Documents.

B.07.3 Members, who have Voluntary Optional Life Insurance, will cease to have Voluntary Optional Life Insurance the first of the month following the seventieth (70th) birthday, as set out in the Plan Documents.

B.07.4 Members who become ill during the term, but whose medical leave entitlement is insufficient to take them to the end of term, are eligible for Leave Without Pay (LWOP) following medical leave to the end of the academic term in which the Member commenced the LWOP.

B.07.5 Long Term Disability Insurance is not available for Members age sixty-five (65) and over.

B.08 Professional Supplement

B.08.1 The Professional Supplement shall be provided annually on or around July 1st as follows:

	Per Member per Year (annual allocation prorated as per Schedules B.07.4 and B.07.5)	Maximum Accumulated Balance per member as of July 1st (maximum prorated as per Schedules B.07.4 and B.07.5)
Research-Stream Faculty Members/professional Librarians	\$2,000	\$8,000
Teaching-Stream Faculty Members/Instructors/Academic Assistants	\$1,600	\$6,400

- B.08.2** If the given year's entitlement would put a Member's Professional Supplement balance over the maximum accumulated balance, only the amount required to bring the balance to the maximum accumulated balance will be added.
- B.08.3** ~~Effective July 1st, 2014,~~ No funds will be added to or subtracted from any Professional Supplement balance that is already over the maximum accumulated balance as of June 30th.
- B.08.4** Per Member entitlement for Professional Supplement is prorated on the basis of the number of months served in the Academic Year.
- B.08.5** Members who are: (a) Part Time; (b) less than full-~~time load~~-equivalent; (c) on a Gradual Retirement Plan; or (d) on Reduced Load Status, receive Professional Supplement proportional to what they would have received had they been employed on a full load basis, so long as their full-load equivalent is at least 0.5.
- B.08.6** Members, **including those with Term appointments**, holding ~~an~~ ~~(a) a Term appointment; or (b) a less than full-load equivalent~~ appointment of less than 0.5 annual full- ~~time load~~ equivalent, are not entitled to Professional Supplement.
- B.08.7** Procedures for use of the Professional Supplement are outlined in the University's Professional Supplement Policy.
- B.08.8** For Members whose appointment terminated during the year, any unused balance, in accordance with [Schedule B.07.4](#) for the number of months served in the Academic Year, will be allocated to **the Travel Fund (Article 30) and the Research Fund (Article 31)** ~~equally eligible Members on record~~ as of September 30th of each year.

B.09 Member's Tuition Benefit

- B.09.1** The Board shall allow each Member a waiver of one hundred per cent (100%) tuition ~~and student administrative fees~~ for undergraduate credit courses or up to a maximum of the Canadian undergraduate course fee for graduate credit courses at the University, up to a maximum of 15 credit hours per semester. Co-operative education courses will qualify for the tuition fee waiver.
- B.09.2** **The Board shall allow each Member a waiver of One Hundred per cent (100%) tuition for the auditing of the undergraduate credit courses at the University, up to a maximum of 15 credit hours per semester. All tuition being waived as a result of a Member auditing a course or courses shall be a taxable benefit.**
- B.09.3** **Members who are: (a) Part Time; (b) less than full-time equivalent; (c) on a Gradual Retirement Plan; or (d) on Reduced Load Status, receive this tuition benefit proportional to what they would have received had they been employed on a full-time**

basis, so long as their full-time equivalent is at least 0.5.

B.09.4 ~~An administration fee of \$15.00 per semester course shall be charged to Members, to a maximum of \$45.00 per Member per semester.~~

B.09.5 Normal regulations on tuition and other course fee payments and deadlines will apply.

B.09.6 All applications for the tuition benefit must be received before the final day of application as set out below in order to be considered. Interest may begin to accrue on outstanding tuition and other course fee payments if not paid by the deadline to avoid interest set out below:

Academic Term	Deadline to Avoid Interest	Final Day for Application
Fall	September 15	December 15
Winter	January 15	April 15
Summer Sessions	May 15	August 15
Summer Session II or II courses added after June 1	15 days before interest accrual date	August 15

B.09.7 The taxation of the tuition benefit shall be in accordance with Canada Revenue Agency regulations.

B.10 Spouse and Dependent's Tuition Benefit

B.10.1 The spouse and dependents of a Member shall be entitled to a fifty percent (50%) reduction in tuition fees, ~~including the student administrative fee~~, charged for a Canadian undergraduate credit course fee or up to a maximum of fifty percent (50%) of a Canadian undergraduate course fee for graduate credit courses at the University, up to a maximum of fifteen (15) credit hours per student per semester. Where both parents are Members the tuition waiver for a dependent is additive to a maximum of one hundred percent (100%), depending upon any proration for less than full-load equivalent Members. Co-operative education courses will qualify for the **Spouse and Dependent's** Tuition Benefit.

B.10.2 ~~An administration fee of \$15.00 per semester course shall be charged to the student, to a maximum of \$45.00 per student per semester.~~

B.10.3 The spouse and dependents of a Member with no less than one (1) year of service, who dies while employed by the University shall be entitled to a waiver of one hundred percent (100%) of the tuition.

~~including the student administrative fee,~~ for a Canadian undergraduate credit course fee or up to a maximum of a Canadian undergraduate course fee for graduate credit courses undertaken at the University for a period of four (4) years following the death of the Member, up to a maximum of fifteen (15) credit hours per student per semester.

- B.10.4** The spouse and dependents of a Member shall be entitled to the provisions of the tuition benefit on the same basis as for other Economic Benefits in [Schedule B](#). The definition of spouse and dependent shall be consistent with the definition used for entitlement to the Extended Health and Dental Plans. The tuition benefit is prorated for Members less than one (1.0) full-load equivalent to the full-load equivalent status of the Member at the start of the applicable semester in which the tuition benefit will be applied.
- B.10.5** Normal regulations on tuition and other course fee payments and deadlines will apply.
- B.10.6** All applications for the tuition benefit must be received before the final day of application as set out below in order to be considered. Interest may begin to accrue on outstanding tuition and other course fee payments if not paid by the deadline to avoid interest set out below:

Academic Term	Deadline to Avoid Interest	Final Day for Application
Fall	September 15	December 15
Winter	January 15	April 15
Summer Sessions	May 15	August 15
Summer Session II or II courses added after June 1	15 days before interest accrual date	August 15

- B.10.7** The taxation of the tuition benefit shall be in accordance with Canada Revenue Agency regulations.

B.10 Study, Research, Retraining, or Upgrading Leave Relocation Grants

- B.10.1** Members awarded study, research, retraining, or upgrading leave are eligible to apply for leave relocation grants to cover costs of relocation of family and personal effects from the domicile near a University campus to the domicile(s) where the leave is to be spent, and return.

B.11 Relocation Allowance

- B.11.1 An appointee is eligible for a relocation allowance, from the last place of domicile to a domicile near a University campus, to a maximum of twelve thousand dollars (\$12,000.00).
- B.11.2 Relocation allowances are subject to the University Relocation Allowance Regulation, which shall be referred to in the provisional offer of appointment for eligible appointees. Members are eligible for reimbursement under the Regulation upon presentation to the University of an account of expenses and original receipts.

B.12 Workers' Compensation Supplement

- B.12.1 In the event of a time-loss injury sustained in the course of employment at the University, a Member continues to receive salary and benefits from the University under the following conditions:
 - (a) The Member notifies the Dean and Human Resources as soon as possible, and
 - (b) The injury is accepted by Workers' Compensation Board (WCB) as a claim.
- B.12.2 The maximum duration of the WCB supplement is the first of the following:
 - (a) the number of Medical Leave days available to the Member ([Article 32.02](#)) at the time the injury occurred; or
 - (b) the end of a Term appointment.
- B.12.3 Payments from WCB related to this injury are remitted to the University.

B.13 EI Rebate

- B.13.1 The Board will distribute the EI Rebate to Members through professional supplement.
- B.13.2 The employee's portion of the EI Rebate for Members from the previous Academic Year, as calculated by Human Resources, is distributed annually to eligible Members, as per [Schedule B.07](#), on record as of September 30th.

~~B.13.3 — For the purposes of this Article, 'Academic Year' means July 1st to June 30th.~~

B.14 Strike or Lockout

- B.14.1 In the event of a strike or lockout, benefit coverage under [Schedule B.03, B.04, B.05, B.06, B.07, B.15, and B.16](#) of this [Schedule Article](#) will be continued (subject to the carrier conditions) and the Association agrees to reimburse the University for **all costs incurred, including but not limited to** the full premium **and**

deposit rate costs (both the Board's and Members' premiums **and deposit rates**) during the strike or lockout.

- B.14.2 **Any benefits not specifically set out in Schedule B.14.1 would cease to apply during a strike or lockout, unless the Association advises the Board in writing that they wish to continue the remaining benefits and incur all associated costs or payments.**
- B.14.3 **Notwithstanding s. 130 of the Code or any successor provision, Schedule B.14 will remain in force and effect upon the termination of this agreement.**

B.15 Death Benefit

- B.15.1 In the event of the death of a Member other than a Sessional Lecturer, the Board shall pay that portion of the monthly salary earned up to the date of death to the deceased Member's estate. In addition, the Board shall provide a Supplementary Death Benefit that is equal to one-sixth (1/6) of the Member's annual salary in effect at the time of death.
- B.15.2 Such payment to a Member other than a Sessional Lecturer is in full settlement of salary and vacation entitlement to the date of death and shall be paid in accordance with the following:
 - (a) For the purposes of the Supplementary Death Benefit, "salary" is deemed to be the Member's gross basic pay for the performance of the regular duties of employment, excluding any expense allowance, overtime payment, special remuneration, or other similar compensation.
 - (b) Where the Member has designated a beneficiary, the Board shall pay the Supplementary Death Benefit to the deceased Member's designated beneficiary. For the purposes of this provision, and unless otherwise designated by the Member, the beneficiary shall be the designated beneficiary named by the Member under the University Basic Term Life Insurance Plan.
 - (c) Where the Member has not designated a beneficiary, the Board shall pay the Supplementary Death Benefit to the deceased Member's estate.

B.16 Sessional Lecturers

- B.16.1 **The Board shall pay 100% of the premium associated with the Employee and Family Assistance Program (EFAP) for Sessional Lecturers. Sessional Lecturers shall be enrolled in a single member plan for this benefit.**
- B.16.2 In the event of the death of a Sessional Lecturer, the Board shall pay to the Member's estate a death benefit equivalent in value to the Member's salary for the month in which the Member dies plus one additional month's salary regardless of the month in which the

Sessional Lecturer dies.

- B.16.3** Such payment to a Sessional Lecturer is in full settlement of salary and vacation entitlement to the date of death.

Schedule C: Assignment of Copyright

ASSIGNMENT made this _____ day of _____, 20____

BETWEEN:

**The Board of Governors
of the University of Lethbridge,
in the Province of Alberta
(herein called "the Board")**

AND:

(herein called "the Assignees")

WITNESS that the University hereby sells, assigns and transfers to the assignees all right, title and interest that the said Board has in copyright in Canada, the United States of America and all other countries in the world in the product in consideration for which the Assignees hereby agree to remit to the University Fifty Per Cent (50%) of the gross royalties (or any payments which are similar in nature to royalties) received from exploitation of the copyright on the product described above and also agree to require the display of the University name on all copies of the product.

The Assignees further agree that the Board may make and use copies of the product described above without payment of royalty for its own educational purposes.

IN WITNESS WHEREOF the parties have hereunto set their hands and seals.

THE UNIVERSITY OF LETHBRIDGE

ASSIGNEES

DECLARATION OF AUTHORSHIP

We, the undersigned, declare that we are the authors of: _____
("the work") for which we have requested an assignment of copyright, dated the _____ day of _____, 20____ from Board of Governors of The University of Lethbridge.

We further declare that we have discussed our application for assignment of copyright with all persons who have been involved in the production process of the work and have obtained their agreement that the undersigned are the only authors of the work.

Schedule D: Professional Activities Report

Commented [A15]: This will need to be amended as a consequential amendment addressed by both parties, once there is agreement on Article 23 and 24.

D.01 Preamble

- D.01.1 The duties described in [Article 21.03](#) are not intended to comprise exhaustive lists, but are stated in general terms, given the nature of the evolution of the academic enterprise at any university. The quality and quantity of all academic work consistent with [Article 21.03](#) undertaken by the Member shall be taken into account in the evaluation of the performance of the Member.

D.02 Member's recommendation regarding weighting of the criteria: Weighting, Teaching, Research, and Service.

D.02.1 Weighting

Category	Weighting
Teaching	
Research	
Service	

D.02.2 Rationale for Weighting Recommendation

D.03 Teaching

- D.03.1 List all courses and other instruction, including Summer Session and off-campus Credit Courses, Independent Studies, Applied Studies, undergraduate honours theses, graduate supervision, etc. Comments on each might cover such aspects as the following: whether taught in the previous year, after a longer interval, or for the first time; the time demands generated by the instructional format, by course related duties, and by the number and nature of assignments and the grading procedures.
- (a) Course Title
 - (b) Enrolment
 - (c) Semester
 - (d) Comments

D.04 Research and Creative Activity

- D.04.1 Publications
- D.04.2 Manuscripts submitted for Publication
- D.04.3 Papers presented and other participation at professional meetings
- D.04.4 Creative work and/or Performances
- D.04.5 Editorial, Refereeing, Examining and Adjudicating Activities
- D.04.6 Research & Creative Activity in progress
 - (a) Project
 - (b) Funding Agency
- D.04.7 Anticipated Research Activity
 - (a) Project

D.05 Service to The University and Society

- D.05.1 Contributions to the Intellectual life of the University
- D.05.2 Administrative & Committee Service
- D.05.3 Membership & Service in Professional Organizations
- D.05.4 Community Service

D.06 Professional Development Activities and Achievements

Date _____

Signature _____

Schedule E: Academic Career Implementation

E.01 Effective July 1st, 2019: The language applies to Faculty Members/Professional Librarians hired before July 1st, 2014, except as modified by [Schedule E.01.1](#).

Example: for a Member hired as an Assistant Professor/Professional Librarian II on July 1st, 2013 with a letter of appointment assigning three (3) Academic Career Years, the maximum number of years of career progress available in each of the ranks effective July 1st, 2019 would be as follows:

	Rank				
	Academic Career	Assistant Professor/Professional Librarian II	Associate Professor/Professional Librarian III	Professor/Professional Librarian IV	Total
Initial Letter of Appointment	3				
July 1, 2014	4				
July 1, 2015	5				
July 1, 2016	6				
July 1, 2017	7				
July 1, 2018	8				
July 1, 2019	9	1	15	10	35

Example: for a Member hired on July 1st, 1996 who attained the rank of Associate Professor/Professional Librarian III after ten (10) years, but not yet the rank of Professor/Professional Librarian IV, the maximum number of academic career years available in each rank is as follows:

	Rank				
	Academic Career	Assistant Professor/Professional Librarian II	Associate Professor/Professional Librarian III	Professor/Professional Librarian IV	Total
Number of academic career years as of June 30, 2014	17				
July 1, 2014	18				
July 1, 2015	19				
July 1, 2016	20				
July 1, 2017	21				
July 1, 2018	22				
July 1, 2019	23	0	2	10	35

- E.01.1** In the event that an Assistant Professor/Professional Librarian II has been assigned more than five (5) years toward their Academic Career in their written letter of appointment, they may submit a formal, written request to the Dean to transfer a maximum of five (5) years from Associate Professor/Professional Librarian III Academic Career years rank. Upon the recommendation of the Dean and approval of the Vice-President (Academic), the number of Academic Career years for both the Assistant and Associate ranks, or corresponding Professional Librarian ranks, is adjusted accordingly by a letter from the Dean to the Member, with a copy to Human Resources.

Example: for a Faculty Member hired during the 2013/14 academic year with a letter of appointment assigning eight (8) Academic Career years, the maximum adjustment that could be applied pending the approval of the Vice-President (Academic) is as follows:

	Rank				
	Academic Career	Assistant Professor/Professional Librarian II	Associate Professor/Professional Librarian III	Professor/Professional Librarian IV	Total
Initial Letter of Appointment	8				
Without Adjustment	8	2	15	10	35
With maximum Adjustment	8	7	10	10	35

Schedule F: Daycare

WHEREAS the University wishes to establish an on-campus day care facility on the Lethbridge campus of the University;

WHEREAS the Faculty Association has agreed to contribute funding towards the capital/financing costs of a day care facility;

THEREFORE the parties hereto agree as follows:

F.01 University Responsibilities:

- F.01.1 University of Lethbridge will construct a day care facility on its Lethbridge campus if and when the project is approved by the Board of Governors of the University.
- F.01.2 The University of Lethbridge will contribute funding of at least 65% of the capital costs for construction of the day care facility.
- F.01.3 The University of Lethbridge will enter into a Lease with an external day care provider which will include provisions to the following affect:
 - (a) The University as the Landlord will set the initial allocation of spaces for children attending the day care between children of undergraduate students, children of Member, children of graduate students, children of employees of the day care provider and children of others, and shall have the exclusive right to change the allocation of spaces with such change to be effective at the beginning of the next academic semester;
 - (b) The Tenant shall agree to charge a reasonable and competitive day care fee for the day care services provided which will be comparable to the fee charged by the other day cares operating within the City of Lethbridge;
 - (c) The Tenant shall keep the Day Care Centre open for business and in normal operation at least ten (10) hours per day, Monday to Friday inclusive, with the exception of holidays or holiday periods during which the University is closed.

F.02 Faculty Association Responsibilities:

- F.02.1 The Faculty Association shall make a one-time payment of \$20,000.00 towards the construction costs of the day care facility which shall be paid by the Faculty Association to the University on or before September 1st, 2009.
- F.02.2 The Faculty Association agrees that each member of the Faculty Association will contribute the sum of \$1.80 per month for each month that the individual is a member of the Faculty Association commencing September 1st, 2009 with such contributions to continue

by Faculty Members for a period of twenty (20) years following the date the day care opens for operation.

- F.02.3** In the event that the day care facility is not in operation due to the absence of a day care provider prior to September 1st, 2009, the individual monthly contributions by Members of the Faculty Association shall be suspended for the period during which the day care facility is not in operation commencing with the month immediately following the month in which the day care operation was suspended or discontinued with such contributions resuming with the month following the month in which the day care facility recommences operation.
- F.02.4** The Faculty Association agrees that the monthly contributions shall be automatically deducted by the University from the remuneration paid to each Faculty Member and on behalf of each Faculty Member hereby authorizes the University to make such a deduction. In the event that an amendment to the Collective Agreement between the University and the Faculty Association agree to take such steps as may be required to give effect to these provisions, the University and the Faculty Association agree to take such steps as may be required to amend the Collective Agreement accordingly.
- F.02.5** The Faculty Association will provide annual input, by July of each year, to the Vice-President (Finance and Administration) in relation to the annual Landlord/Tenant review meetings on issues or recommendations related to the Day Care Centre.

- F.03** This Agreement shall endure to the benefit of and be binding upon the Parties hereto and their respective successors and assigns.

Schedule G: Maternity and/or Parental Leave- Benefit (Article 32.03 to Article 32.07)

The examples below are for illustration purposes only and are based on Employment Insurance (EI) benefit rates assuming the Member's salary exceeds EI weekly insurable earnings maximum as of May 2019. Contact Pension and Benefits for detailed information about your specific situation.

Notes: (1) Before you can start receiving EI benefits, there is a one week waiting period during which the Member will not be paid by EI. This waiting period is like the deductible paid for other types of insurance.

Table 1: Articles 32.04 and 32.05.1

Maternity + Parental for Birth Mother with Employment Insurance Standard Parental Leave			Maternity + Parental for Birth Mother with Employment Insurance Extended Parental Leave		
Week	EI Benefit	University Sub Plan	Week	EI Benefit	University Sub Plan
Maternity Leave					
Week 1	0% (1)	100% Members salary	Week 1	0% (1)	100% Members salary
Week 2-16	55% to a maximum of \$562/week	100% less Member's EI benefit (\$562/week)	Week 2-16	55% to a maximum of \$562/week	100% less Member's EI benefits at 55% (\$562/week)
Parental Leave					
Week 17-20	55% to a maximum of \$562/week	100% less Member's EI benefit (\$562/week)	Week 17-20	33% to a maximum of \$337/week	100% less calculation based on Member's EI benefits at 33% (\$337/week)
Week 21-51	55% to a maximum of \$562/week	0%	Week 21-51	33% to a maximum of \$337/week	0%

Table 2: Article 32.05.2

Parental for non-Birth parent (including adoption) with Employment Insurance Standard Parental Leave			Parental for non-Birth parent (including adoption) with Employment Insurance Extended Parental Leave		
Week	EI Benefit	University Sub Plan	Week	EI Benefit	University Sub Plan
Parental Leave					
Week 1	0% (1)	100% Members salary	Week 1	0% (1)	100% Members salary
Week 2-20	55% to a maximum of \$562/week	100% less EI benefit (\$562/week)	Week 2-20	33% to a maximum of \$337/week	100% less calculation based on Member's EI

					benefits at 55% (\$562/week)
Week 21-36	55% to a maximum of \$562/week	0%	Week 21-36	33% to a maximum of \$337/week	0%

Table 3: [Articles 32.04 and 32.05.3](#)

Maternity for Birth Mother + Shared Parental Leave between parents with Employment Insurance Standard Parental Leave			Maternity for Birth Mother + Shared Parental Leave between parents with Employment Insurance Extended Parental Leave		
Week	El-Benefit	University Sub-Plan	Week	El-Benefit	University Sub-Plan
Maternity Leave					
Week 1	0% (1)	100% Members salary	Week 1	0% (1)	100% Members salary
Week 2-16	55% to a maximum of \$562/week	100% less Member's El-benefit (\$562/week)	Week 2-16	55% to a maximum of \$562/week	100% less Member's El-benefits at 55% (\$562/week)
Parental Leave					
Week 17-20	55% to a maximum of \$562/week	100% less Member's El-benefit (\$562/week)	Week 17-20	33% to a maximum of \$337/week	100% less calculation based on Member's El-benefits at 33% (\$337/week) for birth mother or 100% less calculation based on Member's El-benefits at 55% (\$562/week) for non-birth parent
Week 21-51	55% to a maximum of \$562/week	0%	Week 21-51	33% to a maximum of \$337/week	0%
Week 52-56 (if 2 parents share Standard Parental Leave minimum 5 weeks for 2 nd parent)	55% to a maximum of \$562/week	0%	Week 79-85 (if 2 parents share Extended Parental Leave minimum 8 weeks for 2 nd parent)	33% to a maximum of \$337/week	0%

The last row of Table 3 reflects Leave that can be taken concurrently by both Members.

Table 4- Article 32.05.3

Shared Parental Leave between parents for adoption with Employment Insurance Standard Parental Leave			Shared Parental Leave between parents for adoption with Employment Insurance Extended Parental Leave		
Week	EI Benefit	University Sub Plan	Week	EI Benefit	University Sub Plan
Parental Leave					
Week 1	0% (1)	100% Members salary	Week 1	0% (1)	100% Members salary
Week 2-20	55% to a maximum of \$562/week	100% less EI benefit (\$562/week)	Week 2-20	33% to a maximum of \$337/week	100% less calculation based on Member's EI benefits at 55% (\$562/week)
Week 21-36	55% to a maximum of \$562/week	0%	Week 21-36	33% to a maximum of \$337/week	0%
Week 37-41 (if 2 parents share Standard Parental Leave minimum 5 weeks for 2 nd parent)	55% to a maximum of \$562/week	0%	Week 63-70 (if 2 parents share Extended Parental Leave minimum 8 weeks for 2 nd parent)	33% to a maximum of \$337/week	0%

The last row of Table 4 reflects Leave that can be taken concurrently by both Members.

Table 5

Does not qualify for Employment Insurance (refer to Article 32.06.2)		
Week	EI Benefit	University Sub Plan
Week 1-6	0%	50% of Member's Salary
Week 7-20	0%	0%

Schedule H: Conflict of Interest and/or Conflict of Commitment and Declaration

Memorandum of Understanding

Between

**THE BOARD of GOVERNORS of the UNIVERSITY OF LETHBRIDGE
(the “Board”)**

and

**THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
 (“ULFA”)**

Further to agreement reached at bargaining in 2013, the parties agree that Members shall complete a web-based Employee Declaration Disclosure Form (attached) for the declaration of any conflict of interest and/or conflict of commitment.

This disclosure is made when the Member's appointment commences and annually thereafter at the beginning of the calendar year. It replaces the paper declaration form previously submitted with the Members annual Professional Activities Report.

If at any time during the year, there is reasonable cause to believe that a current or anticipated conflict of interest or commitment may exist ([Article 11.04](#)), the Member must disclose this to their Dean as soon as possible after the Member becomes aware of it, and record it through the web-based form. Notification of approval, if granted, will come through the web-based system from the Associate Vice-President (Human Resources & Administration) on behalf of the Dean.

Further information can be found within the Conflict of Interest and/or Commitment Policy.

CONFLICT OF INTEREST AND/OR CONFLICT OF COMMITMENT ULFA MEMBER WEB-BASED DECLARATION DISCLOSURE FORM

I declare that the information contained in this Disclosure Form is true and correct to the best of my knowledge, information and belief.

I have read and agree to abide by the provisions of the Collective Agreement that bear on Conflict of Interest and/or Commitment, including but not limited to the following:

[Article 11.03.2\(a\)\(ix\)](#)

which deals specifically with current or anticipated conflicts of interest with respect to evaluative and supervisory relationships between Members and students;

[Articles 11.03.3\(a\)\(ii\)\(C\)](#) and [11.03.3\(a\)\(ii\)\(D\)](#)

which deal specifically with current or anticipated conflicts of interest with respect to Members' responsibilities as scholars;

[Articles 11.03.3\(a\)\(ii\)\(C\)](#), [11.03.3\(a\)\(ii\)\(D\)](#), and [21.11](#)

which deal specifically with current or anticipated conflicts of commitment with respect to Members' external professional activities;

[Article 11.04](#)

which defines the terms Conflict of Interest and/or Commitment and provides a process for a Member to report any current or anticipated conflicts and a means to evaluate and resolve any such conflicts in consultation with the Member's Dean;

[Article 25.07](#)

which deals specifically with current or anticipated conflicts of interest or reasonable apprehension of bias with respect to Members' participation on personnel committees.

I understand that if I have indicated that I anticipate becoming involved in activities which could give rise to a conflict of interest and/or conflict of commitment, I shall not engage in these activities until such time as the conflict considerations are assessed and resolved. If I have indicated that I am currently involved in activities which could give rise to a conflict of interest and/or conflict of commitment, I understand that I may continue the activities until such time as the conflict considerations are assessed and resolved, unless I am directed by the Dean to cease the activity.

I understand that the direction to cease the activity shall stand until such time as the conflict considerations are assessed and resolved.

I understand that the personal information requested is collected under the authority of Section 33(c) of the *Alberta Freedom of Information and Protection of Privacy Act* for the purposes of determining possible conflict of interests and conflict of commitments.

I hereby consent to the use of the information provided by the University for the purpose of assessing conflict.

I understand that I will be advised if certain public disclosure of information is deemed appropriate in managing an assessed conflict. I understand that consent for any such public disclosure will be addressed at that time.

FINANCIAL CONFLICT OF INTEREST		
	Yes	No
With the exception of your normal compensation, will you, your corporation, a member of your family, or persons with whom you have a personal or business relationship now receive or anticipate receiving a financial benefit from University funds over which you exercise influence that might be viewed by a reasonable individual to constitute a potential conflict of interest for you?		

NON-FINANCIAL CONFLICT OF INTEREST		
	Yes	No
Do any of your current or anticipated university teaching, research/scholarly creative activities, administrative, consulting or service (volunteer) activities involve you in dealings with individuals, corporations or other organizations in ways that might be viewed by a reasonable observer as a conflict of interest?		

CONFLICT OF COMMITMENT		
	Yes	No
Are any of your current or anticipated external activities or commitments so substantial or demanding of your time and attention as to interfere with your responsibilities, obligations and commitments to the University?		

OTHER CONFLICTS		
	Yes	No
Do you intend to use the services of University students, University employees, or others under contract to the University over whom you exercise supervisory or academic responsibility, for a purpose beyond those directly associated with your employment obligations to the University? Note: Prior written permission from the Dean needs to be obtained before using the services of students and/or employees for purposes beyond their educational or employment obligations.		
Will you make significant use (meaning a use beyond that of a purely incidental nature) of University space, facilities, general supplies, and/or equipment, including communication devices, and confidential information to support any activities that do not directly pertain to your employment obligations to the University?		
Are you aware of any other potential conflicts of interest or commitment, real or perceived, which will affect you as an employee of the University?		

If you answered "yes" to any of the above questions, please provide details of the activity(s). Should your Dean wish to consult with you regarding this disclosure, you will be contacted and the matter discussed as outlined under [Article 11.04.6](#) in the Faculty Handbook.

I will promptly submit a revised Disclosure Form if at any time during the disclosure period circumstances warrant a different response to any of the questions in this Declaration.

☐ I agree to the Declaration above

Schedule I: Harassment & Discrimination Policy **MOU**

Memorandum of Understanding

Between

THE BOARD of GOVERNORS of the UNIVERSITY OF LETHBRIDGE
(the "Board")

and

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
("ULFA")

Harassment and Discrimination Policy

~~In the matter of resolving an ULFA policy grievance dated June 21st, 2016, the parties to this MOU agree to the following remedies:~~

- ~~1. that a clear directive ("interface") already exists in the Harassment & Discrimination Policy at section 1.2.1 (Appendix B) that directs all formal complaints involving academic staff members to the Faculty Handbook; and~~
- ~~2. that the parties agree to explore and propose language in Faculty Handbook pertaining to complaints against an academic staff member that involve harassment, discrimination, and/or sexual violence as part of the next Faculty Handbook negotiations.~~

Schedule J: Agreement Re. Hiring ULFA Employees as Sessional Lecturers MOU

Memorandum of Understanding

Between

THE BOARD of GOVERNORS of the UNIVERSITY OF LETHBRIDGE

(the “Board”)

and

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

(“ULFA”)

Hiring ULFA Employees as Sessional Lecturers

Following from a meeting of September 13th, 2017, the Board and ULFA agree to resolve an unfair labour practice complaint without bringing it to the Labour Relations Board regarding the decision not to hire the Executive Director of ULFA for a Sessional Lecturer position with the University of Lethbridge.

The following agreement resolves the complaint:

The Board formally acknowledges the obligation to support ULFA membership and participation among its faculty members and potential faculty members.

The Board will not prohibit the employment with the University of Lethbridge of an employee of ULFA because of their employment with ULFA, in conjunction with number 3 below ensuring all required qualifications are appropriate for appointment and approval.

Any application for employment with the University of Lethbridge by an employee of ULFA will be assessed solely on the criteria relevant to the position being sought.

Both parties agree that this Collective Agreement applies to employees of ULFA who work as Sessional Lecturers, as it does to employees of other external employers seeking such employment; they further agree that ULFA employees will not be subject to unusual treatment under this Collective Agreement because of their status as employees of the union.

Schedule K: Grieving Administrative Suspensions-
MOU

Memorandum of Understanding

Between

~~THE BOARD of GOVERNORS of the UNIVERSITY OF LETHBRIDGE~~
~~(the “Board”)-~~

and

~~THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION-~~
~~(“ULFA”)~~

~~Grieving Administrative Suspensions~~

~~K.01~~ — The parties agree that all disputes related to an administrative suspension imposed on a member of the ULFA bargaining unit under the terms of the Faculty Handbook or the Post-secondary Learning Act are subject to the grievance and arbitration provisions set out in Article 9 of the Collective Agreement or any successor provisions to Article 9.

Schedule L: In Resolution of Format of Communications by Appeal Committee Chair to Appellant Regarding Appellant's Evidence for Appeals MOU

Following an [Article 9.03.4](#) meeting of September 25th, 2017, the Board and ULFA ("the Parties") agree to the following resolution regarding communications from the [Article 25](#) Appeal Committee Chair to appellants, with respect to evidence the appellant may present in relation to [Article 29.02](#) or [29.04](#) appeals of [Article 25](#) STP Committee recommendations. As of the date of this Memorandum of Understanding (MOU), [Article 25](#) Appeal Committee Chairs will include the following template language in written communications with appellants, regarding such evidence.

As the STP Appeals Committee Chair, I am writing to confirm that I have received your letter dated X, where you give notice of your intention to appeal the recommendation of the XX STP Committee, communicated to you in the [Dean of ...'s / University Librarian's] letter of XXX.

In accordance with [Article 29.02.3](#) you have ten (10) working days from your receipt of this letter to submit a written request for a hearing of your case, stating the grounds of your appeal. Pursuant to [Article 29.01.3](#), I draw your attention to your right to appear before the Appeal Committee, and your [Article 11.02.6](#) right of accompaniment by another Member of the Faculty Association should you choose to appear before the Appeal Committee.

I also draw your attention to [Article 29.01.2](#), which stipulates that "(s)ubject to the provisions of [Article 2.26](#) and principles of natural justice, all evidence considered during the Appeal process... shall pertain to the review period considered by the original STP Committee or the Appeal process itself." Further, please note that such evidence is determined according to the tests to be applied by the Appeal Committee, in accordance with the grounds for your appeal (which grounds are described in [Article 29.02.3\(a\)\(i\)](#) to [29.02.3\(a\)\(iii\)](#)). [Articles 29.02.3\(b\)\(i\)](#) and [29.02.3\(b\)\(iii\)](#) provide the context through which the above-noted tests are applied.

The parties agree that the elements contained in this Memorandum of Understanding constitute a full resolution the issues raised in the pre-grievance meeting.

Schedule M: Memorandum of Understanding: In Resolution of Academic Career Year Grievances

Following a meeting of February 21st, 2018, the Board and ULFA ("the Parties") agree to the following in resolution of individual grievances claimed by ULFA on September 27th, 2016 and a policy (group) grievance claimed by ULFA on September 13th, 2016, regarding the implementation of Academic Career years:

1. This Memorandum of Understanding only applies to ULFA Members who hold tenurable Faculty Member or Professional Librarian appointments.
2. This Memorandum of Understanding affirms that the Board cannot unilaterally change the Academic Career years assigned in a Member's letter of appointment.
3. For Members who have no Academic Career years assigned in their letter of appointment, Academic Career years are to be counted from the date of their probationary appointment or appointment with tenure.

This MOU has been redacted to remove material not of relevance to what remains.

The Parties agree that the elements contained in this Memorandum of Understanding constitute a full resolution of the grievances.

Schedule N: In-Resolutions of Merit Pool/Fund-Contributions Grievances MOU

The Board and ULFA ("the Parties") agree to the following in resolution of two individual grievances and a policy grievance claimed by ULFA on August 15th, 2017 regarding the Articles 24.06.1/24.06.2 merit pool/fund contributions on behalf of academic staff who are employed on less than full-time equivalent (FTE) contracts:

1. ~~Merit pool/fund contributions are established in Faculty Handbook Article 24, and are for each Member who is eligible to access the merit pool/fund, in Schedule A, Table A.01 (the "Per Member Contribution").~~
2. ~~Beginning with the 2017/18 evaluation period, the Per Member Contribution shall be made at the full load merit pool/fund levels as specified in Schedule A, Table A.01, regardless of whether the Member is assigned to a less than 1.0 FTE status.~~
3. ~~For the 2017/18 evaluation period, the Board agrees to make the following on-time only contributions:~~
 - (a) ~~A lump sum contribution to the merit pool as defined in Article 24.06.2 for Instructors/Academic Assistants, in the amount of \$11,000; and~~
 - (b) ~~A lump sum contribution in the aggregate amount of \$3,500 for all the Faculty/Library merit pools as defined in Article 24.06.1 for faculty members and professional librarians to be allocated amongst the Faculty/Library merit pools proportionally to the number of faculty members and professional librarians eligible to receive funds from each merit pool.~~
4. ~~As of July 1st, 2019, letters summarizing annual salaries as of July 1st each year shall be issued to each Member, containing the following:~~
 - (a) ~~June 30th salary;~~
 - (b) ~~cost of living adjustment amount and percentage (if any);~~
 - (c) ~~career progress increment amount (if any);~~
 - (d) ~~merit increment amount (if any); and~~
 - (e) ~~the resultant July 1st salary, as the sum of a. to d. in this clause.~~
5. ~~As of July 1st, 2019, the letters described in clause 4 above shall also include, for each faculty member and professional Librarian in an academic unit, a summary of:~~
 - (a) ~~how the academic unit's merit pool is calculated;~~
 - (b) ~~the number of merit units being awarded in that academic unit;~~
 - (c) ~~the monetary value of a merit unit in that academic unit;~~
 - (d) ~~the distribution of merit units within that academic unit.~~

~~6.—As of July 1st, 2019, the letters described in clause 4 above shall also include, for each Instructor/Academic Assistant, a summary of:~~

- ~~(a) the number of Members for whom contributions are being made to the merit fund;~~
- ~~(b) the total monetary value of the merit fund; and~~
- ~~(c) the monetary value of a merit fund award for an Instructor/Academic Assistant with a performance rating score of 1.0.~~

~~7.—All elements within this Memorandum of Understanding are agreed to by the Parties without prejudice to any other unresolved grievances between the Parties.~~

~~The Parties agree that the elements contained in this Memorandum of Understanding constitute a full resolution of the grievances.~~

Schedule O: Written Notice During Pandemic

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE
AND
THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
On Written Notice During the Pandemic
May 14, 2020**

WHEREAS the University of Lethbridge has been significantly impacted as a result of the COVID-19 Pandemic ("Pandemic")

AND WHEREAS the University of Lethbridge Academic Staff Collective Agreement ("Collective Agreement") requires temporary amendments during the Pandemic to address the current situation which was not contemplated at the time of ratification of the Collective Agreement.

AND WHEREAS the Government of Alberta declared all Post-Secondary institutions were prohibited from having in-person classes effective March 13th, 2020.

AND WHEREAS the Government of Alberta declared a Public Health Emergency on March 17th, 2020.

AND WHEREAS the Board and ULFA wish to work proactively and collectively in the best interest of the University of Lethbridge.

NOW THEREFORE the parties agree as follows:

1. Capitalized terms have the meaning prescribed in the Collective Agreement or this MOU as the case may require.
2. This MOU may be executed in one or more counterparts, and may be delivered by electronic mail in Portable Document Format (PDF), each of which when so executed shall be deemed to be part of the original and shall have the same force and effect as the original, and all of which together shall constitute one and the same MOU.
3. This MOU is being made in response to the specific circumstances of the Pandemic and shall have no precedential value outside the circumstance of the Pandemic.

Written Notice

4. Where written notice is called for in the Collective Agreement, that electronic mail shall be deemed adequate means for correspondence between the Association and the Board. This includes Article 9 (Grievance and Interpretation) and Article 19 (Supervision and Discipline) previously exempted in Article 6.01.2.

Schedule P: Library Single-Service Point Services

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE
AND
THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
On Library Single-Service Point Services
November 17, 2020**

The parties agree as follows:

1. Capitalized terms have the meaning prescribed in the Collective Agreement or this MOU as the case may require.
2. This MOU may be executed in one or more counterparts, and may be delivered by electronic mail in Portable Document Format (PDF), each of which when so executed shall be deemed to be part of the original and shall have the same force and effect as the original, and all of which together shall constitute one and the same MOU.
3. When Professional Librarians are assigned to Reference Desk shifts, whether for OAD purposes or at the Library Single-Service Point, they shall respond to the best of their abilities and training to the full range of queries arising from Library patrons when working Reference Desk shifts.
4. Where necessary, appropriate training will be provided to Professional Librarians under Clause [3](#) above.
5. It is not the intention to assign Professional Librarians to the General Services Desk (GSD). Such work is separate and distinct from Reference Desk work performed at the Online Assistance Desk (OAD). The GSD is staffed by non-academic staff and student assistants.

Schedule Q: Performance Evaluation During COVID-19

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE
AND
THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
On Performance Evaluation During COVID-19
April 5, 2021**

Any capitalised terms or other terms used in this Memorandum of Understanding (MOU) with specific meaning prescribed in the Academic Staff Collective Agreement (ASCA) between the Board and ULFA (the Parties) have the same meaning in this MOU.

Owing to the potential impacts to Members' performances as a result of the COVID-19 Pandemic (the "Pandemic"), it is hereby agreed that with respect to a Member subject to performance evaluation pursuant to the provisions of ASCA [Article 23](#), the following provisions are in effect, pertaining to each category of member below.

It is recognised that the Pandemic could have/will affect(ed) how Members perform(ed) their duties. Members who submit Professional Activity Reports (PAR) are encouraged to honestly report on their professional activities, including how those activities could have been impacted and altered by the Pandemic. Such honest reporting may include atypical weighting recommendations by the Member in [Schedule D.02.1](#) of the PAR. Such weighting recommendations shall be accompanied by a rationale in [Schedule D.02.2](#). Such atypical weightings with accompanying rationales will not be unreasonably refused.

In post-Pandemic years, Salary, Tenure and Promotion (STP) Committee considerations, and any other evaluation processes including the Pandemic period, will be given consideration in assessing the historical performance of Members' duties when a supporting rationale for such consideration is provided by the Member.

(1) Members subject to biennial evaluation, and scheduled to submit a Professional Activities Report (PAR) by September 15th, 2020 (for review of 2018/2019 and 2019/2020 performance) and September 2022 (for review of 2020/2021 and 2021/2022 performance).

- (a) The Member may choose to opt out of their biennial evaluation for the 2018/2019 and 2019/2020 review period (submission due, September 15th, 2020), and instead their performance score from their last performance evaluation will be used as their performance score for this evaluation period.

- (i) All Members seeking to avail themselves of Clause (a) above must do so by submitting a written request to their Dean/University Librarian by April 15th, 2021.
- (b) And/or the Member may choose to opt out of their biennial evaluation for the 2020/2021 and 2021/2022 review period (submission due September 15th, 2022), and instead their performance score from their last performance evaluation will be used as their performance score for this evaluation period.
- (i) All Members seeking to avail themselves of Clause (b) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2022.
- (c) All Members as defined in Clause (1) above will return to their standard cycle of biennial evaluation with their September 2024 PAR submission (for review of 2022/2023 and 2023/2024) whether or not they have availed themselves of Clauses (a) and/or (b) above.

(2) Members subject to biennial evaluation, and scheduled to submit a PAR by September 15th, 2021 (for review of 2019/2020 and 2020/2021 performance) and September 15th, 2023 (for review of 2021/2022 and 2022/2023 performance).

- (a) The Member may choose to opt out of their biennial evaluation for the 2019/2020 and 2020/2021 review period (submission due, September 15th, 2021), and instead their performance score from their last performance evaluation will be used as their performance score for this evaluation period.
- (i) All Members seeking to avail themselves of Clause (a) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2021.
- (b) And/or the Member may choose to opt out of their biennial evaluation for the 2021/2022 and 2022/2023 review period (submission by September 15th, 2023), and instead their performance score from their last performance evaluation will be used as their performance score for this evaluation period.
- (i) All Members seeking to avail themselves of Clause (b) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2023.
- (c) All Members as defined in Clause (2) above will return to their standard cycle of biennial evaluation with their September 15th, 2025 PAR submission (for review of 2023/2024 and 2024/2025 performance) whether or not they have availed themselves of Clauses (a) and/or (b) above.

(3) Members subject to annual evaluation, and who have a previous performance score.

- (a) The Member may choose to opt out of their annual evaluation for the 2019/2020 review period (submission due, September 15th, 2020), and instead their performance score from their last performance evaluation or, at the Member's discretion, the average of their last two annual performance scores will be used as their performance score for this evaluation period.
 - (i) All Members seeking to avail themselves of Clause (a) above must do so by submitting a written request to their Dean/University Librarian by April 15th, 2021.
- (b) And/or the Member may choose to opt out of their annual evaluation for the 2020/2021 review period (submission due, September 15th, 2021), and instead their performance score from their last performance evaluation or, at the Member's discretion, the average of their last two annual performance scores will be used as their performance score for this evaluation period.
 - (i) All Members seeking to avail themselves of Clause (b) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2021.
- (c) And/or the Member may choose to opt out of their annual evaluation for the 2021/2022 review period (submission due, September 15th, 2022), and instead their performance score from their last performance evaluation or, at the Member's discretion, the average of their last two annual performance scores will be used as their performance score for this evaluation period.
 - (i) All Members seeking to avail themselves of Clause (c) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2022.
- (d) All Members as defined in Clause (3) above will return to the standard cycle of annual evaluation beginning with their September 15th, 2023 PAR submission (for review of 2022/2023 performance) whether or not they have availed themselves of Clauses (a) and/or (b) and/or (c) above.

(4) Members subject to annual evaluation, but who have not yet been subject to performance evaluation and so have no previous performance score.

- (a) The Member may choose to opt out of their annual evaluation for the 2019/2020 review period (submission due, September 15th,

2020), and their performance score will be assigned at a value of 1.0 (non-evaluated normal career progress).

- (i) All Members seeking to avail themselves of Clause (a) above must do so by submitting a written request to their Dean/University Librarian by April 15th, 2021.
- (b) If the Member availed themselves of Clause (a) above, and thus still has no previously assessed performance score, they may opt out of their annual evaluation for the 2020/2021 review period (submission due, September 15th, 2021) and their performance score will be assigned at a value of 1.0 (non-evaluated normal career progress).
 - (i) All Members seeking to avail themselves of Clause (b) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2021
- (c) If the Member availed themselves of both Clause (a) and (b) above, and thus still has no previously assessed performance score, they may opt out of their annual evaluation for the 2021/2022 review period (submission due, September 15th, 2022) and their performance score will be assigned at a value of 1.0 (non-evaluated normal career progress).
 - (i) All Members seeking to avail themselves of Clause (a) above must do so by submitting a written request to their Dean/University Librarian by September 15th, 2022.
- (d) If the Member did not avail themselves of either Clause (a) or (b) above, they will have a performance score, and are thus subject to the provisions of Clause (3) above in subsequent years.
- (e) All Members as defined in Clause (4) above will return to the standard cycle of annual evaluation beginning with their September 15th, 2023 PAR submission (for review of 2022/2023 performance) whether or not they have availed themselves of Clauses (a) and/or (b) and/or (c) above.

(5) Members subject to either annual or biennial evaluation, and where the performance score from the last evaluation was 0.0 or 0.5 (unsatisfactory).

- (a) Provisions for these Members will be made on a case-by-case basis. The Member should discuss provisions with their Dean.

(6) The deadline of April 30th, 2021 in [Article 23.01.2](#) is hereby extended to May 31, 2021; the deadline of June 15th, 2021 in [Article 23.07.3\(b\)](#) is hereby extended to July 15th, 2021; and the deadline of September 30th, 2021 in [Articles 24.09.1](#) and [24.09.2](#) is hereby extended to October 31st, 2021.

Schedule R: Confidentiality Agreements

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE
AND
THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
On Confidentiality Agreements
April 16, 2021**

Any capitalised terms or other terms used in this Memorandum of Understanding (MOU) with specific meaning prescribed in the Academic Staff Collective Agreement (ASCA) between the Board and ULFA (the Parties) have the same meaning in this MOU.

The Board and ULFA agree to the following resolution of a policy grievance claimed by ULFA on December 2nd, 2020, regarding the use of confidentiality agreements which ULFA claims could limit academic freedom for academic staff.

The Parties agree to one standard Employee Code of Conduct Confidentiality Agreement (henceforth called the Confidentiality Agreement) for ULFA Members on the following conditions:

- 1) Subject to applicable legislation, amendments to the agreed upon Confidentiality Agreement contained within this MOU must be mutually agreed upon by both Parties.
- 2) This will be the only Confidentiality Agreement that academic staff are obliged to sign as a condition of employment.
- 3) The Board will provide training to academic staff with respect to the nature and use of Confidential Information, consistent with this MOU.

The agreed upon Confidentiality Agreement is as follows:

Employee Code of Conduct Confidentiality Agreement for academic staff

I hereby agree to the following:

1. I will comply with all applicable privacy laws and regulations that apply to the collection, use and disclosure of personal information. For the purposes of this Confidentiality Agreement, Confidential Information means Personal Information as defined in the Freedom of Information and Protection of Privacy Act, RSA 2000 Chapter F-25. No Member may disclose such Confidential Information, except in accordance with the provisions of this Act.
2. This Confidentiality Agreement will not be interpreted or applied so as to limit or amend the provisions contained in the ASCA.

3. I will not access Confidential Information unless it is necessary for the performance of my duties in the course of my employment with the University of Lethbridge.
4. I will use Confidential Information only for the purposes for which it was collected.
5. When I become aware that Confidential Information has been lost or stolen; disclosed in an unauthorized way; or subject to a privacy breach; I will immediately disclose the matter to my Dean/University Librarian/the Associate Vice President, Human Resources, as appropriate.
6. I will maintain Confidential Information in strict confidence, disclosing it only to others at the University of Lethbridge authorized to receive it and who require it for the performance of their duties, or disclosing it to others outside of the University of Lethbridge only as allowable by law and by the code of conduct of a regulated profession.
7. This Agreement shall survive the conclusion of my employment at the University of Lethbridge.
8. I will immediately return to the University all electronic or written documents or records in my possession that contain, or may contain, Confidential Information at the conclusion of my employment.
9. If uncertain whether information is Confidential Information, I will check with my Dean/University Librarian before accessing, using or disclosing it.
10. I understand that a breach of confidentiality or misuse of Confidential Information could result in disciplinary action in accordance with the ASCA, up to and including termination of employment, and legal action during or following my employment with the University.

Schedule S: SARCC-19P

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE

AND

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

On Special Academic Relations Committee on the COVID-19 Pandemic

April 21, 2021

Any capitalised terms or other terms used in this Memorandum of Understanding (MOU) with specific meaning prescribed in the Academic Staff Collective Agreement (ASCA) between the Board and ULFA (the Parties) have the same meaning in this MOU.

- ~~(1) This MOU is being made in response to the specific circumstances of the COVID-19 Pandemic ("the Pandemic") and shall have no precedential value outside the circumstance of the Pandemic.~~
- ~~(2) Any modifications Parties have made to labour relations procedures in response to the specific circumstances of the Pandemic shall have no precedential value outside the circumstance of the Pandemic.~~
- ~~(3) The Parties agree to the formation of a *Special Academic Relations Committee on the COVID-19 Pandemic* (SARCC-19P).~~
- ~~(4) The Parties agree that the purpose of the SARCC-19P is to provide a fast, efficient and interest-based method for both Parties to review potential impacts of the Pandemic on the terms and conditions of employment articulated in the ASCA.~~
- ~~(5) The SARCC-19P will consist of up to three representatives from ULFA and three representatives of the Board. Additional resource persons may attend as required by either Party.~~
- ~~(6) The SARCC-19P will meet bi-weekly, or as required.~~
- ~~(7) The SARCC-19P is intended to supplement rather than replace existing channels for raising issues between the Parties, such as Article 7 Quarterly Meetings and Article 9 processes, in particular:
 - ~~(a) SARCC-19P has an operational focus rather than the typical Article 7 meeting; and~~
 - ~~(b) SARCC-19P meetings do not imply that there is a claim that there has been a violation, improper application, non-application of, or ambiguity, or uncertainty of the ASCA as required by Article 9.02 (Grievance) or Article 9.04 (Interpretation).~~~~

- ~~(8) If an issue related to the Pandemic cannot be resolved by the SARCC 19P, and is alleged to be a violation, improper application, or non-application of the ASCA or applicable legislation, either Party has the option of proceeding to an Article 9.03.4 Step 1: Informal meeting of the grievance process.~~
- ~~(9) The time during which an issue is before the SARCC 19P is not counted for purposes of Article 9 timelines.~~
- ~~(10) The SARCC 19P will cease to exist one calendar year following an announcement by the Government of Alberta that the Pandemic is no longer a Public Health Emergency, or by mutual agreement of the Parties.~~
- ~~(11) Any issue related to the Pandemic that may be discussed at SARCC 19P may instead be addressed through the normal grievance processes contained in Article 9 of the ACSA at the behest of either the Board or ULFA. Furthermore, the discussion of any issue at SARCC 19P will not in any way prohibit or prejudice any grievances on these same issues through the normal Article 9 processes of the ACSA.~~

Schedule T: Extension of Maximum Probationary Period

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE

AND

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

On Extension of Maximum Probationary Period

April 21, 2021

Any capitalised terms or other terms used in this Memorandum of Understanding (MOU) with specific meaning prescribed in the Academic Staff Collective Agreement (ASCA) between the Board and ULFA (the Parties) have the same meaning in this MOU.

The Parties hereby rescind Clause 6, **Probationary Appointments**, in the MOU between the Parties dated April 20th, 2020. All other terms and clauses in that MOU remain in full effect.

Clause 6, **Probationary Appointments**, of the April 20th, 2020 MOU between the Parties is replaced with the following terms and conditions pertaining to probationary appointments, owing to the significant impacts as a result of the COVID-19 Pandemic (the "Pandemic").

- (1) A Member currently holding a probationary appointment, or accepting a probationary appointment at the University during the Pandemic, may request an extension of their maximum probationary period by one year.
- (2) Extensions under Clause (1) above may be made to Members whose ability to execute their duties has been compromised by virtue of the Pandemic.
- (3) A Member requesting an extension of their maximum probationary period must submit their request for such an extension, with a rationale, to the Provost and Vice-President (Academic):
 - (a) by October 15th, 2020 in the case of Members subject to review by an STP Committee in Spring, 2021 review cycle; and
 - (b) by September 15th in the year prior to the following Spring, in which their review by an STP Committee is to be held, up to September 15th, 2026 after which date this MOU expires and is of no further effect.
- (4) A request made by a Member under Clause (1) above shall not be unreasonably denied.

Schedule U: Term/Sessional Letters of Offer

~~A LETTER OF COMMITMENT~~

~~PROVIDED BY~~

~~THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE~~

~~TO THE~~

~~UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION~~

~~March 16, 2022~~

~~The President provides a report making certain information available to the Association pursuant to Article 6.03 of the Collective Agreement. By operation of Article 6.03.1(e)(xv), the President provides to ULFA the reason for a Term Appointment or for a Sessional Lecturer.~~

~~Information concerning active members is provided annually no later than October 31st of each year with the information to be complete as of September 30th.~~

~~The past practice has been to provide this information for employees who are active at that point in time. As a result, ULFA does not receive a report and possibly may not receive information for spring and summer sessions.~~

~~The Board makes the following commitment that shall be binding for the term of the collective agreement:~~

- ~~◆ The Letter of Offer made to a Term or Sessional Appointment shall include a line referencing which Article of the Collective Agreement under which the appointment is being made.~~
- ~~◆ The Letter of Offer shall be carbon copied to the Association and Human Resources when it is provided to the Member for execution.~~

~~This letter will not be used in any grievance currently filed pursuant to the expired collective agreement. It represents a good faith effort to resolve a matter in collective bargaining on a go forward basis.~~

Schedule V: Consecutive Term Appointments

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE
AND
THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION
On Consecutive Term Appointments
March 18, 2022**

The Board accepts the principle that at some juncture an employee who has been given consecutive contracts in substantially the same discipline area will convert to a continuing appointment at a similar FTE. The Parties shall work collaboratively and in a timely way to assess the range of circumstances currently existing at the University, the length of time before conversion (6 years or less), the process and mechanism for enacting a conversion for Members who desire such a conversion, whether the conversion is automatic or assessed, the status to which they convert, the terms of the Collective Agreement that may or may not apply and any other matter the Parties identify as material and relevant.

To this end, the Parties, not more than sixty (60) days after the ratification of the 2020-2024 Collective Agreement or whatever longer period the Parties agree upon, shall meet and commence researching and investigating this matter in good faith and make every reasonable effort to develop a mutually agreed to language that addresses the issues that have been identified and can be incorporated into the Collective Agreement in the next round of negotiations. If the Parties are unable to agree on language, they can prepare separate advice to inform the bargaining committees for the next round of negotiations.

The Association agrees to hold the grievance concerning conversion of Term Appointments in abeyance, until the Parties agree on language pursuant to this process or in the next round of collective bargaining, and devote their full time and attention to this positive initiative. If the Parties do not agree on language pursuant to this process or in the next round of collective bargaining, the Association may revive their grievance.

Schedule W: Teaching Professoriate

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE

AND

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

On the Desirability of Establishing a Teaching Professoriate

March 15, 2022

1. The Parties shall establish a Teaching Professoriate working group (the "Working Group") within thirty (30) days of the ratification of the Agreement.
2. The Working Group shall be composed of two (2) Members appointed by the Association and two (2) Senior Administrators appointed by the Board, unless otherwise agreed.
3. The Working Group shall determine its own process and procedures. The Working Group shall meet within one (1) month of their appointment and at the first meeting shall determine the schedule for future meetings.
4. The Working Group shall assess the feasibility and desirability of creating a Teaching Professoriate, including a career path for Instructor IIIs, which will include reviewing models that involve teaching stream Members at other Universities.
5. The Working Group as a whole or any members of the Working Group as see fit may produce a Report recommending that a Teaching Professoriate is either desirable or undesirable or feasible or unfeasible.
6. If the recommendation is that the Teaching Professoriate is desirable and feasible, the Working Group shall make recommendations on the form and content of the model, the terms and conditions that may support the initiative, and recommendations on the implementation of such an initiative.
7. Any Reports that are prepared shall be produced to both Parties on or before April 15th, 2023.

Schedule X: Joint Economic Benefits Committee

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE

AND

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

On the Feasibility of Establishing a Joint Economic Benefits Committee

March 15, 2022

1. The Parties shall establish an Academic Benefits working group (the "Working Group") within thirty (30) days of the ratification of the Agreement.
2. The Working Group shall be composed of two (2) Members appointed by the Association and two (2) representatives appointed by the Board, unless otherwise agreed.
3. The Working Group shall determine its own process and procedure. The Working Group shall meet within one (1) month of their appointment and at the first meeting shall determine the schedule for future meetings.
4. The Working Group shall be provided with the relevant information needed to support the work of the Working Group in a timely manner. Requests for information from the Association's representatives for the work of the committee shall not be unreasonably refused.
5. The Working Group shall assess the feasibility and desirability of creating a Joint Academic Benefits Committee and the terms of reference for such a committee.
6. The Working Group as a whole or any members of the Working Group as see fit may produce a Report making recommendations as they deem fit.
7. In the event the Working Group recommends a Joint Academic Benefits Committee, the Report may make a recommendation on incorporating such a recommendation into the provisions of the Collective Agreement.
8. During the course of this review, the Board will advise ULFA of any recommended changes to the plan and will receive the advice of ULFA. The Board has no present intention or plan to change any of the terms of the plan.
9. Any Reports that are prepared shall be produced to both Parties on or before April 15th, 2023.

Schedule Y: Reorganisation of the Collective Agreement

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE BOARD OF GOVERNORS OF THE UNIVERSITY OF LETHBRIDGE

AND

THE UNIVERSITY OF LETHBRIDGE FACULTY ASSOCIATION

On Reorganisation of the Collective Agreement

March 16, 2022

1. ~~The Parties hereby agree to form a Working Group consisting of one member from each Negotiating Team, along with one Human Resources staff member and one ULFA staff member, to oversee reorganisation of language in the context of preparing the final version of the 2020-2024 Collective Agreement. All members of the Working Group shall in good faith endeavour to ensure that a final version of the Collective Agreement is available expeditiously after the conclusion of bargaining.~~
2. ~~The goal of this Working Group shall be to complete the reorganisation of the Collective Agreement that was agreed to in Schedule S.07 of the 2018-2020 Collective Agreement, and according to the MOU on the Conclusion of Bargaining, signed by the Parties on May 21, 2019. The outcomes of this Working Group's efforts shall be understood by both Parties to fulfil and complete that reorganisation.~~
3. ~~The intent of this reorganisation is not to change either Party's understanding of any of the language that will be moved or reworded in the course of the reorganisation. Nevertheless, the Parties understand and agree that rewording, merging of similar clauses, modifications, and additional non-substantive language may be required in order for the existing substantive language to make sense in a new location, and agree that the Working Group has the full authority of both Parties to make such changes by mutual agreement.~~
4. ~~The Parties empower and instruct the Working Group to carry out any reasonable housekeeping changed throughout the Collective Agreement, including:~~
 - a. ~~Binary gendered language (he/she, him/her, his/hers, etc.) shall be replaced with gender neutral language (they, them, their, etc.).~~
 - b. ~~Dates (such as June 30 or July 1) shall have "th" or "st" etc. (as appropriate) added.~~
 - c. ~~Any additional housekeeping items as articulated in Schedule S.06 of the 2018-2020 Collective Agreement.~~

Schedule Z: Term of Academic Staff Collective Agreement

- Z.01** The effective date for this agreement is July 1st, 202~~40~~ unless specifically stated otherwise. The term of the agreement is four (4) years, from July 1st, 202~~40~~ to June 30th, 202~~84~~.